

A Framework for Verified Depth-First Algorithms

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1 A nondeterministic DFS implementation

```
theory DFS
imports
  Main
  Graph-Ext
  Refine-Additions
  ../General/Misc-Additions
begin
```

Based on the work on the nondeterministic while combinator we develop a depth-first-search function that works on sets rather than lists. This collapses different runs of the search into a set of results, which share a common property.

1.1 Data structures and algorithm specification

The representation of a working state.

```
record ('n) dfs-ws =
```

start :: 'n — the starting node
stack :: 'n list — the search stack of the current run
wl :: 'n set list — the set of successors that still need to visited for each node
discover :: ('n, nat) map — mapping a node to the timestamp of their discovery
finish :: ('n, nat) map — mapping a node to the timestamp of the finishing, i.e. the moment the search backtracks
counter :: nat — the counter for discover and finish

abbreviation *discovered* $s \equiv \text{dom } (\text{discover } s)$
abbreviation *finished* $s \equiv \text{dom } (\text{finish } s)$
abbreviation *disc* (δ) **where** *disc* $s x \equiv \text{the } (\text{discover } s x)$
abbreviation *fin* (φ) **where** *fin* $s x \equiv \text{the } (\text{finish } s x)$

As the DFS algorithm is intended to be only a framework for DFS-based algorithm, we need to carry around a state, that is used by the concrete implementation.

record ('S, 'n) *dfs-sws* = ('n) *dfs-ws* +
state :: 'S — the state of the implementation

The representation of the parametrized algorithm.

record ('S, 'n) *dfs-algorithm* =
dfs-cond :: 'S \Rightarrow bool — the condition to be satisfied by the state S to continue searching from here.
dfs-action :: 'S \Rightarrow ('S, 'n) *dfs-sws* \Rightarrow 'n \Rightarrow 'S — modifies the state for the current node BEFORE visiting the successors.
dfs-post :: 'S \Rightarrow ('S, 'n) *dfs-sws* \Rightarrow 'n \Rightarrow 'S — modifies the state for the current node AFTER having visited the successors (i.e. during backtracking).
dfs-remove :: 'S \Rightarrow ('S, 'n) *dfs-sws* \Rightarrow 'n \Rightarrow 'S — modifies the state if a node has already been visited and is removed from the stack
dfs-start :: 'n \Rightarrow 'S — the starting state
dfs-restrict :: 'n set — a set of states that should not be visited

context *finite-digraph*
begin

The *dfs-step* returns a set of working states, that may be reached from the current node. In general, it returns one state for each successor of the current node.

fun *dfs-step'* :: ('S, 'n, 'X) *dfs-algorithm-scheme* \Rightarrow ('S, 'n) *dfs-sws* \Rightarrow 'S \Rightarrow 'n \Rightarrow ('n, nat) map \Rightarrow ('n, nat) map \Rightarrow nat \Rightarrow 'n set list \Rightarrow 'n list \Rightarrow (('S, 'n) *dfs-sws*) set
where *dfs-step'* *dfs* *S* *s* *n* *d* *f* *c* *w* [] = {}
| *dfs-step'* *dfs* *S* *s* *n* *d* *f* *c* *w* ($x \# xs$) = (if $\text{hd } w = \{\}$ then {*dfs-sws.make* *n* *xs* (*tl* *w*) *d* (*f* ($x \mapsto c$)) (*Suc* *c*) (*dfs-post* *dfs* *s* *S* *x*)}
else {*dfs-sws.make* *n* *st'* *w'* *d'* *f* *c'* *s'* | $e \in \text{st}' w'$
 $d' c' s'. e \in \text{hd } w \wedge$
 $(\text{if } e \in \text{dfs-restrict } \text{dfs} \text{ then } \text{st}' = x \# xs \wedge$
 $w' = (\text{hd } w - \{e\}) \# \text{tl } w \wedge d' = d \wedge c' = c \wedge s' = s$

$$\begin{aligned}
& \text{else if } e \in \text{dom } d \text{ then } st' = x\#xs \wedge w' \\
= & (hd \ w - \{e\})\#tl \ w \wedge d' = d \wedge c' = c \wedge s' = \text{dfs-remove dfs } s \ S \ e \\
& \text{else } st' = e\#x\#xs \wedge w' = \text{succs } e\#(hd \\
w - & \{e\})\#tl \ w \wedge d' = d(e \mapsto c) \wedge c' = \text{Suc } c \wedge s' = \text{dfs-action dfs } s \ S \ e)
\end{aligned}$$

definition $\text{dfs-step} :: ('S, 'n, 'R) \text{dfs-algorithm-scheme} \Rightarrow ('S, 'n) \text{dfs-sws} \Rightarrow ('S, 'n) \text{dfs-sws set}$

where $\text{dfs-step dfs } s \equiv \text{dfs-step}' \text{ dfs } s \ (\text{state } s) \ (\text{start } s) \ (\text{discover } s) \ (\text{finish } s) \ (\text{counter } s) \ (\text{wl } s) \ (\text{stack } s)$

lemma $\text{dfs-step-simps}[\text{simp}]$:

$$\begin{aligned}
& \text{stack } s = [] \Longrightarrow \text{dfs-step dfs } s = \{\} \\
& \text{stack } s = x\#xs \Longrightarrow \text{wl } s \neq [] \Longrightarrow \text{hd } (\text{wl } s) = \{\} \\
& \Longrightarrow \text{dfs-step dfs } s = \{s(\text{stack} := xs, \text{wl} := \text{tl } (\text{wl } s), \text{finish} := (\text{finish } s)(x \mapsto \\
& \text{counter } s), \text{counter} := \text{Suc } (\text{counter } s), \text{state} := \text{dfs-post dfs } (\text{state } s) \ s \ x \)\} \\
& \text{stack } s = x\#xs \Longrightarrow \text{wl } s \neq [] \Longrightarrow \text{hd } (\text{wl } s) \neq \{\} \\
& \Longrightarrow \text{dfs-step dfs } s = \{\text{dfs-sws.make } (\text{start } s) \ st' \ w' \ d' \ (\text{finish } s) \ c' \ s' \mid e \ st' \ w' \\
& d' \ c' \ s'. \ e \in \text{hd } (\text{wl } s) \wedge \\
& \quad (\text{if } e \in \text{dfs-restrict dfs then } st' = x\#xs \wedge w' = (\text{hd } (\text{wl } s) - \\
& \{e\})\#tl \ (\text{wl } s) \wedge d' = \text{discover } s \wedge c' = \text{counter } s \wedge s' = (\text{state } s) \\
& \quad \text{else if } e \in \text{discovered } s \text{ then } st' = x\#xs \wedge w' = (\text{hd } (\text{wl } s) \\
& - \{e\})\#tl \ (\text{wl } s) \wedge d' = \text{discover } s \wedge c' = \text{counter } s \wedge s' = \text{dfs-remove dfs } (\text{state } \\
& s) \ s \ e \\
& \quad \text{else } st' = e\#x\#xs \wedge w' = \text{succs } e\#(\text{hd } (\text{wl } s) - \{e\})\#tl \ (\text{wl } \\
& s) \wedge d' = (\text{discover } s)(e \mapsto \text{counter } s) \wedge c' = \text{Suc } (\text{counter } s) \wedge s' = \text{dfs-action} \\
& \text{dfs } (\text{state } s) \ s \ e)\}
\end{aligned}$$

unfolding dfs-step-def

by ($\text{simp-all add: dfs-sws.defs}$)

lemma dfs-step-intros :

$$\text{stack } s = x\#xs \Longrightarrow \text{wl } s \neq [] \Longrightarrow \text{hd } (\text{wl } s) = \{\} \Longrightarrow s' = s(\text{stack} := xs, \text{wl} := \text{tl } (\text{wl } s), \text{finish} := (\text{finish } s)(x \mapsto \text{counter } s), \text{counter} := \text{Suc } (\text{counter } s), \text{state} := \text{dfs-post dfs } (\text{state } s) \ s \ x \) \Longrightarrow s' \in \text{dfs-step dfs } s$$

$$\text{stack } s = x\#xs \Longrightarrow \text{wl } s \neq [] \Longrightarrow \text{hd } (\text{wl } s) \neq \{\} \Longrightarrow e \in \text{hd } (\text{wl } s) \Longrightarrow e \in \text{dfs-restrict dfs} \Longrightarrow s' = s(\text{wl} := (\text{hd } (\text{wl } s) - \{e\})\#tl \ (\text{wl } s)) \Longrightarrow s' \in \text{dfs-step dfs } s$$

$$\text{stack } s = x\#xs \Longrightarrow \text{wl } s \neq [] \Longrightarrow \text{hd } (\text{wl } s) \neq \{\} \Longrightarrow e \in \text{hd } (\text{wl } s) \Longrightarrow e \notin \text{dfs-restrict dfs} \Longrightarrow e \in \text{discovered } s \Longrightarrow s' = s(\text{wl} := (\text{hd } (\text{wl } s) - \{e\})\#tl \ (\text{wl } s), \text{state} := \text{dfs-remove dfs } (\text{state } s) \ s \ e) \Longrightarrow s' \in \text{dfs-step dfs } s$$

$$\text{stack } s = x\#xs \Longrightarrow \text{wl } s \neq [] \Longrightarrow \text{hd } (\text{wl } s) \neq \{\} \Longrightarrow e \in \text{hd } (\text{wl } s) \Longrightarrow e \notin \text{dfs-restrict dfs} \Longrightarrow e \notin \text{discovered } s \Longrightarrow s' = s(\text{state} := \text{dfs-action dfs } (\text{state } s) \ s \ e, \text{stack} := e\#x\#xs, \text{wl} := \text{succs } e\#(\text{hd } (\text{wl } s) - \{e\})\#tl \ (\text{wl } s), \text{discover} := (\text{discover } s)(e \mapsto \text{counter } s), \text{counter} := \text{Suc } (\text{counter } s)) \Longrightarrow s' \in \text{dfs-step dfs } s$$

unfolding dfs-step-def

apply ($\text{simp-all add: dfs-sws.defs}$)

apply ($\text{rule exI}[\text{where } x=e], \text{simp}$) $+$

done

lemma *dfs-step-cases*:

assumes *inv*: $stack\ s \neq [] \implies wl\ s \neq []$
and $\llbracket stack\ s = [] \rrbracket \implies P$
and $\bigwedge x\ xs\ w\ ws. \llbracket stack\ s = x\#\!xs; wl\ s = w\#\!ws; w = \{\} \rrbracket \implies P$
and $\bigwedge x\ xs\ w\ ws. \llbracket stack\ s = x\#\!xs; wl\ s = w\#\!ws; w \neq \{\} \rrbracket \implies P$
shows P
using *assms*
proof (*cases stack s*)
case (*Cons x xs*) **with** *inv* **obtain** $w\ ws$ **where** $w\#\!ws = wl\ s$ **by** (*cases wl s*)
auto
with *Cons assms(2-)* **show** *?thesis* **by** (*cases w = \{\}*) *metis+*
qed

lemma *dfs-step-cases-elem* [*case-names empty restrict remove visit, cases pred: dfs-step, consumes 2*]:

assumes *inv*: $s' \in dfs\ step\ dfs\ s\ wl\ s \neq []$
and *empty*: $\bigwedge x\ xs\ w\ ws. \llbracket stack\ s = x\#\!xs; wl\ s = w\#\!ws; w = \{\} \rrbracket \implies$
 $s' = s(\!stack := xs, wl := ws, finish := (finish\ s)(x \mapsto counter\ s), counter :=$
 $Suc\ (counter\ s), state := dfs\ post\ dfs\ (state\ s)\ s\ x \!)$ $\implies P$
and *restrict*: $\bigwedge e\ x\ xs\ w\ ws. \llbracket stack\ s = x\#\!xs; wl\ s = w\#\!ws; w \neq \{\}; e \in w; e$
 $\in dfs\ restrict\ dfs \rrbracket \implies$
 $s' = s(\!wl := (w - \{e\})\#\!ws \!)$ $\implies P$
and *remove*: $\bigwedge e\ x\ xs\ w\ ws. \llbracket stack\ s = x\#\!xs; wl\ s = w\#\!ws; w \neq \{\}; e \in w; e$
 $\notin dfs\ restrict\ dfs; e \in discovered\ s \rrbracket \implies$
 $s' = s(\!wl := (w - \{e\})\#\!ws, state := dfs\ remove\ dfs\ (state\ s)\ s\ e \!)$ $\implies P$
and *visit*: $\bigwedge e\ x\ xs\ w\ ws. \llbracket stack\ s = x\#\!xs; wl\ s = w\#\!ws; w \neq \{\}; e \in w; e \notin$
 $dfs\ restrict\ dfs; e \notin discovered\ s \rrbracket \implies$
 $s' = s(\!state := dfs\ action\ dfs\ (state\ s)\ s\ e, stack := e\#\!x\#\!xs, wl := succs\ e\#\!(w$
 $- \{e\})\#\!ws, discover := (discover\ s)(e \mapsto counter\ s), counter := Suc\ (counter\ s) \!)$
 $\implies P$
shows P
using *inv*
proof (*cases s rule: dfs-step-cases*)
case \exists **then** **show** *?thesis* **using** *empty[OF 3]* *inv*
using *dfs-step-simps(2)*
by *fastforce*

next

case ($\lambda x\ xs\ w\ ws$) **with** *inv* *dfs-step-simps(3)[of s x xs dfs]*
obtain e **where** $e:$
 $e \in w$
if $e \in dfs\ restrict\ dfs$ **then** $stack\ s' = x\#\!xs \wedge wl\ s' = (hd\ (wl\ s) - \{e\})\#\!tl\ (wl$
 $s) \wedge discover\ s' = discover\ s \wedge counter\ s' = counter\ s \wedge state\ s' = state\ s \wedge start$
 $s' = start\ s \wedge finish\ s' = finish\ s$
else if $e \in discovered\ s$
then $stack\ s' = x\#\!xs \wedge wl\ s' = (hd\ (wl\ s) - \{e\})\#\!tl\ (wl\ s) \wedge discover\ s'$
 $= discover\ s \wedge counter\ s' = counter\ s \wedge state\ s' = dfs\ remove\ dfs\ (state\ s)\ s\ e \wedge$
 $start\ s' = start\ s \wedge finish\ s' = finish\ s$
else $stack\ s' = e\#\!x\#\!xs \wedge wl\ s' = succs\ e\#\!(hd\ (wl\ s) - \{e\})\#\!tl\ (wl\ s) \wedge$

$discover\ s' = (discover\ s)(e \mapsto counter\ s) \wedge counter\ s' = Suc\ (counter\ s) \wedge state\ s' = dfs\text{-}action\ dfs\ (state\ s)\ s\ e \wedge start\ s' = start\ s \wedge finish\ s' = finish\ s$

by (*simp add: dfs-sws.defs*) *force*

show *?thesis*

proof (*cases e ∈ dfs-restrict dfs*)

case *True with* $\lambda e\ restrict[OF\ \lambda e(1)]$ **show** *?thesis by simp*

next

case *False with* $\lambda e\ remove[OF\ \lambda e(1)]\ visit[OF\ \lambda e(1)]$ **show** *?thesis by (cases e ∈ discovered s) simp-all*

qed

qed *simp-all*

lemma *stack-wl-remove-induct* [*case-names 0 greater, consumes 5*]:

assumes $stack\ s = x\#\#xs$ **and** $wl\ s = w\#\#ws$

and $stack\ s' = x\#\#xs$ **and** $wl\ s' = (w - \{e\})\#\#ws$

and *inv*: $\forall n < length\ (stack\ s). P\ (stack\ s!\ n)\ (wl\ s!\ n)\ (discover\ s)\ (finish\ s)\ (start\ s)$

and *case-0*: $P\ x\ w\ (discover\ s)\ (finish\ s)\ (start\ s) \implies P\ x\ (w - \{e\})\ (discover\ s')\ (finish\ s')\ (start\ s')$

and *step*: $\bigwedge n. \llbracket n < length\ (stack\ s); n > 0; P\ (stack\ s!\ n)\ (wl\ s!\ n)\ (discover\ s)\ (finish\ s)\ (start\ s) \rrbracket \implies P\ (stack\ s!\ n)\ (wl\ s!\ n)\ (discover\ s')\ (finish\ s')\ (start\ s')$

shows $\forall n < length\ (stack\ s'). P\ (stack\ s'!\ n)\ (wl\ s'!\ n)\ (discover\ s')\ (finish\ s')\ (start\ s')$

proof (*rule allI, rule impI*)

fix *n*

assume *lt'*: $n < length\ (stack\ s')$ **with** *assms* **have** *lt*: $n < length\ (stack\ s)$ **by** *simp*

show $P\ (stack\ s'!\ n)\ (wl\ s'!\ n)\ (discover\ s')\ (finish\ s')\ (start\ s')$

proof (*cases n*)

case *0 with* *assms lt'* **show** *?thesis by auto*

next

case (*Suc m*) **with** *lt step[of n] inv* **have** $P\ (stack\ s!\ n)\ (wl\ s!\ n)\ (discover\ s')\ (finish\ s')\ (start\ s')$ **by** *simp*

with *Suc assms(1-4)* **show** *?thesis by auto*

qed

qed

lemma *stack-wl-visit-induct* [*case-names case-0 case-1 greater, consumes 5*]:

assumes $stack\ s = x\#\#xs$ **and** $wl\ s = w\#\#ws$

and $stack\ s' = e\#\#x\#\#xs$ **and** $wl\ s' = succs\ e\#\#(w - \{e\})\#\#ws$

and *inv*: $\forall n < length\ (stack\ s). P\ (stack\ s!\ n)\ (wl\ s!\ n)\ (discover\ s)\ (finish\ s)\ (start\ s)$

and *case-0*: $P\ e\ (succs\ e)\ (discover\ s')\ (finish\ s')\ (start\ s')$

and *case-1*: $P\ x\ w\ (discover\ s)\ (finish\ s)\ (start\ s) \implies P\ x\ (w - \{e\})\ (discover\ s')\ (finish\ s')\ (start\ s')$

and *step*: $\bigwedge n. \llbracket n < length\ (stack\ s); n > 0; P\ (stack\ s!\ n)\ (wl\ s!\ n)\ (discover\ s)\ (finish\ s)\ (start\ s) \rrbracket \implies P\ (stack\ s'!\ n)\ (wl\ s'!\ n)\ (discover\ s')\ (finish\ s')\ (start\ s')$

```

s) (finish s) (start s) ]  $\implies$  P (stack s ! n) (wl s ! n) (discover s') (finish s') (start s')
  shows  $\forall n < \text{length} (\text{stack } s'). P (\text{stack } s' ! n) (\text{wl } s' ! n) (\text{discover } s') (\text{finish } s') (\text{start } s')$ 
  proof (rule allI, rule impI)
    fix n
    assume lt': n < length (stack s') hence lt: n < Suc (length (stack s)) using
  assms(1,3) by simp

  show P (stack s' ! n) (wl s' ! n) (discover s') (finish s') (start s')
  proof (cases n)
    case 0 with assms lt' show ?thesis by simp
  next
    case (Suc m) note suc-m = this
    show ?thesis
    proof (cases m)
      case 0 with suc-m assms show ?thesis by auto
    next
      case (Suc m') with suc-m lt have m < length (stack s) and m > 0 by
  simp-all
      with step[of m] inv have P (stack s ! m) (wl s ! m) (discover s') (finish s')
  (start s') by simp
      with suc-m assms(1-4) (m > 0) show ?thesis by auto
    qed
  qed
qed

```

Basic lemmata over *dfs-step* wrt to properties of the DFS

lemma *dfs-step-preserves-start*:

```

s' ∈ dfs-step dfs s  $\implies$  wl s  $\neq$  []  $\implies$  start s' = start s
by (cases rule: dfs-step-cases-elem) (auto simp add: dfs-sws.defs)

```

lemma *dfs-step-discovered-subset*:

```

s' ∈ dfs-step dfs s  $\implies$  wl s  $\neq$  []  $\implies$  discovered s'  $\supseteq$  discovered s
by (cases rule: dfs-step-cases-elem) force+

```

lemma *dfs-step-exists*:

```

assumes inv: stack s  $\neq$  [] wl s  $\neq$  []
shows dfs-step dfs s  $\neq$  {}
using inv
proof (cases stack s)
  case (Cons x xs) then show ?thesis
  proof (cases hd(wl s) = {})
    case True with dfs-step-simps(2)[OF Cons inv(2)] show ?thesis by blast
  next
    case False then obtain e where e ∈ hd (wl s) by blast
  thus ?thesis
  using dfs-step-simps(3)[OF Cons inv(2) (hd(wl s)  $\neq$  {}), of dfs]
  apply simp

```

apply (*rule ex-comm*[*THEN iffD1*]) — commute the two ex.-quant. variables in the conclusion

apply (*rule-tac x=e in exI*) — instantiate the first one

apply (*cases e ∈ dfs-restrict dfs, simp*)

by (*cases e ∈ discovered s*) *simp-all*

qed

qed *simp*

lemma *dfs-step-stack-not-discovered*:

assumes $s' \in \text{dfs-step } \text{dfs } s \text{ wl } s \neq []$

and $\text{length } (\text{stack } s') > \text{length } (\text{stack } s)$

shows $hd (\text{stack } s') \notin \text{discovered } s$

using *assms*

by *cases auto*

lemma *dfs-step-stack-not-restricted*:

assumes $s' \in \text{dfs-step } \text{dfs } s \text{ wl } s \neq []$

and $\text{length } (\text{stack } s') > \text{length } (\text{stack } s)$

shows $hd (\text{stack } s') \notin \text{dfs-restrict } \text{dfs}$

using *assms*

by *cases auto*

dfs-cond-compl specifies the condition that must hold to continue searching. It consists of the conditions needed by the framework and the ones given by *dfs-cond dfs*, that are dependent on the concrete implementation.

definition *dfs-cond-compl* :: $(S, 'n, 'X) \text{ dfs-algorithm-scheme} \Rightarrow (S, 'n) \text{ dfs-sws} \Rightarrow \text{bool}$

where

$\text{dfs-cond-compl } \text{dfs } s \equiv \text{stack } s \neq [] \wedge \text{wl } s \neq [] \wedge \text{dfs-cond } \text{dfs } (\text{state } s)$

lemma *dfs-cond-compl-cond* [*dest*]:

$\text{dfs-cond-compl } \text{dfs } s \Longrightarrow \text{dfs-cond } \text{dfs } (\text{state } s)$

unfolding *dfs-cond-compl-def*

by *auto*

lemma *dfs-cond-compl-step-exists* [*dest*]:

$\text{dfs-cond-compl } \text{dfs } s \Longrightarrow \text{dfs-step } \text{dfs } s \neq \{\}$

unfolding *dfs-cond-compl-def*

by (*simp add: dfs-step-exists*)

dfs-next includes the above condition, s.t. it is only possible to make a step, if the condition is met.

definition *dfs-next* :: $(S, 'n, 'X) \text{ dfs-algorithm-scheme} \Rightarrow (S, 'n) \text{ dfs-sws} \Rightarrow (S, 'n) \text{ dfs-sws} \Rightarrow \text{bool}$

where

$\text{dfs-next } \text{dfs } s \ s' \longleftrightarrow$

$\text{dfs-cond-compl } \text{dfs } s \wedge s' \in \text{dfs-step } \text{dfs } s$

lemma *dfs-nextE*:

$\llbracket \text{dfs-next dfs } s \ s'; \llbracket \text{dfs-cond-compl dfs } s; s' \in \text{dfs-step dfs } s \rrbracket \implies P \rrbracket \implies P$
unfolding *dfs-next-def*
by *blast*

lemma *dfs-next-dfs-step[dest]*:
 $\text{dfs-next dfs } s \ s' \implies s' \in \text{dfs-step dfs } s$
unfolding *dfs-next-def*
by *simp*

lemma *dfs-next-stack-notempty[simp, intro]*:
 $\text{dfs-next dfs } s \ s' \implies \text{stack } s \neq []$
unfolding *dfs-next-def dfs-cond-compl-def*
by *simp-all*

lemma *dfs-next-wl-notempty[simp, intro]*:
 $\text{dfs-next dfs } s \ s' \implies \text{wl } s \neq []$
unfolding *dfs-next-def dfs-cond-compl-def*
by *simp-all*

lemma *lift-to-dfs-next*:
assumes $s' \in \text{dfs-step dfs } s \implies \text{wl } s \neq [] \implies \text{PROP } S$
shows $\text{dfs-next dfs } s \ s' \implies \text{PROP } S$
using *assms*
by (*simp add: dfs-next-dfs-step*)

lemmas *dfs-next-cases-elim* [*case-names empty restrict remove visit, cases pred:*
dfs-next] = *dfs-step-cases-elim*[*COMP lift-to-dfs-next*]

lemma *dfs-nextI*:
 $\text{dfs-cond-compl dfs } s \implies s' \in \text{dfs-step dfs } s \implies \text{dfs-next dfs } s \ s'$
unfolding *dfs-next-def*
by *auto*

lemma *dfs-next-cond-compl*:
 $\text{dfs-next dfs } s \ s' \implies \text{dfs-cond-compl dfs } s$
unfolding *dfs-next-def*
by *auto*

lemmas *dfs-next-intros* = *dfs-step-intros*[*THEN dfs-nextI[rotated], rotated -1*]

end

1.2 Properties of the DFS

Using some notion of a *good state* via the predicate *dfs-constructable*, we enable the tool of induction over DFS. This is very useful for showing that certain (basic) properties hold throughout the search.

context *finite-digraph*

begin

To be able to define good states, we have to define a basic state, i.e. the state to start with for every possible DFS. Then *dfs-constructable* is just the set of states, that can be constructed from this point with the given graph.

definition *dfs-constr-start* :: ('S, 'n, 'X) *dfs-algorithm-scheme* ⇒ 'n ⇒ ('S, 'n) *dfs-sws*

where *dfs-constr-start dfs x* ≡ (| *start* = *x*, *stack* = [*x*], *wl* = [*succs x*], *discover* = [*x* ↦ 0], *finish* = *Map.empty*, *counter* = 1, *state* = *dfs-start dfs x* |)

lemma *dfs-constr-start-simps*[*simp*]:

start (*dfs-constr-start dfs x*) = *x*
stack (*dfs-constr-start dfs x*) = [*x*]
wl (*dfs-constr-start dfs x*) = [*succs x*]
discovered (*dfs-constr-start dfs x*) = {*x*}
ran (*discover* (*dfs-constr-start dfs x*)) = {0}
finished (*dfs-constr-start dfs x*) = {}
ran (*finish* (*dfs-constr-start dfs x*)) = {}
counter (*dfs-constr-start dfs x*) = 1
state (*dfs-constr-start dfs x*) = *dfs-start dfs x*

by (*simp-all add: dfs-constr-start-def*)

inductive *dfs-constructable* :: ('S, 'n, 'X) *dfs-algorithm-scheme* ⇒ ('S, 'n) *dfs-sws* ⇒ *bool*

for *dfs* :: ('S, 'n, 'X) *dfs-algorithm-scheme* **where**

start: $\bigwedge x. x \in V \implies x \notin \text{dfs-restrict } \text{dfs} \implies \text{dfs-constructable } \text{dfs} (\text{dfs-constr-start } \text{dfs } x)$

| *step*: $\text{dfs-next } \text{dfs } s \ s' \implies \text{dfs-constructable } \text{dfs } s \implies \text{dfs-constructable } \text{dfs } s'$

lemma *dfs-constructable-induct* [*case-names start empty restrict remove visit, induct pred: dfs-constructable*]:

assumes *inv*: *dfs-constructable dfs s*

and *start'*: $\bigwedge x. x \in V \implies x \notin \text{dfs-restrict } \text{dfs} \implies P (\text{dfs-constr-start } \text{dfs } x)$

and *empty'*: $\bigwedge s \ s' \ x \ xs \ w \ ws. \llbracket \text{dfs-next } \text{dfs } s \ s'; \text{dfs-constructable } \text{dfs } s; \text{dfs-constructable } \text{dfs } s'; P \ s; \text{stack } s = x \# \ xs; \text{wl } s = w \# \ ws; w = \{\};$

$s' = s(\text{stack} := xs, \text{wl} := ws, \text{finish} := (\text{finish } s)(x \mapsto \text{counter } s), \text{counter} := \text{Suc } (\text{counter } s), \text{state} := \text{dfs-post } \text{dfs} (\text{state } s) \ s \ x) \rrbracket$
 $\implies P \ s'$

and *restrict'*: $\bigwedge s \ s' \ e \ x \ xs \ w \ ws. \llbracket \text{dfs-next } \text{dfs } s \ s'; \text{dfs-constructable } \text{dfs } s; \text{dfs-constructable } \text{dfs } s'; P \ s; \text{stack } s = x \# \ xs; \text{wl } s = w \# \ ws; w \neq \{\};$

$e \in w; e \in \text{dfs-restrict } \text{dfs}; s' = s(\text{wl} := (w - \{e\}) \# \ ws) \rrbracket$
 $\implies P \ s'$

and *remove'*: $\bigwedge s \ s' \ e \ x \ xs \ w \ ws. \llbracket \text{dfs-next } \text{dfs } s \ s'; \text{dfs-constructable } \text{dfs } s; \text{dfs-constructable } \text{dfs } s'; P \ s; \text{stack } s = x \# \ xs; \text{wl } s = w \# \ ws; w \neq \{\};$

$e \in w; e \notin \text{dfs-restrict } \text{dfs}; e \in \text{discovered } s; s' = s(\text{wl} := (w - \{e\}) \# \ ws, \text{state} := \text{dfs-remove } \text{dfs} (\text{state } s) \ s \ e) \rrbracket$
 $\implies P \ s'$

and *visit'*: $\bigwedge s \ s' \ e \ x \ xs \ w \ ws. \llbracket \text{dfs-next } \text{dfs } s \ s'; \text{dfs-constructable } \text{dfs } s; \text{dfs-constructable}$

```

dfs s'; P s; stack s = x#xs; wl s = w#ws; w ≠ {};
  e ∈ w; e ∉ dfs-restrict dfs; e ∉ discovered s;
  s' = s \ state := dfs-action dfs (state s) s e, stack := e#x#xs, wl :=
succs e#(w - {e})#ws, discover := (discover s)(e ↦ counter s), counter := Suc
(counter s) \ ]
  ⇒ P s'
shows P s
using inv
proof (induct rule: dfs-constructable.induct)
  case start with start' show ?case by metis
next
  case (step s s') then show ?case by (cases rule: dfs-next-cases-elim) (metis
dfs-constructable.step step empty' restrict' remove' visit')+
qed

```

Formulate the constructable predicate with an explicit starting point.

```

inductive-set dfs-constr-from :: ('S, 'n, 'X) dfs-algorithm-scheme ⇒ 'n ⇒ ('S, 'n)
dfs-sws set
for dfs :: ('S, 'n, 'X) dfs-algorithm-scheme
and x :: 'n
where
  start[simp]: x ∈ V ⇒ x ∉ dfs-restrict dfs ⇒ dfs-constr-start dfs x ∈ dfs-constr-from
dfs x
| step: s ∈ dfs-constr-from dfs x ⇒ dfs-next dfs s s' ⇒ s' ∈ dfs-constr-from dfs
x

```

```

lemma dfs-constr-from-rtranclp-dfs-next:
  assumes x ∈ V x ∉ dfs-restrict dfs
  shows s ∈ dfs-constr-from dfs x ⟷ (dfs-next dfs)** (dfs-constr-start dfs x) s
proof
  assume s ∈ dfs-constr-from dfs x thus (dfs-next dfs)** (dfs-constr-start dfs x) s
by induction (simp-all add: assms)
next
  assume (dfs-next dfs)** (dfs-constr-start dfs x) s thus s ∈ dfs-constr-from dfs x
by induction (simp-all add: dfs-constr-from.step assms)
qed

```

```

lemma dfs-constr-from-constructable[dest]:
  s ∈ dfs-constr-from dfs x ⇒ dfs-constructable dfs s
by (induction rule: dfs-constr-from.induct) (simp-all add: dfs-constructable.start
dfs-constructable.step)

```

```

lemma dfs-constructable-constr-from-start:
  dfs-constructable dfs s ⇒ s ∈ dfs-constr-from dfs (start s)
by (induction rule: dfs-constructable.induct) (simp, metis dfs-constr-from.step dfs-step-preserves-start[COMP
lift-to-dfs-next])

```

```

lemma constr-from-implies-start:
  s ∈ dfs-constr-from dfs x ⇒ start s = x

```

by (induction rule: dfs-constr-from.induct) (simp-all add: dfs-step-preserves-start[COMP lift-to-dfs-next])

lemma counter-larger-one:

$dfs\text{-constructable } dfs\ s \implies counter\ s \geq 1$

by (induct rule: dfs-constructable-induct) auto

lemma length-wl-eq-stack:

$dfs\text{-constructable } dfs\ s \implies length\ (wl\ s) = length\ (stack\ s)$

by (induction rule: dfs-constructable-induct) auto

lemma succs-discovered-subset-wl-all:

$dfs\text{-constructable } dfs\ s \implies \forall n < length\ (stack\ s). succs\ (stack\ s\ !\ n) - discovered\ s - dfs\text{-restrict } dfs \subseteq wl\ s\ !\ n$

proof (induction rule: dfs-constructable-induct)

case (empty s s') **hence** $length\ (stack\ s) = length\ (wl\ s)$ **by** (auto dest: length-wl-eq-stack)

with list-take-induct-tl2[OF this(1)] empty.IH empty **show** ?case **by** simp

next

case (remove s s' e x xs w ws)

then have $w': wl\ s' = (w - \{e\}) \# ws$ **and** $s': stack\ s' = x \# xs$ **and** $d': discovered\ s' = discovered\ s$ **by** auto

from remove(4,5) s' w' remove.IH **show** ?case

by (rule stack-wl-remove-induct) (simp-all add: d', blast intro!: remove(9))

next

case (restrict s s' e x xs w ws)

then have $w': wl\ s' = (w - \{e\}) \# ws$ **and** $s': stack\ s' = x \# xs$ **and** $d': discovered\ s' = discovered\ s$ **by** auto

from restrict(4,5) s' w' restrict.IH **show** ?case

by (rule stack-wl-remove-induct) (simp-all add: d', blast intro!: restrict(8))

next

case (visit s s' e x xs w ws) **hence** $s': stack\ s' = e \# x \# xs$ **and** $w': wl\ s' = succs\ e \# (w - \{e\}) \# ws$ **and** $d': discovered\ s' = insert\ e\ (discovered\ s)$ **by** simp-all

from visit(4,5) s' w' visit.IH **show** ?case

by (rule stack-wl-visit-induct) (auto simp add: d')

qed auto

lemma succs-discovered-subset-wl:

$dfs\text{-constructable } dfs\ s \implies n < length\ (stack\ s) \implies succs\ (stack\ s\ !\ n) - discovered\ s - dfs\text{-restrict } dfs \subseteq wl\ s\ !\ n$

by (metis succs-discovered-subset-wl-all)

lemma empty-wl-succs-discovered:

$dfs\text{-constructable } dfs\ s \implies n < length\ (stack\ s) \implies wl\ s\ !\ n = \{\} \implies succs\ (stack\ s\ !\ n) - dfs\text{-restrict } dfs \subseteq discovered\ s$

using succs-discovered-subset-wl

by blast

lemma *wl-subset-succs-all*:
 $dfs\text{-constructable } dfs\ s \implies \forall n < length\ (stack\ s).\ wl\ s\ !\ n \subseteq succs\ (stack\ s\ !\ n)$
proof (*induction rule: dfs-constructable-induct*)
case (*empty s s'*) **hence** $length\ (wl\ s) = length\ (stack\ s)$ **by** (*auto dest: length-wl-eq-stack*)
with *list-take-induct-tl2[OF this(1)] empty.IH empty* **show** *?case* **by** *simp*
next
case (*remove s s' e x xs w ws*) **hence** $w':\ wl\ s' = (w - \{e\}) \# ws$ **and** $s':\ stack\ s' = x \# xs$ **by** *simp-all*
from *remove(4,5) s' w' remove.IH* **show** *?case* **by** (*rule stack-wl-remove-induct*) *auto*
next
case (*restrict s s' e x xs w ws*) **hence** $w':\ wl\ s' = (w - \{e\}) \# ws$ **and** $s':\ stack\ s' = x \# xs$ **by** *simp-all*
from *restrict(4,5) s' w' restrict.IH* **show** *?case* **by** (*rule stack-wl-remove-induct*) *auto*
next
case (*visit s s' e x xs w ws*) **hence** $e:\ stack\ s' = e \# x \# xs$ $wl\ s' = succs\ e \# (w - \{e\}) \# ws$ **by** *simp-all*
from *visit(4,5) e visit.IH* **show** *?case* **by** (*rule stack-wl-visit-induct*) *auto*
qed *simp*

lemma *wl-subset-succs*:
 $dfs\text{-constructable } dfs\ s \implies n < length\ (stack\ s) \implies wl\ s\ !\ n \subseteq succs\ (stack\ s\ !\ n)$
by (*metis wl-subset-succs-all*)

lemma *wl-subset-verts*:
 $dfs\text{-constructable } dfs\ s \implies x \in set\ (wl\ s) \implies x \subseteq V$
proof –
assume $c:\ dfs\text{-constructable } dfs\ s$ **and** $x \in set\ (wl\ s)$
hence $\exists n. x = wl\ s\ !\ n \wedge n < length\ (wl\ s)$ **using** *in-set-conv-nth[of x wl s]*
by *blast*
then obtain n **where** $x = wl\ s\ !\ n$ $n < length\ (wl\ s)$ **by** *force*
with *length-wl-eq-stack[OF c] wl-subset-succs[OF c]* **have** $x \subseteq succs\ (stack\ s\ !\ n)$ **by** *simp*
with *succs-in-V* **show** *?thesis* **by** *auto*
qed

lemma *wl-finite*:
 $dfs\text{-constructable } dfs\ s \implies x \in set\ (wl\ s) \implies finite\ x$
by (*metis wl-subset-verts finite-V finite-subset*)

lemma *discovered-subset-verts*:
 $dfs\text{-constructable } dfs\ s \implies discovered\ s \subseteq V$
proof (*induct s rule: dfs-constructable-induct*)
case (*visit s s' e - - w*) **hence** $discovered\ s' = insert\ e\ (discovered\ s)$ **by** *simp*
moreover
from *visit wl-subset-verts[OF visit(2)]* **have** $w \subseteq V$ **by** *simp*

with *visit* **have** $e \in V$ **by** *auto*
ultimately show *?case* **using** $\langle \text{discovered } s \subseteq V \rangle$ **by** *auto*
qed *simp+*

lemma *discovered-finite*:
 $\text{dfs-constructable dfs } s \implies \text{finite } (\text{discovered } s)$
by (*metis finite-V finite-subset discovered-subset-verts*)

lemma *ran-discover-finite*:
 $\text{dfs-constructable dfs } s \implies \text{finite } (\text{ran } (\text{discover } s))$
by (*metis discovered-finite map-dom-ran-finite*)

lemma *stack-subset-discovered*:
 $\text{dfs-constructable dfs } s \implies \text{set } (\text{stack } s) \subseteq \text{discovered } s$
by (*induct rule: dfs-constructable-induct*) *auto*

lemma *stack-subset-verts*:
 $\text{dfs-constructable dfs } s \implies \text{set } (\text{stack } s) \subseteq V$
by (*metis discovered-subset-verts stack-subset-discovered subset-trans*)

lemma *stack-distinct*:
 $\text{dfs-constructable dfs } s \implies \text{distinct } (\text{stack } s)$
proof (*induct rule: dfs-constructable-induct*)
case (*visit s s' - x xs*)
hence *tl*: $\text{stack } s = \text{tl } (\text{stack } s')$ **by** *simp*

{
assume $\text{hd } (\text{stack } s') \notin \text{set } (\text{stack } s)$
with *tl* **have** $\text{hd } (\text{stack } s') \notin \text{set } (\text{tl } (\text{stack } s'))$ **by** *simp*

moreover
from *tl* $\langle \text{distinct } (\text{stack } s) \rangle$ **have** $\text{distinct } (\text{tl } (\text{stack } s'))$ **by** *simp*

ultimately have $\text{distinct } (\text{stack } s')$ **by** (*cases stack s'*) *simp-all*

}

moreover
from *visit* **have** $\text{hd } (\text{stack } s') \notin \text{discovered } s$ **using** *dfs-step-stack-not-discovered*[*OF dfs-next-dfs-step*] **by** *simp*

moreover
from *visit* *stack-subset-discovered* **have** $\text{set } (\text{stack } s) \subseteq \text{discovered } s$ **by** *blast*
ultimately show *?case* **by** *auto*
qed *simp+*

lemma *last-stack-is-start*:
 $\text{dfs-constructable dfs } s \implies \text{stack } s \neq [] \implies \text{last } (\text{stack } s) = \text{start } s$
by (*induct rule: dfs-constructable-induct*) (*auto simp add: dfs-constr-start-def tl-last*)

lemma *start-discovered*:

dfs-constructable dfs s \implies start s \in discovered s

proof (*induct rule: dfs-constructable.induct*)

case step thus ?*case by* (*metis set-rev-mp dfs-step-discovered-subset dfs-next-dfs-step dfs-step-preserved-start dfs-next-wl-notempty*)

qed *simp*

lemma *start-not-restr*:

dfs-constructable dfs s \implies start s \notin dfs-restrict dfs

by (*induction rule: dfs-constructable-induct*) *simp-all*

lemma *dfs-start-in-verts*:

dfs-constructable dfs s \implies start s \in V

by (*metis start-discovered discovered-subset-verts set-rev-mp*)

lemma *finished-stack-eq-discovered*:

dfs-constructable dfs s \implies finished s \cup set (stack s) = discovered s

proof (*induction rule: dfs-constructable-induct*)

case (*empty s s' x xs*)

hence *set (stack s) = set (stack s') \cup {hd (stack s)}* **by** (*fastforce intro: list.exhaust*)

with empty have *finished s' \cup set (stack s') = finished s \cup set (stack s)* **by** *auto*

also note *empty.IH*

also from empty have *discovered s = discovered s'* **by** *simp*

finally show ?*case* .

next

case (*visit s s' e x xs*) **then have** *fin-eq: finished s' = finished s* **by** *simp*

from visit have *dis-eq: discovered s' = insert e (discovered s)* **and** *stack s' = e # stack s* **by** *simp-all*

then have *set (stack s') = insert e (set (stack s))* **by** *auto*

hence *finished s' \cup set (stack s') = insert e ((finished s') \cup set (stack s))* **by** *auto*

also with fin-eq have *... = insert e (finished s \cup set (stack s))* **by** *simp*

also with visit.IH have *... = insert e (discovered s)* **by** *simp*

also with dis-eq have *... = discovered s'* **by** *simp*

finally show ?*case* .

qed *simp+*

lemma *finished-subset-discovered*:

dfs-constructable dfs s \implies finished s \subseteq discovered s

by (*auto dest!: finished-stack-eq-discovered*)

lemma *finished-subset-verts*:

dfs-constructable dfs s \implies finished s \subseteq V

by (*metis finished-subset-discovered discovered-subset-verts subset-trans*)

lemma *finished-finite*:

dfs-constructable dfs s \implies finite (finished s)

by (*metis finished-subset-verts finite-V finite-subset*)

lemma *ran-finish-finite*:

dfs-constructable dfs s \implies finite (ran (finish s))

by (*metis map-dom-ran-finite finished-finite*)

lemma *finished-implies-discovered*:

dfs-constructable dfs s \implies v \in finished s \implies v \in discovered s

by (*auto dest!: finished-subset-discovered*)

lemma *not-discovered-implies-not-finished*:

dfs-constructable dfs s \implies v \notin discovered s \implies v \notin finished s

by (*metis finished-implies-discovered*)

lemma *discovered-non-stack-implies-finished*:

dfs-constructable dfs s \implies v \in discovered s \implies v \notin set (stack s) \implies v \in finished s

by (*auto dest!: finished-stack-eq-discovered*)

lemma *discovered-not-finished-implies-stack*:

dfs-constructable dfs s \implies v \in discovered s \implies v \notin finished s \implies v \in set (stack s)

by (*metis discovered-non-stack-implies-finished*)

lemma *discovered-not-restricted*:

dfs-constructable dfs s \implies v \in discovered s \implies v \notin dfs-restrict dfs

by (*induction rule: dfs-constructable-induct*) *auto*

lemma *finished-not-restricted*:

dfs-constructable dfs s \implies v \in finished s \implies v \notin dfs-restrict dfs

using *discovered-not-restricted finished-subset-discovered*

by *blast*

lemma *stack-not-restricted*:

dfs-constructable dfs s \implies v \in set (stack s) \implies v \notin dfs-restrict dfs

using *discovered-not-restricted stack-subset-discovered*

by *blast*

lemma *restricted-not-finished*:

dfs-constructable dfs s \implies v \in dfs-restrict dfs \implies v \notin finished s

using *finished-not-restricted* **by** *blast*

lemma *restricted-not-discovered*:

dfs-constructable dfs s \implies v \in dfs-restrict dfs \implies v \notin discovered s

using *discovered-not-restricted* **by** *blast*

lemma *restricted-not-stack*:

dfs-constructable dfs s \implies v \in dfs-restrict dfs \implies v \notin set (stack s)

using *stack-not-restricted* **by** *blast*

lemma *stack-implies-not-finished*:

dfs-constructable dfs s $\implies v \in \text{set } (\text{stack } s) \implies v \notin \text{finished } s$

proof (*induction rule: dfs-constructable-induct*)

case (*empty - - x*) **with** *stack-distinct*[*OF empty(2)*] **show** *?case* **by** (*cases v = x*) *auto*

next

case (*visit s s' e*) **then have** *f-eq: finish s = finish s'* **and** *e-stack: stack s' = e # stack s* **by** *simp-all*

with *visit(9) not-discovered-implies-not-finished*[*OF visit(2)*] **have** *e* \notin *finished s* **by** *simp*

with *e-stack visit.IH visit(12) f-eq* **show** *?case* **by** *auto*

qed *simp+*

lemma *finished-implies-not-stack*:

dfs-constructable dfs s $\implies x \in \text{finished } s \implies x \notin \text{set } (\text{stack } s)$

by (*metis stack-implies-not-finished*)

lemma *stack-in-Suc-succs-restr-all*:

dfs-constructable dfs s $\implies \forall n < (\text{length } (\text{stack } s)) - 1. (\text{stack } s ! n) \in \text{succs } (\text{stack } s ! \text{Suc } n) - \text{dfs-restrict } \text{dfs}$

proof (*induction rule: dfs-constructable-induct*)

case (*empty - - - xs*) **thus** *?case* **by** (*induct xs*) *auto*

next

case (*visit s s' e - - w*) **hence** *stack s' = e # stack s* **by** *simp*

with *wl-subset-succs*[*OF visit(2), of 0*] *visit* **have** *stack s' ! 0* $\in \text{succs } (\text{stack } s' ! \text{Suc } 0) - \text{dfs-restrict } \text{dfs}$ **using** *dfs-next-stack-notempty*[*OF visit(1)*], *THEN hd-conv-nth*] **by** *auto*

moreover

from *visit* **have** $\bigwedge n. n < (\text{length } (\text{stack } s')) - 1 \implies n > 0 \implies (\text{stack } s' ! n) \in \text{succs } (\text{stack } s' ! \text{Suc } n) - \text{dfs-restrict } \text{dfs}$ **by** *fastforce*

ultimately show *?case* **by** *blast*

qed *simp+*

lemma *stack-in-Suc-succs-restr*:

dfs-constructable dfs s $\implies n < \text{length } (\text{stack } s) - 1$

$\implies \text{stack } s ! n \in \text{succs } (\text{stack } s ! \text{Suc } n) - \text{dfs-restrict } \text{dfs}$

by (*metis stack-in-Suc-succs-restr-all*)

lemma *Suc-stack-stack-in-restr-edges*:

dfs-constructable dfs s $\implies n < \text{length } (\text{stack } s) - 1 \implies (\text{stack } s ! \text{Suc } n, \text{stack } s ! n) \in (\text{rel-restrict } E (\text{dfs-restrict } \text{dfs}))$

using *succs-implies-edge rel-restrict-notR stack-in-Suc-succs-restr restricted-not-stack* **by** (*smt Diff-iff nth-mem*)

lemma *Suc-stack-stack-in-edges*:

$dfs\text{-constructable } dfs\ s \implies n < length\ (stack\ s) - 1 \implies (stack\ s\ !\ Suc\ n,\ stack\ s\ !\ n) \in E$

using *Suc-stack-stack-in-restr-edges rel-restrict-lift*
by *metis*

lemma *Suc-reachable-stack:*

$dfs\text{-constructable } dfs\ s \implies n < (length\ (stack\ s)) - 1 \implies stack\ s\ !\ Suc\ n \rightarrow \backslash dfs\text{-restrict } dfs+ stack\ s\ !\ n$

using *restr-reachable1-trancl Suc-stack-stack-in-restr-edges*
by *blast*

lemma *prev-reachable-stack:*

$dfs\text{-constructable } dfs\ s \implies n < length\ (stack\ s) \implies m < n \implies stack\ s\ !\ n \rightarrow \backslash dfs\text{-restrict } dfs+ stack\ s\ !\ m$

by (*metis restr-reachable1-trancl nth-step-trancl Suc-stack-stack-in-restr-edges*)

lemma *tl-reachable-stack-hd:*

assumes *constr: dfs-constructable dfs s*

and $x \in set\ (tl\ (stack\ s))$

shows $x \rightarrow \backslash dfs\text{-restrict } dfs+ hd\ (stack\ s)$

proof –

from *assms* **have** $ne: stack\ s \neq []$ **by** *auto*

from *assms* **obtain** n **where** $n < length\ (tl\ (stack\ s))\ tl\ (stack\ s)\ !\ n = x$

unfolding *in-set-conv-nth* **by** *auto*

with $nth\text{-tl}[OF\ ne]$ **have** $Suc\ n < length\ (stack\ s)\ stack\ s\ !\ (Suc\ n) = x$ **by** *simp-all*

moreover with *prev-reachable-stack[OF constr, of Suc n 0]* **have** $stack\ s\ !\ Suc\ n \rightarrow \backslash dfs\text{-restrict } dfs+ stack\ s\ !\ 0$ **by** *simp*

ultimately show *?thesis* **using** *hd-conv-nth[OF ne]* **by** *simp*

qed

lemma *start-reachable-stack:*

assumes *constr: dfs-constructable dfs s*

and $x \in set\ (stack\ s)$

shows $start\ s \rightarrow^* x$

proof (*cases x = start s*)

case *True* **with** *self-reachable dfs-start-in-verts[OF constr]* **show** *?thesis* **by** *simp*
next

case *False* **with** *assms* **have** $stack\ s \neq []$ **by** *auto*

with *last-stack-is-start[OF constr]* *last-conv-nth* **have** $s\text{-nth}: start\ s = stack\ s\ !\ (length\ (stack\ s) - 1)$ **by** *metis*

from $\langle x \in set\ (stack\ s) \rangle$ **obtain** n **where** $n: n < length\ (stack\ s)\ stack\ s\ !\ n = x$
unfolding *in-set-conv-nth* **by** *auto*

have $n < length\ (stack\ s) - 1$

proof (*rule ccontr*)

assume $\neg n < length\ (stack\ s) - 1$

with n **have** $n = length\ (stack\ s) - 1$ **by** *auto*

with s -nth n *False* **show** *False* **by** *simp*
qed
with *prev-reachable-stack*[*OF* *constr*] **have** $stack\ s \ ! \ (length\ (stack\ s) - 1)$
 $\rightarrow \backslash$ *dfs-restrict* *dfs+* $stack\ s \ ! \ n$ **by** *auto*
with s -nth n **have** $start\ s \ \rightarrow \backslash$ *dfs-restrict* *dfs+* x **by** *auto*
thus *?thesis* **by** *blast*
qed

lemma *ran-discover-lt-counter*:

$dfs\ constructable\ dfs\ s \ \Longrightarrow v \in ran\ (discover\ s) \ \Longrightarrow v < counter\ s$
proof (*induction rule: dfs-constructable-induct*)
case (*visit* $s\ s'\ e$) **hence**
 d' : $discover\ s' = (discover\ s)(e \mapsto counter\ s)$
and c' : $counter\ s' = Suc\ (counter\ s)$
by *simp-all*
with *visit*(g) **have** r' : $ran\ (discover\ s') = ran\ (discover\ s) \cup \{counter\ s\}$ **by**
auto

from c' **show** *?case*
proof (*cases* $v = counter\ s$)
case *False* **with** *visit.prem*s r' **have** $v \in ran\ (discover\ s)$ **by** *simp*
with *visit.IH* c' **show** *?thesis* **by** *simp*
qed *simp*
qed *auto*

lemma *discover-lt-counter*:

assumes *constr*: $dfs\ constructable\ dfs\ s$
and nn : $v \in discovered\ s$
shows $\delta\ s\ v < counter\ s$
proof –
from nn **have** $\delta\ s\ v \in ran\ (discover\ s)$ **by** (*auto intro: ranI*)
with *ran-discover-lt-counter*[*OF* *constr*] **show** *?thesis* .
qed

lemma *ran-finish-lt-counter*:

$dfs\ constructable\ dfs\ s \ \Longrightarrow v \in ran\ (finish\ s) \ \Longrightarrow v < counter\ s$
proof (*induction rule: dfs-constructable-induct*)
case (*empty* $s\ s'\ x\ xs$)
hence f' : $finish\ s' = (finish\ s)(x \mapsto counter\ s)$
and c' : $counter\ s' = Suc\ (counter\ s)$
by *simp-all*

with *stack-implies-not-finished*[*OF* *empty*(2)] *empty*(4) **have** $x \notin finished\ s$ **by**
simp
with f' **have** r' : $ran\ (finish\ s') = ran\ (finish\ s) \cup \{counter\ s\}$ **by** *auto*

from c' **show** *?case*
proof (*cases* $v = counter\ s$)
case *False* **with** *empty.prem*s r' **have** $v \in ran\ (finish\ s)$ **by** *simp*

with $f' c' \text{ empty.IH}$ **show** $?thesis$ **by** *simp*
qed *simp*
qed *auto*

lemma *finish-lt-counter*:

assumes $\text{constr: dfs-constructable dfs } s$
and $\text{nn: } v \in \text{finished } s$
shows $\varphi s v < \text{counter } s$

proof –

from nn **have** $\varphi s v \in \text{ran } (\text{finish } s)$ **by** (*auto intro: ranI*)
with $\text{ran-finish-lt-counter}[OF \text{ constr}]$ **show** $?thesis$.
qed

lemma *finish-gt-discover*:

$\text{dfs-constructable dfs } s \implies v \in \text{finished } s \implies \varphi s v > \delta s v$

proof (*induction rule: dfs-constructable-induct*)

case ($\text{empty } s s' x xs$)

hence $f': \text{finish } s' = (\text{finish } s)(x \mapsto \text{counter } s)$

and $d': \text{discover } s = \text{discover } s'$

and $c': \text{counter } s' = \text{Suc } (\text{counter } s)$

by *simp-all*

show $?case$

proof ($\text{cases } v = x$)

case *False* **with** $\text{empty.prem } f'$ **have** $v \in \text{finished } s \varphi s v = \varphi s' v$ **by** *auto*

with $d' \text{ empty.IH}$ **show** $?thesis$ **by** *auto*

next

case *True* **with** f' **have** $v \in \text{finished } s'$ **by** *simp*

with $\text{finished-implies-discovered}[OF \text{ empty}(3)]$ d' **have** $v \in \text{discovered } s$ **by**

simp

with $\text{discover-lt-counter}[OF \text{ empty}(2)]$ d' **have** $\delta s' v < \text{counter } s$ **by** *simp*

with $f' c' \text{ True}$ **show** $?thesis$ **by** *simp*

qed

next

case ($\text{visit } s s' e$)

hence $d': \text{discover } s' = (\text{discover } s)(e \mapsto \text{counter } s)$

and $f': \text{finish } s = \text{finish } s'$

by *simp-all*

show $?case$

proof ($\text{cases } v = e$)

case *False* **with** $d' f' \text{ visit}$ **show** $?thesis$ **by** *force*

next

case *True* **with** f' **have** $v \notin \text{finished } s'$ **using** $\text{not-discovered-implies-not-finished}[OF \text{ visit}(2) \text{ visit}(9)]$ **by** *simp*

with visit.prem **show** $?thesis$ **by** *contradiction*

qed

qed *simp+*

lemma *discover-finish-distinct*:
 $dfs\text{-constructable } dfs\ s \implies ran\ (finish\ s) \cap ran\ (discover\ s) = \{\}$
proof (*induction rule: dfs-constructable-induct*)
case (*empty s s' x xs*) **then have** $x \notin finished\ s$ **using** *stack-implies-not-finished*[*OF empty(2)*] **hd-in-set** **by** *simp*
with empty **have** $ran\ (finish\ s') = insert\ (counter\ s)\ (ran\ (finish\ s))\ ran\ (discover\ s') = ran\ (discover\ s)$
by *auto*
moreover
with *ran-discover-lt-counter*[*OF empty(2)*] **have** $counter\ s \notin ran\ (discover\ s')$
by *auto*
ultimately show *?case* **using** *empty.IH* **by** *auto*
next
case (*visit s s' e*) **hence** d' : $discover\ s' = discover\ s\ (e \mapsto counter\ s)$ **by** *simp*
with *visit(9)* **have** $ran\ (discover\ s') = insert\ (counter\ s)\ (ran\ (discover\ s))$ **by** *auto*
moreover
from *visit* **have** $finish\ s = finish\ s'$ **by** *simp*
moreover
with *ran-finish-lt-counter*[*OF visit(2)*] **have** $counter\ s \notin ran\ (finish\ s')$ **by** *auto*
ultimately show *?case* **using** *visit.IH* **by** *auto*
qed *simp+*

lemma *discover-neq-finish*:
assumes *constr: dfs-constructable dfs s*
and *verts: v ∈ V w ∈ V*
and *discovered: v ∈ discovered s*
shows $discover\ s\ v \neq finish\ s\ w$
using *discovered*
proof (*cases finish s w*)
case (*Some x*) **then have** $x \notin ran\ (discover\ s)$ **using** *discover-finish-distinct*[*OF constr*] **by** (*auto intro: ranI*)
with *Some discovered* **show** *?thesis* **by** (*metis ranI*)
qed *auto*

lemma *discover-card-ran-dom*:
 $dfs\text{-constructable } dfs\ s \implies card\ (ran\ (discover\ s)) = card\ (dom\ (discover\ s))$
proof (*induction rule: dfs-constructable-induct*)
case (*visit s s'*) **with** *discovered-finite*[*OF visit(2)*] *visit(9)* **have** $card\ (dom\ (discover\ s')) = card\ (dom\ (discover\ s)) + 1$ **by** *simp*
moreover
from *visit ran-discover-lt-counter*[*OF visit(2)*] **have** $ran\ (discover\ s') = insert\ (counter\ s)\ (ran\ (discover\ s))\ counter\ s \notin ran\ (discover\ s)$ **by** *auto*
with *ran-discover-finite*[*OF visit(2)*] **have** $card\ (ran\ (discover\ s')) = card\ (ran\ (discover\ s)) + 1$ **by** *simp*

ultimately show *?case* **using** *visit.IH* **by** *simp*
qed *simp+*

lemma *discover-neq-discover*:

assumes *constr*: *dfs-constructable dfs s*
and *verts*: $v \in V$ $w \in V$
and *ne*: $v \neq w$
and *discovered*: $v \in \text{discovered } s$
shows *discover s v* \neq *discover s w*

proof –

from *map-card-eq-iff*[*OF discovered-finite, OF constr*] *assms discover-card-ran-dom*[*OF constr*] **have** *discover s v* = *discover s w* \longleftrightarrow $v = w$ **by** *auto*
with *ne* **show** *?thesis* **by** *simp*

qed

lemma *finish-card-ran-dom*:

dfs-constructable dfs s \implies $\text{card}(\text{ran}(\text{finish } s)) = \text{card}(\text{dom}(\text{finish } s))$

proof (*induction rule: dfs-constructable-induct*)

case (*empty s s' x*) **then** **have** *f'*: *finish s' = finish s* ($x \mapsto \text{counter } s$) **by** *simp*
with *empty* **have** $*$: $x \notin \text{finished } s$ **using** *stack-implies-not-finished* **by** *fastforce*
with *finished-finite*[*OF empty(2)*] *f'* **have** $\text{card}(\text{dom}(\text{finish } s')) = \text{card}(\text{dom}(\text{finish } s)) + 1$ **by** *simp*

moreover

from *f' ran-finish-lt-counter*[*OF empty(2)*] $*$ **have** $\text{ran}(\text{finish } s') = \text{insert}(\text{counter } s, \text{ran}(\text{finish } s))$ $\text{counter } s \notin \text{ran}(\text{finish } s)$ **by** *auto*
with *ran-finish-finite*[*OF empty(2)*] **have** $\text{card}(\text{ran}(\text{finish } s')) = \text{card}(\text{ran}(\text{finish } s)) + 1$ **by** *simp*

ultimately show *?case* **using** *empty.IH* **by** *simp*
qed *simp+*

lemma *finish-neq-finish*:

assumes *constr*: *dfs-constructable dfs s*
and *verts*: $v \in V$ $w \in V$
and *ne*: $v \neq w$
and *discovered*: $v \in \text{finished } s$
shows *finish s v* \neq *finish s w*

proof –

from *map-card-eq-iff*[*OF finished-finite, OF constr*] *assms finish-card-ran-dom*[*OF constr*] **have** *finish s v* = *finish s w* \longleftrightarrow $v = w$ **by** *auto*
with *ne* **show** *?thesis* **by** *simp*

qed

lemma *prev-stack-discover-all*:

dfs-constructable dfs s \implies $\forall n < \text{length}(\text{stack } s). \forall v \in \text{set}(\text{drop}(\text{Suc } n)(\text{stack } s)). \delta s(\text{stack } s ! n) > \delta s v$

proof (*induction rule: dfs-constructable-induct*)

case (*visit s s' e*)
hence d' : *discover s' = (discover s)(e ↦ counter s)*
and s' : *stack s' = e # stack s*
by *simp-all*
with *stack-distinct[OF visit(3)]* **have** $t\text{-ni}$: $e \notin \text{set}(\text{stack } s)$ **by** *simp*

from *visit(2)* **have** $\bigwedge v. v \in \text{set}(\text{stack } s) \implies \delta s v < \text{counter } s$ **by** (*metis discover-lt-counter set-mp stack-subset-discovered*)
with $t\text{-ni } d'$ **have** $\bigwedge v. v \in \text{set}(\text{stack } s) \implies \delta s' v < \delta s' e$ **by** *auto*
with s' **have** $\bigwedge v. v \in \text{set}(\text{drop}(\text{Suc } 0)(\text{stack } s')) \implies \delta s' v < \delta s'(\text{stack } s' ! 0)$ **by** *auto*

moreover
from $s' d' t\text{-ni}$ **have** $\bigwedge n. n < (\text{length}(\text{stack } s')) \implies n > 0 \implies \delta s'(\text{stack } s' ! n) = \delta s(\text{stack } s' ! n)$ **by** *auto*
with *visit.IH s' d' t-ni* **have** $\bigwedge n. n < (\text{length}(\text{stack } s')) - 1 \implies n > 0 \implies \forall v \in \text{set}(\text{drop}(\text{Suc } n)(\text{stack } s')). \delta s'(\text{stack } s' ! n) > \delta s' v$ **by** (*smt drop-Cons' fun-upd-other in-set-dropD length-drop nth-Cons-pos*)

ultimately show *?case* **by** (*smt length-drop length-pos-if-in-set*)
qed *auto*

lemma *prev-stack-discover*:

dfs-constructable dfs s $\implies n < \text{length}(\text{stack } s) \implies v \in \text{set}(\text{drop}(\text{Suc } n)(\text{stack } s)) \implies \delta s(\text{stack } s ! n) > \delta s v$
by (*metis prev-stack-discover-all*)

lemma *Suc-stack-discover*:

assumes *constr: dfs-constructable dfs s*
and $n: n < (\text{length}(\text{stack } s)) - 1$
shows $\delta s(\text{stack } s ! n) > \delta s(\text{stack } s ! \text{Suc } n)$
proof –
from *prev-stack-discover assms* **have** $\bigwedge v. v \in \text{set}(\text{drop}(\text{Suc } n)(\text{stack } s)) \implies \delta s(\text{stack } s ! n) > \delta s v$ **by** *fastforce*
moreover from n **have** $\text{stack } s ! \text{Suc } n \in \text{set}(\text{drop}(\text{Suc } n)(\text{stack } s))$ **using** *in-set-conv-nth* **by** *fastforce*
ultimately show *?thesis* .
qed

lemma *tl-lt-stack-hd-discover*:

assumes *dfs-constructable dfs s*
and *notempty: stack s ≠ []*
and $x \in \text{set}(\text{tl}(\text{stack } s))$
shows $\delta s x < \delta s(\text{hd}(\text{stack } s))$
proof –
from *notempty* **obtain** $y \text{ ys}$ **where** $\text{stack } s = y \# \text{ys}$ **by** (*metis list.exhaust*)
with *assms* **show** *?thesis*
using *prev-stack-discover*
by (*cases ys*) *force+*

qed

lemma *obtain-discovered-predecessor*:

assumes *dfs-constructable tdfs s*

and $v \in \text{discovered } s$

and $v \neq \text{start } s$

obtains y **where** $v \in \text{succs } y$ **and** $y \in \text{discovered } s$ **and** $\delta s v > \delta s y$

using *assms*

proof *induction*

case (*visit s s' e x xs w*) **hence** *: *discover s' = discover s (e ↦ counter s) start s' = start s stack s' = e#x#xs* **by** *simp-all*

show *?case*

proof (*cases v = e*)

case *True* **with** *visit* **have** $v \in w$ **by** *simp*

with *visit wl-subset-succs[OF visit(2), of 0]* **have** $v \in \text{succs } x$ **by** *auto*

moreover from *tl-lt-stack-hd-discover[OF visit(3), of x] * True* **have** $\delta s' x < \delta s' v$ **by** *simp*

moreover from *stack-subset-discovered[OF visit(3)] * have* $x \in \text{discovered } s'$ **by** *auto*

ultimately show *?thesis* **using** *visit.prem*s **by** *simp*

next

case *False* **with** *visit ** **have** **: $v \in \text{discovered } s$ $v \neq \text{start } s$ **by** *auto*

{

fix y

assume A : $v \in \text{succs } y$ $y \in \text{discovered } s$ $\delta s y < \delta s v$

with *visit(9) False ** **have** $y \in \text{discovered } s'$ $\delta s' y < \delta s' v$ **by** *auto*

with A *visit.prem*s **have** *thesis* **by** *simp*

}

with *visit.IH[OF - **]* **show** *?thesis* .

qed

qed *simp-all*

lemma *stack-finish-discover*:

dfs-constructable tdfs s $\implies v \in \text{set } (\text{stack } s) \implies w \in \text{finished } s \implies \varphi s w < \delta s v \vee \delta s v < \delta s w$

proof (*induction rule: dfs-constructable-induct*)

case (*empty s s' x*) **then have**

d' : *discover s = discover s'* **and**

f' : *finish s' = finish s (x ↦ counter s)* **and**

v : $v \in \text{set } (\text{stack } s)$

by *simp-all*

show *?case*

proof (*cases w = x*)

case *True* **with** *empty* **have** $\delta s w > \delta s v$ **using** *prev-stack-discover[OF empty(2)]* **by** *fastforce*

with d' **show** *?thesis* **by** *simp*

next

```

    case False with empty.prems empty.IH v f' d' show ?thesis by force
  qed
next
case (visit s s' e)
hence d': discover s' = discover s (e ↦ counter s)
  and s': stack s' = e # stack s
  and f': finish s = finish s'
  by simp-all

show ?case
proof (cases v = e)
  case True with d' have  $\delta s' v = \text{counter } s$  by simp
  moreover from visit.prems f' have  $\varphi s' w < \text{counter } s$  using finish-lt-counter[OF
visit(2)] by simp
  ultimately show ?thesis by simp
next
  case False with visit.prems s' d' have  $v \in \text{set } (\text{stack } s)$  and  $\text{discover } s v =$ 
discover s' v by simp-all
  with f' visit.prems visit.IH have  $\varphi s' w < \delta s' v \vee \delta s' v < \delta s w$  by force

  moreover from visit.prems s' have  $w \neq e$  using finished-implies-not-stack[OF
visit(3)] by simp
  with d' have  $\text{discover } s w = \text{discover } s' w$  by simp
  ultimately show ?thesis by simp
qed
qed simp+

lemma interval-inclusion:
  assumes dfs-constructable dfs s
  and  $v \in \text{discovered } s$ 
  and  $w \in \text{finished } s$ 
  and  $\delta s v < \delta s w$ 
  and  $v \notin \text{finished } s \vee \delta s w < \varphi s v$ 
  shows  $v \notin \text{finished } s \vee \varphi s w < \varphi s v$ 
using assms
proof induction
  case (empty s s' x) hence ne:  $v \neq w$  and f':  $\text{finish } s' = \text{finish } s (x \mapsto \text{counter } s)$ 
  by auto
  show ?case
  proof (cases v = x)
    case True with f' ne empty.prems have  $w \in \text{finished } s$  and  $\varphi s' v = \text{counter } s$ 
    by auto
    moreover with finish-lt-counter[OF empty(2)] empty.prems have  $\text{counter } s$ 
     $> \varphi s w$  by simp
    with f' ne True have  $\text{counter } s > \varphi s' w$  by simp
    ultimately show ?thesis by simp
  next
  case False
  {

```



```

    assume  $v \in \text{finished } s$ 
    moreover with empty False have  $v \in \text{finished } s' \ v \in \text{discovered } s$  by simp-all
    moreover with empty False have  $*$ :  $\delta s w < \varphi s v \ \delta s v < \delta s w$  by auto
    moreover have  $w \neq x$ 
    proof (rule notI)
      assume  $w = x$ 
      with empty.hyps have  $w \in \text{set } (\text{stack } s)$  by simp
      with stack-finish-discover[OF empty(2)]  $\langle v \in \text{finished } s \rangle$  * show False by
force
    qed
    with empty have  $w \in \text{finished } s$  by auto
    ultimately have  $\varphi s w < \varphi s v$  using empty.IH by simp
    with False f'  $\langle w \neq x \rangle$  have ?thesis by simp
  }
  with False f' show ?thesis by force
qed
next
case (visit s s' e) hence
   $f'$ : finish s' = finish s and
   $d'$ : discover s' = discover s ( $e \mapsto \text{counter } s$ ) by simp-all

from visit have  $w \neq e$  using stack-implies-not-finished[OF visit(3)] by auto

show ?case
proof (cases  $v = e$ )
  case True with visit(9) have  $v \notin \text{discovered } s$  by simp
  with not-discovered-implies-not-finished[OF visit(2)] have  $v \notin \text{finished } s$  by
simp
  with  $f'$  show ?thesis by simp
  next
  case False with  $\langle w \neq e \rangle$  visit.prems  $f' d'$  have  $v \in \text{discovered } s \ w \in \text{finished}$ 
 $s \ \delta s v < \delta s w \ v \notin \text{finished } s \vee \delta s w < \varphi s v$  by auto
  with visit.IH have  $v \notin \text{finished } s \vee \varphi s w < \varphi s v$  .
  with  $f'$  show ?thesis by simp
qed
simp-all

lemma correct-order:
  assumes constr: dfs-constructable dfs s
  and verts:  $v \in \text{finished } s \ w \in \text{finished } s$ 
  and disc:  $\delta s v < \delta s w$ 
  shows  $\varphi s v < \delta s w \vee \varphi s v > \varphi s w$ 
proof (cases  $\delta s w < \varphi s v$ )
  case True with interval-inclusion finished-implies-discovered assms show ?thesis
  by metis
  next
  case False hence  $\varphi s v \leq \delta s w$  by simp
  moreover from assms finished-subset-verts have  $v \in V \ w \in V$  by auto
  with discover-neq-finish finished-implies-discovered assms have discover s w  $\neq$ 

```

finish s v by metis
with *verts(1) finished-implies-discovered[OF constr verts(2)]* **have** $\delta s w \neq \varphi s$
v by auto
ultimately have $\varphi s v < \delta s w$ **by simp**
thus ?thesis ..
qed

lemma *discover-finish-implies-reach:*

dfs-constructable dfs s \implies
v \in *discovered s* \implies *w* \in *discovered s* \implies
 $\delta s v < \delta s w \implies v \notin \text{finished } s \vee (w \in \text{finished } s \wedge \varphi s v > \varphi s w)$
 $\implies v \rightarrow \backslash \text{dfs-restrict } \text{dfs} + w$

proof (*induction rule: dfs-constructable-induct*)

case (*empty s s' x*) **hence**
s': *discover s = discover s'* **and**
f': *finish s' = finish s (x \mapsto counter s)*
by simp-all

show ?*case*

proof (*cases v = x*)

case *True with empty f'* **have** $v \notin \text{finished } s$ **and** *finish s' w = finish s w*
using *stack-implies-not-finished[OF empty(2)]* **by auto**

with *empty.prem s' empty.IH s'* **show** ?*thesis* **by force**

next

case *False with f'* **have** *fv: finish s v = finish s' v* **by simp**

show ?*thesis*

proof (*cases w \in finished s'*)

case *False with empty.IH s' empty.prem s' fv* **show** ?*thesis* **by fastforce**

next

case *True note wf' = this*

thus ?*thesis*

proof (*cases v \in finished s'*)

case *True with empty(12) wf'* **have** $\varphi s' w < \varphi s' v$ **by simp**

have $w \neq x$

proof (*rule notI*)

assume $w = x$

with *f'* **have** $\varphi s' w = \text{counter } s$ **by simp**

moreover

from *True fv* **have** $v \in \text{finished } s$ **by auto**

hence $\varphi s v < \text{counter } s$ **using** *finish-lt-counter[OF empty(2)]* **by simp**

with *fv* **have** $\varphi s' v < \text{counter } s$ **by simp**

ultimately show *False* **using** $*$ **by simp**

qed

with $*$ *fv f' wf'* **have** $w \in \text{finished } s \wedge \varphi s w < \varphi s v$ **by simp**

with *empty.prem s' empty.IH s'* **show** ?*thesis* **by simp**

next

case *False with empty.prem s' empty.IH s' f'* **show** ?*thesis* **by simp**

qed

```

    qed
  qed
next
  case (visit s s' e)
  hence d': discover s' = discover s (e ↦ counter s)
    and s': stack s' = e # stack s
    and f': finish s' = finish s
    and ne: v ≠ w
    by auto

  show ?case
  proof (cases v = e)
    case True with d' ne have δ s' v = counter s discover s w = discover s' w
  by auto

  moreover
  then have w ∈ discovered s using visit.prem.s by auto
  hence δ s w < counter s using discover-lt-counter[OF visit(2)] by simp

  ultimately have False using visit.prem.s by simp
  thus ?thesis ..
next
  case False with d' have dv: discover s v = discover s' v by simp
  show ?thesis
  proof (cases w = e)
    case True with s' have w ∉ finished s' using stack-implies-not-finished[OF
visit(3)] by simp
    with visit.prem.s have v ∈ set (stack s') using discovered-not-finished-implies-stack[OF
visit(3)] by simp
    with False True s' show ?thesis using tl-reachable-stack-hd[OF visit(3)] by
simp
  next
    case False with d' have discover s w = discover s' w by simp
    with dv f' visit.prem.s visit.IH show ?thesis by (cases v ∈ finished s') force+
  qed
  qed
qed simp+

lemma no-reach-discover-finish:
  assumes constr: dfs-constructable dfs s
  and no-reach: ¬v →* w
  shows v ∉ discovered s ∨ w ∉ discovered s ∨ w ∉ finished s ∨ δ s v > δ s w ∨
(v ∈ finished s ∧ φ s v < φ s w)
  proof (cases v ∈ V ∧ w ∈ V)
    case False hence w ∉ discovered s ∨ v ∉ discovered s using discovered-subset-verts[OF
constr] by auto
    thus ?thesis by simp
  next
    case True hence verts: v ∈ V w ∈ V by simp-all

```

with *no-reach* **have** $ne: v \neq w$ **using** *reachable-ewalk*[*of v w*] *ewalk-empty-iff*[*of v w*] **by** *simp*
from *no-reach* **have** $\neg v \rightarrow \backslash \text{dfs-restrict } \text{dfs} + w$ **by** (*metis reachable1-reachable restr-reachable1-reachable1*)
thus *?thesis*
apply (*rule contrapos-np*)
apply (*rule discover-finish-implies-reach*[*OF constr, of v w*])
apply (*insert discover-neq-discover*[*OF constr verts ne*] *finish-neq-finish*[*OF constr verts ne*])
by *force+*
qed

lemma *both-no-reach-discover-finish*:

assumes *constr: dfs-constructable dfs s*
and *no-reach: $\neg v \rightarrow \star w \neg w \rightarrow \star v$*
and *fin: $v \in \text{finished } s \ w \in \text{finished } s$*
shows $\delta s w > \varphi s v \vee \varphi s w < \delta s v$

proof –

from *constr fin* **have** *disc: $v \in \text{discovered } s \ w \in \text{discovered } s$* **using** *finished-implies-discovered*
by *metis+*

with *constr no-reach no-reach-discover-finish fin* **have** $\delta s v > \delta s w \vee \varphi s v < \varphi s w$
 $\delta s w > \delta s v \vee \varphi s w < \varphi s v$ **by** *metis+*

thus *?thesis* **using** *correct-order constr fin* **by** *smt*
qed

lemma *rev-stack-vwalk-or-empty*:

assumes *dfs-constructable dfs s*
shows $vwalk (rev (stack s)) \mathcal{G} \vee stack s = []$

using *assms*

proof (*induction*)

case (*empty s'*)

hence $vwalk (butlast (rev (stack s))) \mathcal{G} \vee butlast (rev (stack s)) = []$

using *vwalk-butlast*[*of rev (stack s)*] **by** *simp*

with *empty* **show** *?case* **by** (*simp add: butlast-rev-tl*)

next

case (*visit s s' e x xs*) **with** *wl-subset-verts*[*OF visit(2)*] **have** $e \in V$ **by** *auto*

moreover

from *visit* **have** $x \in V$ **and** $set xs \subseteq V$ **using** *stack-subset-verts*[*OF visit(2)*]
by *auto*

moreover

from *visit wl-subset-succs*[*OF visit(2), of 0*] **have** $e \in succs x$ **by** *auto*

with *visit* **have** $set (vwalk-edges (rev (stack s))) \subseteq E$ **and** $(x, e) \in E$ **unfolding**
succs-def **by** *force+*

with *visit(4)* **have** $set (vwalk-edges (rev (stack s) @ [e])) \subseteq E$ **using** *vwalk-edges-append*[*of rev (stack s)*] **by** *simp*

ultimately **have** $vwalk (rev (stack s) @ [e]) \mathcal{G}$

using *visit* **by** (*intro vwalkI*) *simp-all*

with *visit* **show** *?case* **by** *simp*
qed *auto*

lemma *rev-stack-vwalk*:
 $dfs_constructable\ dfs\ s \implies stack\ s \neq [] \implies vwalk\ (rev\ (stack\ s))\ \mathcal{G}$
by (*metis rev-stack-vwalk-or-empty*)

lemma *discover-start-eq-0*:
 $dfs_constructable\ dfs\ s \implies \delta\ s\ (start\ s) = 0$
proof (*induct rule: dfs-constructable-induct*)
case *start* **thus** *?case* **unfolding** *dfs-constr-start-def* **by** *simp*
next
case (*visit s s' e*) **hence** $start'$: $\delta\ s\ (start\ s') = 0$ **using** *dfs-step-preserves-start*[*OF dfs-next-dfs-step*] **by** *auto*

from *visit.hyps* **have** $start\ s = last\ (stack\ s)$ **using** *last-stack-is-start*[*OF visit(2)*]
by *simp*
with *dfs-step-preserves-start*[*OF dfs-next-dfs-step*] *visit* **have** $start\ s' = last\ (stack\ s)$ **by** *auto*
with *visit stack-distinct*[*OF visit(3)*] **have** $e \neq start\ s'$ **by** *auto*
with *start'* *visit* **show** *?case* **by** *simp*
qed *auto*

lemma *discovered-neq-0*:
assumes *constr*: *dfs-constructable dfs s*
and *discovered*: $v \in discovered\ s$
and *ne*: $v \neq start\ s$
shows $\delta\ s\ v > 0$
proof –
from *discovered constr* **have** $v \in V\ start\ s \in V\ start\ s \in discovered\ s$ **using**
discovered-subset-verts dfs-start-in-verts start-discovered **by** *blast+*
with *ne discovered* **have** $\delta\ s\ (start\ s) \neq \delta\ s\ v$ **using** *discover-neq-discover*[*OF constr*]
by *force*
hence $\delta\ s\ v \neq 0$ **using** *discover-start-eq-0*[*OF constr*] **by** *simp*
thus *?thesis* **by** *simp*
qed

lemma *discover-gt-start*:
 $dfs_constructable\ dfs\ s \implies v \in discovered\ s \implies v \neq start\ s \implies \delta\ s\ v > \delta\ s\ (start\ s)$
by (*metis discovered-neq-0 discover-start-eq-0*)

lemma *start-restr-reach-discovered*:
assumes *constr*: *dfs-constructable dfs s*
and *stack*: $stack\ s \neq []$
and *discovered*: $v \in discovered\ s$
and *ne*: $v \neq start\ s$

shows $start\ s \rightarrow \backslash dfs-restrict\ dfs+ v$
proof –
from *assms* **have** $\delta\ s\ v > \delta\ s\ (start\ s)$ **using** *discover-gt-start* **by** *metis*

moreover
from *stack* **have** $start\ s \in set\ (stack\ s)$ **using** *last-in-set last-stack-is-start*[*OF*
constr] **by** *force*
with *stack-implies-not-finished*[*OF* *constr*] **have** $start\ s \notin finished\ s$ **by** *simp*

ultimately show *?thesis* **using** *discover-finish-implies-reach*[*OF* *constr* *start-discovered*[*OF*
constr] *discovered*] **by** *auto*
qed

lemma *start-reach-discovered*:
 $dfs-constructable\ dfs\ s \implies stack\ s \neq [] \implies v \in discovered\ s \implies start\ s \rightarrow^* v$
by (*metis* *dfs-start-in-verts restr-reachable1-reachable self-reachable start-restr-reach-discovered*
verts-reduce)

lemma *constr-from-restr-reach-stack*:
assumes *constr*: $s \in dfs-constr-from\ dfs\ x$
and *stack*: $v \in set\ (stack\ s)$
and *ne*: $v \neq x$
shows $x \rightarrow \backslash dfs-restrict\ dfs+ v$
proof –
from *stack* **have** *sne*: $stack\ s \neq []$ **by** *auto*

from *constr* **have** *c*: $dfs-constructable\ dfs\ s$ **using** *dfs-constr-from-constructable*
by *metis*
with *stack* **have** *in-d*: $v \in discovered\ s$ **using** *stack-subset-discovered* **by** *auto*

from *constr* **have** $start\ s = x$ **using** *constr-from-implies-start* **by** *blast*
thus *?thesis* **using** *start-restr-reach-discovered*[*OF* *c* *sne* *in-d*] *ne* **by** *simp*
qed

lemma *constr-from-reach-stack*:
assumes *constr*: $s \in dfs-constr-from\ dfs\ x$
and *stack*: $v \in set\ (stack\ s)$
shows $x \rightarrow^* v$
proof (*cases* $x = v$)
case *True* **with** *stack* *stack-subset-verts* *constr* **have** $v \in V$ **by** *auto*
with *True* *self-reachable* **show** *?thesis* **by** *simp*
next
case *False* **with** *constr-from-restr-reach-stack*[*OF* *assms*] **show** *?thesis* **by** *blast*
qed

lemma *finished-implies-succs-discovered*:
 $dfs-constructable\ dfs\ s \implies v \in finished\ s \implies succs\ v - dfs-restrict\ dfs \subseteq discovered\ s$
proof (*induction* *rule*: *dfs-constructable-induct*)

case (*empty s s' x - w*) **with** *empty-wl-succs-discovered* **have** *succs x - dfs-restrict*
dfs \subseteq *discovered s'* **by** *fastforce*
moreover from *empty* **have** *finished s' = insert x (finished s)* **by** *simp*
ultimately show *?case* **using** *empty* **by** (*cases v = x*) *bestsimp+*
qed *auto*

lemma *finished-implies-succs-discoveredI*:

$\llbracket \text{dfs-constructable } \text{dfs } s; v \in \text{finished } s; w \in \text{succs } v; w \notin \text{dfs-restrict } \text{dfs} \rrbracket \implies$
 $w \in \text{discovered } s$

by (*auto dest!*: *finished-implies-succs-discovered*)

lemma *finished-no-reach-implies-succs-finished*:

$\text{dfs-constructable } \text{dfs } s \implies v \in \text{finished } s \implies w \in \text{succs } v \implies w \notin \text{dfs-restrict}$
 $\text{dfs} \implies \neg w \rightarrow^* v \implies w \in \text{finished } s$

proof (*induction rule: dfs-constructable-induct*)

case (*empty s s' x*) **then have** *finished s' = insert x (finished s)* **and** *d': discovered*
s = discovered s' **by** *simp-all*

with *empty.prem*s *empty.IH* **show** *?case*

proof (*cases v = x*)

case *True* **with** *empty.prem*s **have** *wd: w \in discovered s'* **using** *finished-implies-succs-discoveredI* [*OF*
empty(3)] **by** *simp*

{
assume $w \notin \text{finished } s'$

with *wd* **have** $w \in \text{set } (\text{stack } s')$ **using** *discovered-not-finished-implies-stack* [*OF*
empty(3)] **by** *simp*

with *empty True* **have** $w \rightarrow^* v$ **using** *tl-reachable-stack-hd* [*OF empty(2)*] **by**
auto

with *empty.prem*s **have** *False* **by** *simp*

}

thus *?thesis* **by** (*rule ccontr*)

qed *simp*

qed *simp+*

lemma *not-finished-succs-impl-not-finished*:

$\text{dfs-constructable } \text{dfs } s \implies v \in \text{discovered } s \implies w \in \text{discovered } s \implies \delta s v < \delta$
 $s w \implies w \notin \text{finished } s \implies w \in \text{succs } v \implies v \notin \text{finished } s$

proof (*induction rule: dfs-constructable-induct*)

case (*empty s s' x xs*) **then have**

d': discover s = discover s' **and**

f': finished s' = insert x (finished s) **and**

s: stack s = x # xs **and** *s': stack s' = xs*

by *simp-all*

from *empty.prem*s **have** $w \in \text{set } (\text{stack } s')$ **using** *discovered-not-finished-implies-stack* [*OF*
empty(3)] **by** *simp*

with *s s'* **have** *ws: w \in set (stack s)* **by** *simp*

have $v \neq x$

proof (*rule notI*)
assume $v = x$
with ws s *empty.prem*s **have** $\delta s w < \delta s v$ **using** *tl-lt-stack-hd-discover*[*OF empty(2)*] **by** *auto*
with d' **have** $\delta s' w < \delta s' v$ **by** *simp*
with *empty.prem*s **show** *False* **by** *simp*
qed
with *empty.prem*s *empty.IH* f' d' **show** *?case* **by** *simp*
next
case (*visit* s s' e) **hence**
 d' : *discover* $s' = \text{discover } s$ ($e \mapsto \text{counter } s$) **and**
 s' : *stack* $s' = e \# \text{stack } s$ **and**
 f' : *finish* $s = \text{finish } s'$
by *simp-all*

from *visit.prem*s **have** ws' : $w \in \text{set } (\text{stack } s')$ **using** *discovered-not-finished-implies-stack*[*OF visit(3)*] **by** *simp*
show *?case*
proof (*cases* $w = e$)
case *True* **with** *visit(8,9)* **have** $w \notin \text{discovered } s$ **and** $w \notin \text{dfs-restrict } \text{dfs}$ **by** *simp-all*
hence $v \notin \text{finished } s$ **using** *visit.prem*s *finished-implies-succs-discoveredI*[*OF visit(2)*] **by** *metis*
with f' **show** *?thesis* **by** *simp*
next
case *False* **with** d' **have** wd : *discover* s $w = \text{discover } s' w$ **by** *simp*
show *?thesis*
proof (*cases* $v = e$)
case *True* **with** s' *stack-implies-not-finished*[*OF visit(3)*] **show** *?thesis* **by** *simp*
next
case *False* **with** d' **have** *discover* s $v = \text{discover } s' v$ **by** *simp*
with wd f' *visit.prem*s *visit.IH* **show** *?thesis* **by** *fastforce*
qed
qed
qed *simp+*

lemma *finished-succs-finish*:

$\text{dfs-constructable } \text{dfs } s \implies v \in \text{discovered } s \implies w \in \text{finished } s \implies w \in \text{succs } v$
 $\implies \delta s w > \delta s v \implies v \notin \text{finished } s \vee \varphi s w < \varphi s v$

proof (*induction rule: dfs-constructable-induct*)

case (*empty* s s' x) **hence**

d' : *discover* $s = \text{discover } s'$ **and**

f' : *finish* $s' = \text{finish } s$ ($x \mapsto \text{counter } s$)

by *simp-all*

from *empty.prem*s **have** ne : $w \neq v$ **by** *auto*

show *?case*

proof (*cases* $w = x$)

case *True* **with** *empty* **have** $w \notin \text{finished } s$ **using** *stack-implies-not-finished*[*OF empty(2)*] **by** *simp*
with *empty.prem*s d' **have** $v \notin \text{finished } s$
using *not-finished-succs-impl-not-finished*[*OF empty(2)*] *finished-implies-discovered*[*OF empty(3)*]
by *metis*
with *True* ne f' **show** *?thesis* **by** *force*
next
case *False* **with** f' *empty.prem*s **have** $fw: \text{finish } s \ w = \text{finish } s' \ w$ **by** *auto*
show *?thesis*
proof (*cases* $v = x$)
case *True* **with** f' **have** $\varphi \ s' \ v = \text{counter } s$ **by** *simp*
moreover from *empty.prem*s fw *finish-lt-counter*[*OF empty(2)*] **have** $\varphi \ s' \ w$
 $<$ *counter } s* **by** *force*
ultimately show *?thesis* **by** *simp*
next
case *False* **with** f' **have** $\text{finish } s \ v = \text{finish } s' \ v$ **by** *simp*
with fw *empty.prem*s d' *empty.IH* **show** *?thesis* **by** *force*
qed
qed
next
case (*visit } s \ s' \ e*) **hence**
 $d': \text{discover } s' = \text{discover } s \ (e \mapsto \text{counter } s)$ **and**
 $s': \text{stack } s' = e \ \# \ \text{stack } s$ **and**
 $f': \text{finish } s = \text{finish } s'$
by *simp-all*

with *visit.prem*s *finished-implies-not-stack*[*OF visit(3)*] s' **have** $w \neq e$ **by** *simp*
thus *?case*
proof (*cases* $v = e$)
case *True* **with** s' **show** *?thesis* **using** *stack-implies-not-finished*[*OF visit(3)*]
by *simp*
next
case *False* **with** $d' \ (w \neq e)$ **have** $\text{discover } s \ v = \text{discover } s' \ v$ **and** $\text{discover } s$
 $w = \text{discover } s' \ w$ **by** *auto*
with f' *visit.prem*s *visit.IH* **show** *?thesis* **by** *force*
qed
qed *simp+*

lemma *finished-no-reach-nondiscovered*:
assumes *constr: dfs-constructable } dfs \ s*
and *noreach: } \forall x \in \text{finished } s. \forall y \in \text{set}(\text{stack } s). \neg x \rightarrow \backslash \text{dfs-restrict } \text{dfs}+ \ y*
and *nondisc: } z \notin \text{discovered } s*
shows $\forall x \in \text{finished } s. \neg x \rightarrow \backslash \text{dfs-restrict } \text{dfs}+ \ z$
proof (*rule ccontr*)
assume $\neg (\forall x \in \text{finished } s. \neg x \rightarrow \backslash \text{dfs-restrict } \text{dfs}+ \ z)$
hence $\exists x \in \text{finished } s. x \rightarrow \backslash \text{dfs-restrict } \text{dfs}+ \ z$ **by** *simp*
then obtain x **where** $x \rightarrow \backslash \text{dfs-restrict } \text{dfs}+ \ z$ $x \in \text{finished } s$ **by** *blast*
thus *False* **using** *nondisc*

proof *induction*
 case (base y) **with** *finished-implies-succs-discoveredI* *constr* **show** *False* **by**
blast
next
 case (trans $y z$) **hence** *y-disc: $y \in discovered s$* **by** *blast*
have $y \in finished s$
proof (rule *ccontr*)
 assume $y \notin finished s$
 with *y-disc discovered-not-finished-implies-stack*[*OF constr*] **have** $y \in set$
 (*stack s*) **by** *simp*
 with *trans noreach* **show** *False* **by** *simp*
qed
 with *finished-implies-succs-discoveredI*[*OF constr*] *trans* **have** $z \in finished s$
by *blast*
 with *trans finished-subset-discovered*[*OF constr*] **show** *False* **by** *auto*
qed
qed

lemma *epath-generate-sws* [*case-names step finished, consumes 2*]:

assumes *epath v p w* **and** *not-R: set (ewalk-verts v p) \cap dfs-restrict dfs = {}*

obtains

(*step*) s **where** $s \in dfs-constr-from\ dfs\ v$ **and**
 $stack\ s = rev\ (ewalk-verts\ v\ p)$ **and**
 $discovered\ s = set\ (ewalk-verts\ v\ p)$ **and**
 $wl\ s \neq []$ $hd\ (wl\ s) = succs\ w$ **and**
 $dfs-cond\ dfs\ (state\ s)$

| (*finished*) s **where** $s \in dfs-constr-from\ dfs\ v \neg dfs-cond\ dfs\ (state\ s)$

proof –

from *assms* **have** *ewalk v p w* **and** *distinct (ewalk-verts v p)* **unfolding** *epath-def*
by *simp-all*

thus *?thesis* **using** *that (ewalk v p w) not-R*

proof (*induct rule: ewalk-induct-rev*)

case (*Base v*) **thus** *?case*

proof (*cases dfs-cond dfs (state (dfs-constr-start dfs v))*)

case *True* **with** *Base(1,2,6)* *Base(3)*[*of dfs-constr-start dfs v*] **show** *?thesis*

by *simp*

next

case *False* **with** *Base(1,6)* *Base(4)*[*of dfs-constr-start dfs v*] **show** *?thesis*

by *simp*

qed

next

case (*Snoc x y e es*)

from *Snoc(1,2,7)* **have** *everts: ewalk-verts v (es@[e]) = (ewalk-verts v es)@[y]*
using *ewalk-verts-join-l*[*of v es [e]*] **by** (*simp add: ewalk-verts-def*)

with *Snoc(4,8)* **have** *d-everts: distinct (ewalk-verts v es)* **and** *y-not-R: $y \notin$*
dfs-restrict dfs **and** *es-not-R: set (ewalk-verts v es) \cap dfs-restrict dfs = {}* **by** *auto*

from *ewalk-join-inner-ends*[*OF Snoc(7)*] *Snoc(2)* **have** *last (ewalk-verts v es)*

```

= x by (simp add: ewalk-verts-def)
  with ewalk-join-ewalk-l[OF Snoc(7)] have ewalk: ewalk v es x by simp

{
  fix s
  assume *: s ∈ dfs-constr-from dfs v stack s = rev (ewalk-verts v es) discovered
  s = set (ewalk-verts v es) wl s ≠ [] hd (wl s) = succs x dfs-cond dfs (state s)
  moreover with Snoc have y ∈ succs x hd (wl s) ≠ {} unfolding succs-def
by auto
  moreover from * ewalk-verts-non-Nil have stack s ≠ [] by simp
  then obtain a as where stack s = a#as by (metis list.exhaust)
  moreover
  let ?s' = s(| state := dfs-action dfs (state s) s y, stack := y#a#as, wl := succs
y#(succs x - {y})#tl (wl s), discover := (discover s)(y ↦ counter s), counter :=
Suc (counter s))
  from Snoc(4) everts have y ∉ set (ewalk-verts v es) by auto
  with * have y ∉ discovered s by simp
  ultimately have dfs-next dfs s ?s'
  unfolding dfs-next-def dfs-cond-compl-def
  using dfs-step-simps(3)[of s a as dfs] y-not-R
  apply (simp add: dfs-sws.defs)
  apply (intro exI[where x=y])
  apply fastforce
  done

  with * have ?s' ∈ dfs-constr-from dfs v using dfs-constr-from.step by simp
  with Snoc(6) have thesis
  proof (cases dfs-cond dfs (state ?s'))
  case True with * everts (stack s = a#as) have stack ?s' = rev (ewalk-verts
v (es@[e])) discovered ?s' = set (ewalk-verts v (es@[e])) wl ?s' ≠ [] hd (wl ?s') =
succs y
  by simp-all
  with True ( ?s' ∈ dfs-constr-from dfs v) show ?thesis using Snoc(5) by
metis
  qed simp
}
with Snoc(3)[OF d-everts - - ewalk es-not-R] Snoc(6) show ?case by metis
qed
qed

```

lemma reach-generate-sws [case-names step finished, consumes 1]:

```

assumes v →\dfs-restrict dfs+ w
obtains
  s where s ∈ dfs-constr-from dfs v and
    stack s ≠ [] hd (stack s) = w and
    wl s ≠ [] hd (wl s) = succs w and
    dfs-cond dfs (state s)
| s where s ∈ dfs-constr-from dfs v ∧ dfs-cond dfs (state s)
proof -

```

from *assms* **obtain** p **where** $\text{ewalk } v \text{ } p \text{ } w \text{ set } (\text{ewalk-verts } v \text{ } p) \cap \text{dfs-restrict } \text{dfs} = \{\}$ **using** *restr-reachable1-def* **by** *blast*
with *epath-ewalk-to-epath* *epath-verts-sub-ewalk-verts* **have** $\text{epath } v \text{ } (\text{ewalk-to-epath } p) \text{ } w \text{ set } (\text{ewalk-verts } v \text{ } (\text{ewalk-to-epath } p)) \cap \text{dfs-restrict } \text{dfs} = \{\}$ **by** *blast+*
thus *?thesis*
proof (*cases rule: epath-generate-sws*[**where** $\text{dfs}=\text{dfs}$])
case (*step s*)
from $\langle \text{epath } v \text{ } (\text{ewalk-to-epath } p) \text{ } w \rangle$ **have** $\text{ewalk } v \text{ } (\text{ewalk-to-epath } p) \text{ } w$ **unfolding** *epath-def* **by** *simp*
hence $\text{ewlast } v \text{ } (\text{ewalk-to-epath } p) = w$ **using** *ewalk-conv* **by** *simp*
hence $\text{hd } (\text{rev } (\text{ewalk-verts } v \text{ } (\text{ewalk-to-epath } p))) = w$ **using** *hd-rev*[*OF ewalk-verts-non-Nil*] **by** *simp*
with *step* **have** $\text{hd } (\text{stack } s) = w$ **by** *simp*
with *that(1) step* **show** *?thesis* **by** *simp*
qed (*metis that(2)*)
qed

Now introduce a notion of a finished search. This enables us to proof properties about the result of a search.

definition *dfs-finished* $:: ('S, 'n, 'X) \text{dfs-algorithm-scheme} \Rightarrow ('S, 'n) \text{dfs-sws} \Rightarrow \text{bool}$ **where**
 $\text{dfs-finished } \text{dfs } s \equiv \text{dfs-constructable } \text{dfs } s \wedge \neg(\exists s'. \text{dfs-next } \text{dfs } s \text{ } s')$

lemma *dfs-finishedE*:

$\llbracket \text{dfs-finished } \text{dfs } s; \llbracket \text{dfs-constructable } \text{dfs } s; \neg(\exists s'. \text{dfs-next } \text{dfs } s \text{ } s') \rrbracket \Longrightarrow P \rrbracket \Longrightarrow P$

unfolding *dfs-finished-def*
by *metis*

lemma *dfs-finishedI*:

$\text{dfs-constructable } \text{dfs } s \Longrightarrow \neg(\exists s'. \text{dfs-next } \text{dfs } s \text{ } s') \Longrightarrow \text{dfs-finished } \text{dfs } s$

unfolding *dfs-finished-def*
by *metis*

lemma *dfs-finished-stackI*:

$\text{dfs-constructable } \text{dfs } s \Longrightarrow \text{stack } s = [] \Longrightarrow \text{dfs-finished } \text{dfs } s$

unfolding *dfs-finished-def* *dfs-next-def* *dfs-cond-compl-def*
by *simp*

lemma *dfs-finished-wlI*:

$\text{dfs-constructable } \text{dfs } s \Longrightarrow \text{wl } s = [] \Longrightarrow \text{dfs-finished } \text{dfs } s$

using *dfs-finished-stackI* *length-wl-eq-stack*
by *fastforce*

lemma *dfs-finished-constructable[intro]*:

$\text{dfs-finished } \text{dfs } s \Longrightarrow \text{dfs-constructable } \text{dfs } s$

unfolding *dfs-finished-def*
by *simp*

lemma *not-dfs-cond-implies-finished*:

$dfs\text{-constructable } dfs\ s \implies \neg dfs\text{-cond } dfs\ (state\ s) \implies dfs\text{-finished } dfs\ s$

unfolding *dfs-finished-def dfs-next-def dfs-cond-compl-def*

by *simp*

definition *dfs-completed* $dfs\ s \equiv dfs\text{-finished } dfs\ s \wedge dfs\text{-cond } dfs\ (state\ s)$

lemma *dfs-completed-finished*:

$dfs\text{-completed } dfs\ s \implies dfs\text{-finished } dfs\ s$

unfolding *dfs-completed-def*

by *simp*

lemma *finished-is-completed-or-not-cond*:

$dfs\text{-finished } dfs\ s \implies dfs\text{-completed } dfs\ s \vee \neg dfs\text{-cond } dfs\ (state\ s)$

unfolding *dfs-completed-def*

by *simp*

lemma *dfs-completed-constructable[dest,simp]*:

$dfs\text{-completed } dfs\ s \implies dfs\text{-constructable } dfs\ s$

by (*metis dfs-completed-finished dfs-finished-constructable*)

lemma *dfs-completedE*:

$\llbracket dfs\text{-completed } dfs\ s; \llbracket dfs\text{-finished } dfs\ s; dfs\text{-cond } dfs\ (state\ s) \rrbracket \implies P \rrbracket \implies P$

unfolding *dfs-completed-def* **by** *metis*

lemma *dfs-completed-empty*:

$dfs\text{-completed } dfs\ s \implies stack\ s = [] \vee wl\ s = []$

unfolding *dfs-finished-def dfs-next-def dfs-cond-compl-def dfs-completed-def*

using *dfs-step-exists*

by *auto*

lemma *dfs-completed-empty-stack*:

$dfs\text{-completed } dfs\ s \implies stack\ s = []$

using *length-wl-eq-stack dfs-completed-empty*

by *force*

lemma *dfs-completed-empty-wl*:

$dfs\text{-completed } dfs\ s \implies wl\ s = []$

using *length-wl-eq-stack dfs-completed-empty*

by *force*

lemma *dfs-completed-stackI*:

$dfs\text{-constructable } dfs\ s \implies stack\ s = [] \implies dfs\text{-cond } dfs\ (state\ s) \implies dfs\text{-completed } dfs\ s$

unfolding *dfs-completed-def*

using *dfs-finished-stackI*

by *simp*

lemma *dfs-completed-wlI*:

$dfs\text{-constructable } dfs\ s \implies wl\ s = [] \implies dfs\text{-cond } dfs\ (state\ s) \implies dfs\text{-completed } dfs\ s$
using *dfs-completed-stackI length-wl-eq-stack*
by *fastforce*

lemma *dfs-completed-revE* [*case-names prev, induct pred: dfs-completed*]:

assumes *finished: dfs-completed dfs s*
and *prev: $\bigwedge s\ r. \llbracket dfs\text{-constructable } dfs\ r; dfs\text{-next } dfs\ r\ s; dfs\text{-completed } dfs\ s; s = r(\llbracket stack := [], wl := [], finish := (finish\ r)(hd\ (stack\ r) \mapsto counter\ r), counter := Suc\ (counter\ r), state := dfs\text{-post } dfs\ (state\ r)\ r\ (hd\ (stack\ r)) \rrbracket) \rrbracket \implies P\ s$*
shows *P s*
proof –
from *finished* **have** *empty: stack s = [] wl s = []* **using** *dfs-completed-empty-stack dfs-completed-empty-wl* **by** *auto*

from *finished* **have** *dfs-constructable dfs s* **by** (*rule dfs-completed-constructable*)
thus *?thesis*
proof (*cases rule: dfs-constructable.cases*)
case *start* **thus** *?thesis* **using** *empty* **by** (*simp add: dfs-constr-start-def*)
next
case (*step r*)
then **have** *s = r(\llbracket stack := [], wl := [], finish := (finish\ r)(hd\ (stack\ r) \mapsto counter\ r), counter := Suc\ (counter\ r), state := dfs\text{-post } dfs\ (state\ r)\ r\ (hd\ (stack\ r)) \rrbracket)*
using *empty*
by (*cases rule: dfs-next-cases-elem*) *auto*
with *assms step* **show** *?thesis* **by** *simp*
qed
qed

lemma *dfs-completed-prev-stack*:

assumes *finished: dfs-completed dfs s*
and *step: dfs-next dfs r s*
and *constr: dfs-constructable dfs r*
shows *stack r = [start s]*
proof –
note *emp = dfs-completed-empty-stack[OF finished]*
show *?thesis*
using *step emp assms*
proof (*cases*)
case (*empty x xs*) **with** *emp* **obtain** *e* **where** *stack r = [e]* **by** *simp*
with *last-stack-is-start[OF constr]* **have** *stack r = [start r]* **by** *simp*
with *step dfs-step-preserves-start[OF dfs-next-dfs-step, OF step]* **show** *?thesis*
by *auto*
qed *auto*
qed

lemma *completed-start-finished*:

$dfs\text{-completed } dfs\ s \implies start\ s \in finished\ s$
proof (*induct rule: dfs-completed-revE*)
case ($prev\ s\ r$) **then have** $finish\ s = finish\ r\ (hd\ (stack\ r) \mapsto counter\ r)$ **by**
simp
with $prev$ **have** $finish\ s = finish\ r\ (start\ s \mapsto counter\ r)$ **using** $dfs\text{-completed-}prev\text{-stack}[OF\ prev(3,2,1)]$ **by force**
thus $?case$ **by auto**
qed

lemma *completed-start-finish-eq-counter*:
 $dfs\text{-completed } dfs\ s \implies \varphi\ s\ (start\ s) = counter\ s - 1$
proof (*induct rule: dfs-completed-revE*)
case ($prev\ s\ r$) **then have** $finish\ s = finish\ r\ (hd\ (stack\ r) \mapsto counter\ r)$ **by**
simp
with $prev$ **have** $finish\ s = finish\ r\ (start\ s \mapsto counter\ r)$ **using** $dfs\text{-completed-}prev\text{-stack}[OF\ prev(3,2,1)]$ **by force**
with $prev$ **show** $?case$ **by simp**
qed

lemma *completed-finish-lt-start*:
 $dfs\text{-completed } dfs\ s \implies v \in finished\ s \implies v \neq start\ s \implies \varphi\ s\ v < \varphi\ s\ (start\ s)$
proof (*induct rule: dfs-completed-revE*)
case ($prev\ s\ r$) **then have** $finish\ s = finish\ r\ (hd\ (stack\ r) \mapsto counter\ r)$ **by**
simp
with $prev$ **have** $*:finish\ s = finish\ r\ (start\ s \mapsto counter\ r)$ **using** $dfs\text{-completed-}prev\text{-stack}[OF\ prev(3,2,1)]$ **by force**
hence $\varphi\ s\ (start\ s) = counter\ r$ **by simp**

moreover
from $prev.prem\ s\ *$ **have** $fv: finish\ r\ v = finish\ s\ v$ **by simp**
with $prev.prem\ s\ finish\text{-lt-counter}[OF\ prev(1)]$ **have** $\varphi\ r\ v < counter\ r$ **by force**
with fv **have** $\varphi\ s\ v < counter\ r$ **by simp**

ultimately show $?case$ **by simp**
qed

lemma *completed-finished-eq-discovered*:
 $dfs\text{-completed } dfs\ s \implies finished\ s = discovered\ s$
using $dfs\text{-completed-}empty\text{-stack}$
using $finished\text{-stack-}eq\text{-discovered}[OF\ dfs\text{-completed-}constructable]$
by (*metis List.set.simps(1) Un-empty-right*)

lemma *completed-start-finished-restr-reach*:
assumes $compl: dfs\text{-completed } dfs\ s$
and $finished: v \in finished\ s$
and $ne: v \neq start\ s$
shows $start\ s \rightarrow \backslash dfs\text{-restrict } dfs+ v$
using $assms$
proof (*induct rule: dfs-completed-revE*)

```

case (prev s r) with finished-implies-discovered have v ∈ discovered s stack r ≠
[] discovered s = discovered r by (blast, simp-all)
with prev have start r →\dfs-restrict dfs+ v using start-restr-reach-discovered[OF
prev(1)] by simp
with prev show ?case by simp
qed

```

```

lemma completed-start-finished-reach:
  assumes compl: dfs-completed dfs s
  and finished: v ∈ finished s
  shows start s →* v
proof (cases start s = v)
  case True with dfs-start-in-verts compl self-reachable show ?thesis by auto
next
  case False with assms completed-start-finished-restr-reach show ?thesis by blast
qed

```

```

lemma completed-reach-implies-finished:
  assumes compl: dfs-completed dfs s
  and fin: v ∈ finished s
  and reach: v →\dfs-restrict dfs+ w
  shows w ∈ finished s
proof –
  {
    fix v z
    assume v ∈ finished s z ∈ succs v z ∉ dfs-restrict dfs
    with finished-implies-succs-discoveredI compl have z ∈ discovered s by blast
    with compl completed-finished-eq-discovered have z ∈ finished s by blast
  }
  with reach fin show ?thesis by induction blast+
qed

```

```

lemma completed-start-reach-finished:
  dfs-completed dfs s ⇒ start s →\dfs-restrict dfs+ v ⇒ v ∈ finished s
by (metis completed-start-finished completed-reach-implies-finished)

```

```

lemma completed-finished-eq-reachable:
  assumes compl: dfs-completed dfs s
  shows finished s = {v. v = start s ∨ start s →\dfs-restrict dfs+ v} (is ... = ?F)
proof (rule set-eqI[OF iffI])
  fix x
  assume x ∈ finished s
  hence x = start s ∨ start s →\dfs-restrict dfs+ x
  using completed-start-finished-restr-reach[OF compl] completed-start-finished[OF
compl]
  by (cases x = start s) simp-all
  thus x ∈ ?F ..
next
  fix x

```


assume $x \in ?F$
hence $x = \text{start } s \vee \text{start } s \rightarrow \backslash \text{dfs-restrict } \text{dfs} + x \dots$
thus $x \in \text{finished } s$ **using** $\text{completed-start-finished}[OF \text{ compl}]$ $\text{completed-start-reach-finished}[OF \text{ compl}]$ **by** *blast*
qed

lemma *no-restrict-finished-eq-reachable*:

assumes $\text{compl}: \text{dfs-completed } \text{dfs } s$
and $\text{no-restr}: \text{dfs-restrict } \text{dfs} \cap V = \{\}$
shows $\text{finished } s = \{v. \text{start } s \rightarrow \star v\}$

proof –

from compl **have** $\text{finished } s = \{v. v = \text{start } s \vee \text{start } s \rightarrow \backslash \text{dfs-restrict } \text{dfs} + v\}$
by (*fact completed-finished-eq-reachable*)

also from no-restr **have** $\dots = \{v. v = \text{start } s \vee \text{start } s \rightarrow + v\}$ **using** *restr-reachable1-no-verts*
by *simp*

also from *reachable1-reachable* **have** $\dots = \{v. v = \text{start } s \vee \text{start } s \rightarrow \star v\}$ **by**
blast

also from *self-reachable dfs-start-in-verts compl* **have** $\dots = \{v. \text{start } s \rightarrow \star v\}$
by *force*

finally show *?thesis* .

qed

lemma *completed-finished-succs-finish*:

assumes $\text{compl}: \text{dfs-completed } \text{dfs } s$
and $\text{finished}: v \in \text{finished } s$
and $d\text{-lt}: \delta s v < \delta s w$
and $\text{succs}: w \in \text{succs } v$
and $w \notin \text{dfs-restrict } \text{dfs}$
shows $\varphi s w < \varphi s v$

proof –

from compl **have** $\text{constr}: \text{dfs-constructable } \text{dfs } s$ **by** *simp*

moreover from finished **have** $v \in \text{discovered } s$ **using** $\text{finished-implies-discovered}[OF \text{ constr}]$
by *simp*

moreover hence $v \notin \text{dfs-restrict } \text{dfs}$ **using** $\text{discovered-not-restricted}[OF \text{ constr}]$
by *simp*

with $\langle w \notin \text{dfs-restrict } \text{dfs} \rangle \text{succs } \text{succs-restricted}$ **have** $v \rightarrow \backslash \text{dfs-restrict } \text{dfs} + w$
by *simp*

with $\text{completed-reach-implies-finished}[OF \text{ compl } \text{finished}]$ **have** $w \in \text{finished } s$.

ultimately show *?thesis* **using** *finished-succs-finish succs d-lt finished* **by** *fastforce*
qed

lemma *dfs-finished-cases* [*case-names start step, consumes 1*]:

assumes $\text{fin}: \text{dfs-finished } \text{dfs } s$
and $\text{start}: \neg \text{dfs-cond } \text{dfs} (\text{dfs-start } \text{dfs} (\text{start } s)) \implies P$
and $\text{step}: \bigwedge r. \llbracket \text{dfs-constructable } \text{dfs } r; \text{dfs-next } \text{dfs } r s \rrbracket \implies P$
shows P

proof (*cases* $s = \text{dfs-constr-start } \text{dfs} (\text{start } s)$)

case *True* **hence** $\text{stack } s \neq \llbracket \rrbracket$ **and** $\text{st}: \text{state } s = \text{dfs-start } \text{dfs} (\text{start } s)$ **using**

```

dfs-constr-start-simps[of dfs start s] by simp-all
  hence  $\neg$  dfs-completed dfs s using dfs-completed-empty-stack by blast
  with fin finished-is-completed-or-not-cond have  $\neg$  dfs-cond dfs (state s) by blast
  with st start show ?thesis by simp
next
  case False
  from fin have dfs-constructable dfs s by auto
  hence  $\exists r$ . dfs-constructable dfs r  $\wedge$  dfs-next dfs r s using False
  by (cases rule: dfs-constructable.cases) auto
  with step show ?thesis by blast
qed
end

```

1.3 Lifting into the while'd

We add an invariant field to the dfs.

```

record ('S, 'n) dfs-algorithm-invar = ('S, 'n) dfs-algorithm +
  dfs-invar :: ('S, 'n) dfs-sws  $\Rightarrow$  bool — an invariant that each state built during
the search must satisfy. This is dropped in the implementation.

```

```

context finite-digraph
begin

```

dfs-invar-compl specifies the invariants that must hold on each working state. If a state does not fulfill parts of the invariant, the behavior of the dfs-algorithm is undefined. As stated later on by *dfs-relation-terminates*, it is guaranteed, that when starting with an invariant-obeying state, all states reached by the algorithms also obey them.

Similar to *dfs-cond-compl* it is split between invariants of the framework and invariants of the implementation. Here the invariant of the framework is, that the state is constructable, as given by *dfs-constructable* defined earlier.

```

definition dfs-invar-compl :: ('S, 'n, 'X) dfs-algorithm-invar-scheme  $\Rightarrow$  'n  $\Rightarrow$  ('S,
'n) dfs-sws  $\Rightarrow$  bool

```

where

```

dfs-invar-compl dfs x s  $\equiv$   $s \in$  dfs-constr-from dfs x  $\wedge$  dfs-invar dfs s

```

```

lemma dfs-invar-compl-invar[dest]:

```

```

dfs-invar-compl dfs x s  $\Longrightarrow$  dfs-invar dfs s

```

```

unfolding dfs-invar-compl-def

```

```

by simp

```

```

lemma dfs-invar-compl-constr-from[dest]:

```

```

dfs-invar-compl dfs x s  $\Longrightarrow$   $s \in$  dfs-constr-from dfs x

```

```

unfolding dfs-invar-compl-def

```

```

by auto

```

```

lemma dfs-invar-compl-constr[dest]:

```

$dfs\text{-invar-compl } dfs \ x \ s \implies dfs\text{-constructable } dfs \ s$
by *auto*

lemma *dfs-invar-complE*:

$\llbracket dfs\text{-invar-compl } dfs \ x \ s; \llbracket s \in dfs\text{-constr-from } dfs \ x; dfs\text{-invar } dfs \ s \rrbracket \implies P \rrbracket$
 $\implies P$

unfolding *dfs-invar-compl-def*

by *metis*

lemma *dfs-invar-complI*:

$\llbracket s \in dfs\text{-constr-from } dfs \ x; dfs\text{-invar } dfs \ s \rrbracket \implies dfs\text{-invar-compl } dfs \ x \ s$

unfolding *dfs-invar-compl-def*

by *simp*

And now we initialize the datastructures of the while-framework and show, that we behave as the framework expects us to behave.

definition *dfs-fun* :: $(\ 'S, 'n, 'X) \text{ dfs-algorithm-invar-scheme} \Rightarrow 'n \Rightarrow (\ 'S, 'n) \text{ dfs-sws nres}$ **where**

$dfs\text{-fun } dfs \ x \equiv$
 $WHILE_T(dfs\text{-invar-compl } dfs \ x) (dfs\text{-cond-compl } dfs) (\lambda \ s. SPEC (\lambda \ s'.$
 $dfs\text{-next } dfs \ s \ s')) (dfs\text{-constr-start } dfs \ x)$

We now state certain predicates the implementations have to obey. These predicates are used as assumptions for specifying properties of the DFS-algorithm.

Start with the need of the implementation to preserve its invariants.

definition *dfs-preserves-invar* :: $(\ 'S, 'n, 'X) \text{ dfs-algorithm-invar-scheme} \Rightarrow bool$ **where**

$dfs\text{-preserves-invar } dfs \equiv$
 $(\forall x \in V. dfs\text{-invar } dfs \ (dfs\text{-constr-start } dfs \ x)) \wedge$
 $(\forall x \ s \ s'. dfs\text{-invar-compl } dfs \ x \ s \wedge dfs\text{-next } dfs \ s \ s' \longrightarrow$
 $dfs\text{-invar } dfs \ s')$

lemma *dfs-preserves-invarI*:

$\llbracket \wedge x \ s \ s'. \llbracket dfs\text{-invar-compl } dfs \ x \ s; dfs\text{-next } dfs \ s \ s' \rrbracket \implies dfs\text{-invar } dfs \ s'; \wedge x. x$
 $\in V \implies dfs\text{-invar } dfs \ (dfs\text{-constr-start } dfs \ x) \rrbracket$

$\implies dfs\text{-preserves-invar } dfs$

unfolding *dfs-preserves-invar-def*

by *blast*

lemma *dfs-preserves-invarD[intro]*:

$\llbracket dfs\text{-preserves-invar } dfs; dfs\text{-invar-compl } dfs \ x \ s; dfs\text{-next } dfs \ s \ s' \rrbracket \implies dfs\text{-invar } dfs \ s'$

unfolding *dfs-preserves-invar-def*

by *blast*

lemma *dfs-preserves-invarE[elim]*:

assumes *dfs-preserves-invar* *dfs*

```

and [[ $\wedge x s s'. [ dfs-invar-compl\ dfs\ x\ s; dfs-next\ dfs\ s\ s' ] \implies dfs-invar\ dfs\ s' ]$ ]
 $\implies P$ 
shows  $P$ 
using  $assms$ 
unfolding  $dfs-preserves-invar-def$ 
by  $blast$ 

```

```

lemma  $dfs-preserves-invar-compl$ :
  assumes  $dfs-preserves-invar\ dfs$ 
  and  $dfs-invar-compl\ dfs\ x\ s$ 
  and  $dfs-next\ dfs\ s\ s'$ 
  shows  $dfs-invar-compl\ dfs\ x\ s'$ 
using  $assms$ 
by ( $metis\ dfs-constr-from.step\ dfs-invar-compl-def\ dfs-preserves-invarD$ )

```

```

lemma  $constr-from-invar-compl$ :
   $s \in dfs-constr-from\ dfs\ x \implies dfs-preserves-invar\ dfs \implies dfs-invar-compl\ dfs\ x\ s$ 
proof ( $induct\ rule: dfs-constr-from.induct$ )
  case ( $step\ s\ s'$ ) with  $dfs-preserves-invar-compl$  show  $?case$  by  $simp$ 
next
  case  $start$  thus  $?case$  by ( $simp\ add: dfs-invar-compl-def\ dfs-preserves-invar-def$ )
qed

```

```

lemma  $constr-from-invarI[intro!]$ :
   $s \in dfs-constr-from\ dfs\ x \implies dfs-preserves-invar\ dfs \implies dfs-invar\ dfs\ s$ 
by ( $auto\ dest: constr-from-invar-compl$ )

```

```

lemma  $dfs-constructable-invarI[intro!]$ :
   $dfs-constructable\ dfs\ s \implies dfs-preserves-invar\ dfs \implies dfs-invar\ dfs\ s$ 
by ( $auto\ elim!: dfs-constructable-constr-from-start$ )

```

Introduce a measure, that lessens with each search-step. Therefore we define a measure to be a four-tuple, s.t. with each step one element gets smaller and all the previous ones remain equal:

1. undiscovered nodes (lessens in the *visit* phase)
2. length of the search stack (lessens in the *empty* phase)
3. unchecked successors (lessens in the phases *visit* and *remove*, but for *visit* the first item already applies)

abbreviation

$dfs-step-measure \equiv less-than\ <*lex*>\ less-than\ <*lex*>\ less-than$

```

lemma  $wf-dfs-step-measure$ :
   $wf\ dfs-step-measure$ 

```

by *auto*

definition *ws-to-measure* :: ('n, 'X) *dfs-ws-scheme* \Rightarrow (nat \times nat \times nat)

where

ws-to-measure ws \equiv (card (V - discovered ws), length (stack ws), card (hd (wl ws)))

definition *ws-rel* :: (('n, 'X) *dfs-ws-scheme* \times ('n, 'X) *dfs-ws-scheme*) set **where**

ws-rel = {(ws', ws). (*ws-to-measure* ws', *ws-to-measure* ws) \in *dfs-step-measure*}

lemma *ws-rel-alt-def*:

ws-rel = *inv-image* *dfs-step-measure* *ws-to-measure*

unfolding *ws-rel-def* *inv-image-def*

by *simp*

theorem *wf-ws-rel*:

wf ws-rel

unfolding *ws-rel-alt-def*

by (rule *wf-inv-image*[OF *wf-dfs-step-measure*])

lemma *ws-rel-intro*:

(*ws-to-measure* ws', *ws-to-measure* ws) \in *dfs-step-measure* \implies (ws', ws) \in *ws-rel*

unfolding *ws-rel-def*

by *simp*

Now show, that this measure indeed applies to the algorithm.

lemma *dfs-next-in-ws-rel*:

assumes *step*: *dfs-next* *dfs* *s* *s'*

and *inv*: *dfs-invar-compl* *dfs* *x* *s*

shows (*s'*, *s*) \in *ws-rel*

using *assms*

proof (*cases rule*: *dfs-next-cases-elim*)

case (*empty* *x* *xs*)

then have card (V - discovered *s*) = card (V - discovered *s'*) **by** *simp*

with *empty* **show** ?*thesis* **by** (*simp add*: *ws-to-measure-def* *ws-rel-intro*)

next

case (*remove* *e*)

from *inv* **have** *c*: *dfs-constructable* *dfs* *s* **by** *auto*

with *wl-subset-succs*[OF *this*, of 0] *remove* **have** *: *e* \in *succs* (hd (stack *s'*)) **by**

auto

from *remove* *wl-finite*[OF *c*] **have** *F*: *finite* (hd (wl *s*)) **using** *hd-in-set* **by** *simp*

from *remove* **have** card (V - discovered *s*) = card (V - discovered *s'*) **by** *auto*

moreover from *remove* **have** length (stack *s*) = length (stack *s'*) **by** *auto*

moreover from *remove* * **have** hd (wl *s*) \supset hd (wl *s'*) **by** *auto*

with *psubset-card-mono*[OF *F*] **have** card (hd (wl *s*)) > card (hd (wl *s'*)) .

ultimately show ?*thesis* **by** (*simp add*: *ws-to-measure-def* *ws-rel-intro*)

next
case (*restrict e*)
from *inv* **have** *c: dfs-constructable dfs s* **by** *auto*
with *wl-subset-succs[OF this, of 0]* **restrict have** **: e ∈ succs (hd (stack s'))* **by** *auto*

from *restrict wl-finite[OF c]* **have** *F: finite (hd (wl s))* **using** *hd-in-set* **by** *simp*

from *restrict* **have** *card (V - discovered s) = card (V - discovered s')* **by** *auto*
moreover from *restrict* **have** *length (stack s) = length (stack s')* **by** *auto*
moreover from *restrict ** **have** *hd (wl s) ⊃ hd (wl s')* **by** *auto*
with *psubset-card-mono[OF F]* **have** *card (hd (wl s)) > card (hd (wl s'))* .

ultimately show *?thesis* **by** (*simp add: ws-to-measure-def ws-rel-intro*)
next
case *visit* **hence** *dsub: discovered s ⊂ discovered s'* **by** *auto*

from *finite-V* **have** *finite (V - discovered s)* ..

moreover
from *step inv* **have** *dfs-constructable dfs s'* **by** (*metis dfs-constructable.step dfs-invar-compl-constr*)
with *visit* **have** *discovered s' ⊆ V* **using** *discovered-subset-verts[of dfs s']* **by** (*simp-all add: dfs-sws.defs*)

with *dsub* **have** *V - discovered s' ⊂ V - discovered s* **by** *blast*

ultimately have *card (V - discovered s) > card (V - discovered s')* **by** (*rule psubset-card-mono*)
then show *?thesis* **by** (*simp add: ws-to-measure-def ws-rel-intro*)
qed

lemma *exists-finished:*
assumes *pre: x ∈ V x ∉ dfs-restrict dfs*
and *inv: dfs-preserves-invar dfs*
shows $\exists s \in \text{dfs-constr-from } \text{dfs } x. \text{dfs-finished } \text{dfs } s$
proof –
from *assms* **have** *dfs-constr-start dfs x ∈ dfs-constr-from dfs x* **by** *simp*
with *wfE-min[OF wf-ws-rel]* **obtain** *z* **where** *z: z ∈ dfs-constr-from dfs x ∧ y. (y,z) ∈ ws-rel ⇒ y ∉ dfs-constr-from dfs x* **by** *blast*
moreover with *inv* **have** *dfs-invar-compl dfs x z* **using** *constr-from-invar-compl* **by** *blast*
ultimately have $\neg (\exists y. \text{dfs-next } \text{dfs } z \ y)$ **by** (*blast intro: dfs-constr-from.step dfs-next-in-ws-rel*)
with *z* **show** *?thesis* **by** (*blast intro: dfs-finishedI*)
qed

theorem *dfs-fun-correct:*
assumes *dfs-preserves-invar dfs*

```

and  $x \in V$   $x \notin \text{dfs-restrict } \text{dfs}$ 
shows  $\text{dfs-fun } \text{dfs } x \leq \text{SPEC } (\lambda s. s \in \text{dfs-constr-from } \text{dfs } x \wedge \text{dfs-finished } \text{dfs } s)$ 
unfolding  $\text{dfs-fun-def}$ 
proof (intro refine-vcg)
  show  $\text{wf } \text{ws-rel}$  by (fact wf-ws-rel)
next
  from assms show  $\text{dfs-invar-compl } \text{dfs } x (\text{dfs-constr-start } \text{dfs } x)$  unfolding  $\text{dfs-invar-compl-def}$ 
 $\text{dfs-preserves-invar-def}$  by (auto intro! : dfs-constructable.start)
next
  from assms show  $\bigwedge s s'. \llbracket \text{dfs-cond-compl } \text{dfs } s; \text{dfs-invar-compl } \text{dfs } x s; \text{dfs-next}$ 
 $\text{dfs } s s' \rrbracket \implies \text{dfs-invar-compl } \text{dfs } x s' \wedge (s', s) \in \text{ws-rel}$ 
  by (blast intro : dfs-preserves-invar-compl dfs-next-in-ws-rel)
next
  show  $\bigwedge s. \llbracket \text{dfs-invar-compl } \text{dfs } x s; \neg \text{dfs-cond-compl } \text{dfs } s \rrbracket \implies s \in \text{dfs-constr-from}$ 
 $\text{dfs } x \wedge \text{dfs-finished } \text{dfs } s$  by (metis dfs-finishedI dfs-invar-complE dfs-invar-compl-constr
 $\text{dfs-nextE}$ )
qed

```

```

lemma dfs-fun-nofail[refine-pw-simps]:
  assumes  $\text{dfs-preserves-invar } \text{dfs}$ 
  and  $x \in V$   $x \notin \text{dfs-restrict } \text{dfs}$ 
  shows nofail ( $\text{dfs-fun } \text{dfs } x$ )
using dfs-fun-correct[OF assms]
by (rule pwD) simp

```

```

lemma dfs-fun-finished:
  assumes  $\text{dfs-preserves-invar } \text{dfs}$ 
  and  $x \in V$   $x \notin \text{dfs-restrict } \text{dfs}$ 
  and  $\text{inres } (\text{dfs-fun } \text{dfs } x) s$ 
  shows  $\text{dfs-finished } \text{dfs } s$ 
proof –
  note dfs-fun-correct[OF assms(1–3)]
  with assms(4) have  $\text{inres } (\text{SPEC } (\lambda s. s \in \text{dfs-constr-from } \text{dfs } x \wedge \text{dfs-finished}$ 
 $\text{dfs } s)) s$  using pwD2 by best
  thus ?thesis by simp
qed

```

```

lemma dfs-fun-constructable:
  assumes  $\text{dfs-preserves-invar } \text{dfs}$ 
  and  $x \in V$   $x \notin \text{dfs-restrict } \text{dfs}$ 
  and  $\text{inres } (\text{dfs-fun } \text{dfs } x) s$ 
  shows  $s \in \text{dfs-constr-from } \text{dfs } x$ 
proof –
  note dfs-fun-correct[OF assms(1–3)]
  with assms(4) have  $\text{inres } (\text{SPEC } (\lambda s. s \in \text{dfs-constr-from } \text{dfs } x \wedge \text{dfs-finished}$ 
 $\text{dfs } s)) s$  using pwD2 by best
  thus ?thesis by simp
qed

```

lemma *dfs-step-refine* [*refine-transfer*]:
assumes *dfs-cond-compl* *dfs s*
shows *RETURN* (*SOME* *s'*. *s' ∈ dfs-step dfs s*) \leq *SPEC* (λ *s'*. *dfs-cond-compl* *dfs s* \wedge *s' ∈ dfs-step dfs s*)
using *dfs-cond-compl-step-exists*[*OF* *assms*] *assms*
by (*auto intro: someI2-ex*)

schematic-lemma *dfs-fun-code*:
RETURN *?f* \leq *dfs-fun* *dfs x*
unfolding *dfs-fun-def* *dfs-next-def*
by (*refine-transfer while.transfer-WHILEIT-esc-cond*)

lemma *dfs-fun-nonempty*:
 \exists *s*. *inres* (*dfs-fun* *dfs x*) *s*
proof (*cases* *dfs-fun* *dfs x*)
case (*RES* *X*) **with** *dfs-fun-code*[*of* *dfs x*] **have** *X* \neq {} **by** *auto*
with *RES* **show** *?thesis* **by** *auto*
qed *simp*

lemma *dfs-fun-pred*:
assumes *x*: *x ∈ V* *x ∉ dfs-restrict dfs*
and *pres-inv*: *dfs-preserves-invar* *dfs*
and *one-d*: \bigwedge *s*. \llbracket *s ∈ dfs-constr-from* *dfs x*; \neg *dfs-cond-compl* *dfs s* $\rrbracket \implies$ *P s* \implies *Q s*
and *snd-d*: \bigwedge *s*. \llbracket *s ∈ dfs-constr-from* *dfs x*; *dfs-completed* *dfs s* $\rrbracket \implies$ *Q s* \implies *P s*
and *thrd-d*: \bigwedge *s*. \llbracket *s ∈ dfs-constr-from* *dfs x*; \neg *dfs-cond* *dfs (state s)* $\rrbracket \implies$ *P s*
shows *dfs-fun* *dfs x* \leq *SPEC* (λ *s*. *P s* \longleftrightarrow *Q s*)
unfolding *dfs-fun-def*
apply (*intro refine-vcg*)
apply (*fact wf-ws-rel*)
apply (*simp add: dfs-invar-compl-def x pres-inv*[*unfolded* *dfs-preserves-invar-def*])
apply (*simp add: pres-inv dfs-next-in-ws-rel dfs-preserves-invar-compl*)
proof –
fix *s*
assume *dfs-invar-compl* *dfs x s* **and** *ncond*: \neg *dfs-cond-compl* *dfs s*
hence *constr*: *s ∈ dfs-constr-from* *dfs x* **and**
cond: *stack s = []* \vee *wl s = []* \vee \neg *dfs-cond* *dfs (state s)*
unfolding *dfs-cond-compl-def* *dfs-invar-compl-def* **by** *simp-all*
show *P s* \longleftrightarrow *Q s*
proof
assume *P s*
with *one-d constr ncond* **show** *Q s* **by** *metis*
next
assume *Q s*
with *constr cond* **show** *P s*
proof (*cases* *dfs-cond* *dfs (state s)*)


```

    case True with cond have stack s = [] ∨ wl s = [] by simp
    with length-wl-eq-stack constr have stack s = [] by force
    with True have dfs-completed dfs s using dfs-completed-stackI constr by
force
    with ⟨Q s⟩ show ?thesis using snd-d constr by metis
  qed (metis thrd-d)
qed
end

```

2 Simple DFS

Introduce a simple dfs algorithm, that ignores all post and pre functions and just returns the filled discover and finish sets.

```

definition simple-dfs :: (unit, 'n) dfs-algorithm-invar where
  simple-dfs = (| dfs-cond = λ-. True,
                dfs-action = λ- - - . (),
                dfs-post = λ- - - . (),
                dfs-remove = λ- - - . (),
                dfs-start = λ-. (),
                dfs-restrict = {},
                dfs-invar = λ-. True |)

```

```

lemma simple-dfs-simps [simp]:
  dfs-cond simple-dfs S
  dfs-action simple-dfs S s x = ()
  dfs-post simple-dfs S s x = ()
  dfs-remove simple-dfs S s x = ()
  dfs-start simple-dfs x = ()
  dfs-invar simple-dfs s
  dfs-restrict simple-dfs = {}
by (simp-all add: simple-dfs-def)

```

```

lemma (in finite-digraph) simple-dfs-preserves-invar:
  dfs-preserves-invar simple-dfs
by (rule dfs-preserves-invarI) simp-all
end

```

```

theory Tree-DFS
imports Main DFS
begin

```

```

type-synonym ('n) tree = ('n × 'n) set

```

```

definition add-edge :: 'n tree ⇒ ('n tree, 'n) dfs-sws ⇒ 'n ⇒ 'n tree where
  add-edge e sws n = insert (hd (stack sws), n) e

```

definition $tdfs :: ('n \text{ tree}, 'n) \text{ dfs-algorithm-invar}$ **where**
 $tdfs = (\mid \text{dfs-cond} = \lambda-. \text{True}, \text{dfs-action} = \text{add-edge}, \text{dfs-post} = \lambda s -. s,$
 $\text{dfs-remove} = \lambda s -. s, \text{dfs-start} = \lambda-. \{\}, \text{dfs-restrict} = \{\}, \text{dfs-invar} = \lambda-. \text{True}$
 $\mid)$

definition $edges :: ('n \text{ tree}, 'n, 'X) \text{ dfs-sws-scheme} \Rightarrow 'n \text{ tree}$
where $edges\ s = \text{state } s$

declare $edges\text{-def}[simp]$

context $finite\text{-digraph}$
begin

lemma $tdfs\text{-simps}[simp]:$
 $dfs\text{-cond } tdfs\ S$
 $dfs\text{-action } tdfs = \text{add-edge}$
 $dfs\text{-post } tdfs\ S\ s\ x = S$
 $dfs\text{-remove } tdfs\ S\ s\ x = S$
 $dfs\text{-start } tdfs\ x = \{\}$
 $dfs\text{-restrict } tdfs = \{\}$
 $dfs\text{-invar } tdfs\ s$

unfolding $tdfs\text{-def}$
by $simp\text{-all}$

lemma $dfs\text{-next-edges-subset}:$
 $dfs\text{-next } tdfs\ s\ s' \Longrightarrow edges\ s \subseteq edges\ s'$
by ($cases\ rule: dfs\text{-next-cases-elim}$) ($auto\ simp\ add: dfs\text{-sws.defs}\ add\text{-edge-def}$)

lemma $no\text{-self-edges}:$
 $dfs\text{-constructable } tdfs\ s \Longrightarrow (v, w) \in edges\ s \Longrightarrow v \neq w$
proof ($induction\ rule: dfs\text{-constructable-induct}$)
case ($visit\ s\ s'\ t\ x\ xs$) **hence**
 $s': stack\ s' = t\ \#x\ \#xs$ **and**
 $e': edges\ s' = insert\ (x, t)\ (edges\ s)$
by ($simp\text{-all}\ add: add\text{-edge-def}$)

from s' **have** $x \neq t$ **using** $stack\text{-distinct}[OF\ visit(3)]$ **by** $auto$
thus $?case$
proof ($cases\ (v, w) = (x, t)$)
case $False$ **with** e' $visit.prem$ s **have** $(v, w) \in edges\ s$ **by** $auto$
with $visit.IH$ **show** $?thesis$.
qed $simp$
qed $simp+$

lemma $stack\text{-tl-subset-edges}:$
 $dfs\text{-constructable } tdfs\ s \Longrightarrow stack\ s \neq [] \Longrightarrow set\ (tl(stack\ s)) \subseteq Field\ (edges\ s)$
by ($induct\ rule: dfs\text{-constructable-induct}$) ($auto\ simp\ add: add\text{-edge-def}\ tl\text{-subset}$)

lemma *stack-hd-in-edges*:
 $dfs\text{-constructable } tdfs\ s \implies edges\ s \neq \{\} \implies stack\ s \neq [] \implies hd\ (stack\ s) \in Field\ (edges\ s)$
proof (*induct rule: dfs-constructable-induct*)
case (*empty s s'*)
hence $set\ (stack\ s') \subseteq Field\ (edges\ s')$ **using** *stack-tl-subset-edges*[*OF empty(2)*]
by *simp*
with *empty.prem*s **show** *?case* **by** (*cases stack s'*) *simp-all*
qed (*simp add: add-edge-def*)+

lemma *stack-subset-edges*:
assumes *constr: dfs-constructable tdfs s*
and $ne: edges\ s \neq \{\}$
shows $set\ (stack\ s) \subseteq Field\ (edges\ s)$
proof (*cases stack s*)
case (*Cons x xs*) **then have** $set\ xs \subseteq Field\ (edges\ s)$ **using** *stack-tl-subset-edges*[*OF constr*] **by** *simp*
moreover from *Cons* **have** $x \in Field\ (edges\ s)$ **using** *stack-hd-in-edges*[*OF assms*] **by** *simp*
ultimately show *?thesis* **using** *Cons* **by** *simp*
qed *simp*

lemma *stack-gt-1-implies-edges*:
assumes *dfs-constructable tdfs s*
and $length\ (stack\ s) > 1$
shows $edges\ s \neq \{\}$
using *stack-tl-subset-edges*[*OF assms(1)*] *assms(2)*
by (*cases stack s*) *auto*

lemma *edges-stack-is-discovered*:
assumes *dfs-constructable tdfs s*
and $stack\ s \neq []$
shows $Field\ (edges\ s) \cup set\ (stack\ s) = discovered\ s$
using *assms*
proof *induction*
case (*empty s s'*) **hence** $stack\ s' = tl\ (stack\ s)$ **by** *simp*
with $\langle stack\ s' \neq [] \rangle$ **have** $length\ (stack\ s) > 1$ **by** (*smt length-0-conv length-tl*)
with *empty* **have** $set\ (stack\ s) \subseteq Field\ (edges\ s)$ **using** *stack-subset-edges*
stack-gt-1-implies-edges **by** *metis*
with *empty* **have** $Field\ (edges\ s') = discovered\ s'$ **by** *auto*
thus *?case* **using** *stack-subset-discovered*[*OF dfs-constructable.step*[*OF empty(1,2)*]]
by *auto*
next
case (*visit s s' t x*) **hence**
 $e': edges\ s' = insert\ (x, t)\ (edges\ s)$ **and**
 $s': stack\ s' = t \# stack\ s$
by (*simp-all add: add-edge-def*)
hence $Field\ (edges\ s') = \{x, t\} \cup Field\ (edges\ s)$ **by** *auto*

with s' **have** $\text{Field}(\text{edges } s') \cup \text{set}(\text{stack } s') = \text{Field}(\text{edges } s) \cup \{x, t\} \cup \text{set}(\text{stack } s)$ **by** *auto*
also with *visit* **have** $\dots = \text{insert } t(\text{Field}(\text{edges } s) \cup \text{set}(\text{stack } s))$ **by** *auto*
also with *visit* **have** $\dots = \text{discovered } s'$ **by** *simp*
finally show $?case$.
qed *simp+*

lemma *completed-edges-eq-discovered:*

assumes *dfs-completed* $\text{tdfs } s$
and $\text{edges } s \neq \{\}$
shows $\text{Field}(\text{edges } s) = \text{discovered } s$
using *assms*
proof *induct*
case $(\text{prev } s \ r)$ **then have** $\text{ne: edges } r \neq \{\}$ **by** *simp*

from *prev* **have** $\text{stack-}r$: $\text{stack } r = [\text{start } s]$ **using** *dfs-completed-prev-stack* **by** *blast*
with *prev* ne **have** $\text{start } s \in \text{Field}(\text{edges } r)$ **using** *stack-hd-in-edges*[*of* r] **by** *force*
with *edges-stack-is-discovered*[*of* r , *OF prev*(1)] $\text{stack-}r$ **have** $\text{Field}(\text{edges } r) = \text{discovered } r$ **by** *force*
with *prev* **show** $?case$ **by** *simp*
qed

lemma *edges-subset-discovered:*

assumes *dfs-constructable* $\text{tdfs } s$
shows $\text{Field}(\text{edges } s) \subseteq \text{discovered } s$
using *edges-stack-is-discovered*[*OF assms*(1)]
proof (*cases stack s*)
case *Cons* **then show** $?thesis$ **using** *edges-stack-is-discovered*[*OF assms*(1)] **by** *auto*
next
case *Nil* **with** *assms* **have** *dfs-completed* $\text{tdfs } s$ **using** *dfs-completed-stackI* tdfs-simps (1) **by** *force*
from *completed-edges-eq-discovered*[*OF this*] **show** $?thesis$ **by** (*cases edges s = \{\}*) *simp-all*
qed

lemma *etrancl-is-discovered:*

assumes *constr: dfs-constructable* $\text{tdfs } s$
and *etrancl: (v,w) ∈ (edges s)⁺*
shows $v \in \text{discovered } s$ **and** $w \in \text{discovered } s$
proof –
from *edges-subset-discovered*[*OF constr*] **have** $(\text{edges } s)^+ \subseteq \text{discovered } s \times \text{discovered } s$ **using** *trancl-subset-Field2* **by** *auto*
with *etrancl* **show** $v \in \text{discovered } s$ $w \in \text{discovered } s$ **by** *auto*
qed

lemma *edges-subset-E*:
dfs-constructable tdfs s \implies *edges s* \subseteq *E*
proof (*induction rule: dfs-constructable-induct*)
case (*visit s s' t x*) **hence** *e'*: *edges s' = insert (x,t) (edges s)* **by** (*simp add: add-edge-def*)
moreover from *wl-subset-succs[OF visit(2), of 0] visit* **have** *t* \in *succs x* **by** *auto*
ultimately have $(x, t) \in E$ **unfolding** *succs-def* **by** *simp*
with *e' visit.IH* **show** *?case* **by** *simp*
qed *simp+*

lemma *edge-is-succs*:
assumes *dfs-constructable tdfs s*
and $(v, w) \in$ *edges s*
shows *w* \in *succs v*
proof –
from *assms* **have** $(v, w) \in E$ **using** *edges-subset-E* **by** *auto*
thus *?thesis* **by** (*auto simp add: succs-def*)
qed

lemma *discover-edge*:
dfs-constructable tdfs s \implies $(v,w) \in$ *edges s* \implies $\delta s v < \delta s w$
proof (*induction rule: dfs-constructable-induct*)
case (*visit s s' t x*) **hence**
e': *edges s' = insert (x, t) (edges s)* **and**
d': *discover s' = (discover s)(t \mapsto counter s)*
by (*simp-all add: add-edge-def*)

show *?case*
proof (*cases (v,w) \in edges s*)
case *True*
from *visit(9)* **have** *t* \notin *Field (edges s)* **using** *visit(2) edges-subset-discovered*
by *auto*
with *True* **have** *v* \neq *t* **and** *w* \neq *t* **unfolding** *Field-def* **by** *auto*
with *d' visit.IH True* **show** *?thesis* **by** *auto*
next
case *False*
with *visit e'* **have** $(v,w) = (x, t)$ **by** *auto*

moreover from *visit* **have** *t* \neq *x* **using** *stack-distinct[OF visit(3)]* **by** *auto*

moreover
from *visit* **have** *x* \in *discovered s* **using** *stack-subset-discovered[OF visit(2)]*
by *auto*
hence $\delta s x < \text{counter } s$ **using** *discover-lt-counter[OF visit(2)]* **by** *simp*

ultimately show *?thesis* **using** *d'* **by** *simp*
qed
qed *simp+*

lemma *discover-etrancl*:

assumes *constr*: *dfs-constructable tdfs s*
and *reach*: $(v,w) \in (\text{edges } s)^+$
shows $\delta s v < \delta s w$
using *reach discover-edge[OF constr]*
by *induct force+*

lemma *no-cycle*:

dfs-constructable tdfs s $\implies (v,w) \in (\text{edges } s)^+ \implies v \neq w$
by (*blast dest: discover-etrancl*)

lemma *stack-contains-edges-all*:

dfs-constructable tdfs s $\implies \forall n < \text{length } (\text{stack } s) - 1. (\text{stack } s ! \text{Suc } n, \text{stack } s ! n) \in \text{edges } s$

proof (*induction rule: dfs-constructable-induct*)

case (*empty s s' x xs*) **then show** *?case* **by** (*induct xs*) *auto*

next

case (*visit s s' t x*) **hence**

e': *edges s' = insert (x, t) (edges s)* **and**

s': *stack s' = t # stack s*

by (*simp-all add: add-edge-def*)

with *visit* **have** *t = stack s' ! 0* **and** *x = stack s' ! Suc 0* **using** *nth-Cons-0 hd-conv-nth* **by** *simp-all*

with *e'* **have** $(\text{stack } s' ! \text{Suc } 0, \text{stack } s' ! 0) \in \text{edges } s'$ **by** *simp*

moreover

from *e' s' visit.IH* **have** $\bigwedge n. n < (\text{length } (\text{stack } s')) - 1 \implies n > 0 \implies (\text{stack } s' ! \text{Suc } n, \text{stack } s' ! n) \in \text{edges } s'$

by (*smt gr0-implies-Suc insertI2 list.size(4) nth-Cons-Suc*)

ultimately show *?case* **by** *smt*

qed *simp+*

lemma *stack-contains-edges*:

dfs-constructable tdfs s $\implies n < \text{length } (\text{stack } s) - 1 \implies (\text{stack } s ! \text{Suc } n, \text{stack } s ! n) \in \text{edges } s$

by (*metis stack-contains-edges-all*)

lemma *nth-stack-hd-in-etrancl*:

dfs-constructable tdfs s $\implies n < \text{length } (\text{stack } s) \implies n > 0 \implies (\text{stack } s ! n, \text{stack } s ! 0) \in (\text{edges } s)^+$

by (*metis nth-step-trancl stack-contains-edges*)

lemma *hd-stack-no-cycle*:

assumes *constr*: *dfs-constructable tdfs s*

and *edge*: $(\text{hd } (\text{stack } s), w) \in (\text{edges } s)^+$

and *ne*: *stack s* $\neq []$

shows $w \notin \text{set}(\text{stack } s)$
proof (*rule notI*)
assume $A: w \in \text{set}(\text{stack } s)$
then obtain n **where** $n: n < \text{length}(\text{stack } s) \text{ stack } s ! n = w$ **unfolding**
in-set-conv-nth **by** *auto*

from A *edge no-cycle*[*OF constr*] **have** $w \neq \text{hd}(\text{stack } s)$ **by** *auto*
with n **have** $n > 0$ **by** (*smt hd-conv-nth ne*)
with n *nth-stack-hd-in-etrancl*[*OF constr*] **have** $(w, \text{hd}(\text{stack } s)) \in (\text{edges } s)^+$
using *hd-conv-nth*[*OF ne*] **by** *auto*
with *edge* **have** $(w, w) \in (\text{edges } s)^+$ **by** *auto*
with *no-cycle*[*OF constr*] **show** *False* **by** *auto*
qed

lemma *no-edge-to-stack*:

dfs-constructable tdfs $s \implies (v, w) \in \text{edges } s \implies v \notin \text{set}(\text{stack } s) \implies w \notin \text{set}(\text{stack } s)$

proof (*induction rule: dfs-constructable-induct*)

case (*empty s s'*) **hence** *edge*: $(v, w) \in \text{edges } s$ **by** *simp*

from *empty* **have** $ne: \text{stack } s \neq []$ **and** $tl: \text{stack } s' = tl(\text{stack } s)$ **by** *simp-all*

hence $w \notin \text{set}(\text{stack } s)$

proof (*cases v = hd(stack s)*)

case *True* **thus** *?thesis* **using** *hd-stack-no-cycle*[*OF empty(2) - ne*] *edge* **by**
auto

next

case *False* **with** *empty.premis ne tl* **have** $v \notin \text{set}(\text{stack } s)$ **by** (*fastforce intro: list.exhaust*)

with *edge empty.IH* **show** *?thesis* **by** *simp*

qed

with tl ne **show** *?case* **by** (*fastforce intro: list.exhaust*)

next

case (*visit s s' t x*) **hence** $t \notin \text{discovered } s$ **by** *simp*

hence *no-edge*: $t \notin \text{Field}(\text{edges } s)$ **using** *edges-subset-discovered*[*OF visit(2)*]
by *auto*

from *visit* **have** $v \notin \text{set}(\text{stack } s)$ **by** *simp*

moreover with *visit* **have** $(v, w) \in \text{edges } s$ **by** (*auto simp add: add-edge-def*)

ultimately have $w \notin \text{set}(\text{stack } s)$ **using** *visit.IH* **by** *simp*

with *visit* **show** *?case*

proof (*cases w = t*)

case *True* **with** $(v, w) \in \text{edges } s$ **have** $t \in \text{Field}(\text{edges } s)$ **unfolding** *Field-def*
by *auto*

with *no-edge* **show** *?thesis* **by** *contradiction*

qed *simp*

qed *simp+*

lemma *no-edge-to-stack-trancl*:

assumes *constr: dfs-constructable tdfs s*

and $(v, w) \in (\text{edges } s)^+$

and $v \notin \text{set } (\text{stack } s)$
shows $w \notin \text{set } (\text{stack } s)$
using $\text{assms}(2,3)$ $\text{no-edge-to-stack}[OF \text{ constr}]$
by (*induct rule: trancl-induct*) *simp-all*

lemma *finished-etranscl-finished:*

assumes $\text{constr: dfs-constructable tdfs } s$
and $\text{edge: } (v,w) \in (\text{edges } s)^+$
and $\text{finished: } v \in \text{finished } s$
shows $w \in \text{finished } s$
proof –
from constr finished **have** $v \notin \text{set } (\text{stack } s)$ **by** (*rule finished-implies-not-stack*)
with $\text{no-edge-to-stack-trancl}[OF \text{ constr edge}]$ **have** $w \notin \text{set } (\text{stack } s)$.

moreover

from edge **have** $w \in \text{discovered } s$ **using** $\text{etranscl-is-discovered}[OF \text{ constr}]$ **by** *simp*
ultimately show *?thesis* **using** $\text{discovered-non-stack-implies-finished}[OF \text{ constr}]$
by *auto*
qed

lemma *finish-edge:*

assumes $\text{constr: dfs-constructable tdfs } s$
and $\text{edge: } (v,w) \in \text{edges } s$
and $\text{fin: } v \in \text{finished } s$
shows $\varphi s v > \varphi s w$
proof –
from assms **have** $w \in \text{finished } s$ **using** $\text{finished-etranscl-finished}[OF \text{ constr}]$ **by**
force
moreover from fin **have** $v \in \text{discovered } s$ **using** $\text{finished-implies-discovered}[OF \text{ constr}]$ **by** *simp*
moreover from $\text{discover-edge constr edge}$ **have** $\delta s v < \delta s w$.
moreover from edge **have** $w \in \text{succs } v$ **using** $\text{edge-is-succs}[OF \text{ constr}]$ **by** *simp*
ultimately show *?thesis* **using** $\text{finished-succs-finish assms}$ **by** *blast*
qed

lemma *finish-etranscl:*

assumes $\text{constr: dfs-constructable tdfs } s$
and $\text{reach: } (v,w) \in (\text{edges } s)^+$
and $\text{fin: } v \in \text{finished } s$
shows $\varphi s v > \varphi s w$
using $\text{reach finish-edge}[OF \text{ constr}]$ $\text{fin finished-etranscl-finished}[OF \text{ constr}]$
by *induct fastforce+*

lemma *completed-finish-etranscl:*

assumes $\text{fin: dfs-completed tdfs } s$
and $\text{edge: } (v,w) \in (\text{edges } s)^+$
shows $\varphi s v > \varphi s w$
proof –
from fin **have** $\text{Field } (\text{edges } s) \subseteq \text{finished } s$ **using** $\text{edges-subset-discovered completed-finished-eq-discovered}$

by *fastforce*
 with *edge* have $v \in \text{finished } s$ using *trancl-subset-Field2* by *auto*
 with *fin edge* show *?thesis* using *finish-etrانcl* by *auto*
 qed

lemma *discover-finish-implies-in-etrانcl*:

assumes *dfs-constructable tdfs s*
 and $v \in \text{discovered } s$ and $w \in \text{discovered } s$
 and $\delta s v < \delta s w$ and $v \notin \text{finished } s \vee (w \in \text{finished } s \wedge \varphi s w < \varphi s v)$
 shows $(v, w) \in (\text{edges } s)^+$
 using *assms*

proof *induction*

case (*empty s s' x*) hence
 s' : *discover s = discover s'* and
 f' : *finish s' = finish s* ($x \mapsto \text{counter } s$) and
 e' : $(\text{edges } s')^+ = (\text{edges } s)^+$
 by *simp-all*

show *?case*

proof (*cases v = x*)

case *True* with *empty f'* have $v \notin \text{finished } s$ and *finish s' w = finish s w*
 using *stack-implies-not-finished[OF empty(2)]* by *auto*

with *empty.prem s' e'* show *?thesis* by *simp*

next

case *False* with *f'* have *fv: finish s v = finish s' v* by *simp*

show *?thesis*

proof (*cases w ∈ finished s'*)

case *False* with *empty.IH s' e' empty.prem s' fv* show *?thesis* by *fastforce*

next

case *True* note *wf' = this*

thus *?thesis*

proof (*cases v ∈ finished s'*)

case *True* with *empty(12) wf'* have $*$: $\varphi s' w < \varphi s' v$ by *simp*

have $w \neq x$

proof (*rule notI*)

assume $w = x$

with *f'* have $\varphi s' w = \text{counter } s$ by *simp*

moreover

from *True fv* have $v \in \text{finished } s$ by *auto*

hence $\varphi s v < \text{counter } s$ using *finish-lt-counter[OF empty(2)]* by *simp*

with *fv* have $\varphi s' v < \text{counter } s$ by *simp*

ultimately show *False* using $*$ by *simp*

qed

with $*$ *fv f' wf'* have $w \in \text{finished } s \wedge \varphi s w < \varphi s v$ by *simp*

with *empty.prem s' e' empty.IH* show *?thesis* by *simp*

next

case *False* with *empty.prem s' e' empty.IH s' f' e'* show *?thesis* by *simp*

```

      qed
    qed
  qed
next
  case (visit s s' e x)
  hence d': discover s' = discover s (e ↦ counter s)
  and s': stack s' = e # stack s
  and f': finish s' = finish s
  and ne: v ≠ w
  and e': edges s' = insert (x, e) (edges s)
  by (auto simp add: add-edge-def)

  show ?case
  proof (cases v = e)
    case True with d' ne have δ s' v = counter s discover s w = discover s' w
  by auto

  moreover
  then have w ∈ discovered s using visit.prem by auto
  hence δ s w < counter s using discover-lt-counter[OF visit(2)] by simp

  ultimately have False using visit.prem by simp
  thus ?thesis ..
next
  case False with d' have dv: discover s v = discover s' v by simp
  show ?thesis
  proof (cases w = e)
    case True with s' have w ∉ finished s' using stack-implies-not-finished[OF
visit(3)] by simp
    with visit.prem have v ∈ set (stack s') using discovered-not-finished-implies-stack[OF
visit(3)] by simp
    then obtain n where n: n < length (stack s') stack s' ! n = v unfolding
in-set-conv-nth by auto
    with False s' have n > 0 by (smt nth-Cons')
    with True e' s' n show ?thesis using nth-stack-hd-in-etrancl[OF visit(3), of
n] by simp
  next
  case False with d' dv f' visit.prem visit.IH have (v, w) ∈ (Tree-DFS.edges
s)+ by fastforce
  with e' trancl-insert show ?thesis by force
  qed
  qed
qed simp+

```

lemma *not-in-etrancl-discover-finish:*
assumes *constr: dfs-constructable tdfs s*
and *ne: v ≠ w*
and *not-in-etrancl: (v,w) ∉ (edges s)⁺*
shows $v \notin \text{discovered } s \vee w \notin \text{discovered } s \vee w \notin \text{finished } s \vee \delta s v > \delta s w \vee$

```

(v ∈ finished s ∧ φ s v < φ s w)
proof (cases v ∈ V ∧ w ∈ V)
  case False hence w ∉ discovered s ∨ v ∉ discovered s using discovered-subset-verts[OF
constr] by auto
  thus ?thesis by simp
next
  case True hence verts: v ∈ V w ∈ V by simp-all
  from not-in-etrancl show ?thesis
  apply (rule contrapos-np)
  apply (rule discover-finish-implies-in-etrancl[OF constr, of v w])
  apply (insert discover-neq-discover[OF constr verts ne] finish-neq-finish[OF
constr verts ne])
  by force+
qed

```

lemma both-not-in-etrancl-discover-finish:

```

assumes constr: dfs-constructable tdfs s
and ne: v ≠ w
and not-in-etrancl: (v,w) ∉ (edges s)+ (w,v) ∉ (edges s)+
and fin: v ∈ finished s w ∈ finished s
shows δ s w > φ s v ∨ φ s w < δ s v
proof –
  from constr fin have disc: v ∈ discovered s w ∈ discovered s using finished-implies-discovered
by metis+
  with constr ne not-in-etrancl not-in-etrancl-discover-finish fin have δ s v > δ s
w ∨ φ s v < φ s w δ s w > δ s v ∨ φ s w < φ s v by metis+
  thus ?thesis using correct-order constr fin by smt
qed

```

end

declare edges-def[simp del]

2.1 The DFS search tree

We now are interested in the search-tree that is built by a DFS. For the sake of easier proofs, we assume the graph is non-empty, because this case is trivial and uninteresting.

```

locale dfs-run = dfs: finite-digraph V E + G: finite-digraph VG EG
  for V VG :: 'n set and E EG :: ('n × 'n) set +
  fixes s :: ('n tree, 'n) dfs-sws
  assumes E-edges: E = edges s
  and E-ne: E ≠ {}
  and V-discovered: V = discovered s
  and completed: dfs-completed tdfs s
begin

```

abbreviation G-fd-to-graph (\mathcal{G}_G) **where**

$G\text{-fd-to-graph} \equiv G.\text{fd-to-graph}$

abbreviation $G\text{-fd-reachable}$ ($- \rightarrow G\star - [100,100]$ 40) **where**
 $G\text{-fd-reachable} \equiv G.\text{fd-reachable}$

abbreviation $G\text{-fd-reachable1}$ ($- \rightarrow G+ - [100,100]$ 40) **where**
 $G\text{-fd-reachable1} \equiv G.\text{fd-reachable1}$

abbreviation dfs-fd-to-graph (\mathcal{G}_d) **where**

$\text{dfs-fd-to-graph} \equiv \text{dfs.fd-to-graph}$

abbreviation dfs-fd-reachable ($- \rightarrow d\star - [100,100]$ 40) **where**
 $\text{dfs-fd-reachable} \equiv \text{dfs.fd-reachable}$

abbreviation dfs-fd-reachable1 ($- \rightarrow d+ - [100,100]$ 40) **where**
 $\text{dfs-fd-reachable1} \equiv \text{dfs.fd-reachable1}$

lemma dfs-reach-etrancl :

$v \rightarrow d+ w \longleftrightarrow (v,w) \in (\text{edges } s)^+$

by (*metis* $E\text{-edges}$ $\text{dfs.reachable1-trancl}$)

lemma constructable :

$\text{dfs-constructable } t\text{dfs } s$

by (*rule* $\text{dfs-completed-constructable}$ [*OF completed*])

lemma dfs-edges-sub :

$E \subseteq E_G$

by (*metis* constructable edges-subset-E $E\text{-edges}$)

lemma dfs-vertices-sub :

$V \subseteq V_G$

by (*metis* constructable $\text{discovered-subset-verts}$ $V\text{-discovered}$)

lemma verts-eq-edges :

$V = \text{Field } E$

by (*metis* completed $V\text{-discovered}$ $\text{completed-edges-eq-discovered}$ $E\text{-ne}$ $E\text{-edges}$)

lemma $s\text{-discovered}$ [*simp, intro*]:

$x \in V \implies x \in \text{discovered } s$

using $V\text{-discovered}$

by *simp*

lemma $s\text{-finished}$ [*simp, intro*]:

$x \in V \implies x \in \text{finished } s$

using $\text{completed-finished-eq-discovered}$ [*OF completed*] $s\text{-discovered}$

by *auto*

lemma $\text{vert-reach-implies-vert}$:

assumes $x \in V$

and $\text{reach}: x \rightarrow G+ y$

shows $y \in V$

proof –

have $\text{fin}: V = \text{finished } s$ **using** $V\text{-discovered}$ $\text{completed-finished-eq-discovered}$ [*OF completed*] **by** *simp*

with $\langle x \in V \rangle$ **have** $x \in \text{finished } s$ **by** *simp*
with *reach completed-reach-implies-finished*[*OF completed*] **have** $y \in \text{finished } s$
by *auto*
with *fin* **show** *?thesis* **by** *simp*
qed

lemma *vert-ewalk-ewalk-verts*:

assumes $v: x \in V$
and *walk*: $\text{ewalk } x \ p \ y$
shows $\text{set } (\text{ewalk-verts } x \ p) \subseteq V$
using *walk v walk*
proof *induction*
case *Base* **thus** *?case* **by** *simp*
next
case $(\text{Cons } w1 \ w2 \ e \ es)$ **with** *ewalk-Cons-iff* **have** $\text{ewalk } w2 \ es \ y$ **by** *simp*
moreover from *edge-implies-reach1*[*of e*] *vert-reach-implies-vert* *Cons* **have** $w2 \in V$ **by** *simp*
ultimately have $\text{set } (\text{ewalk-verts } w2 \ es) \subseteq V$ **using** *Cons.IH* **by** *simp*
with *ewalk-verts-conv*[*OF* $\langle \text{ewalk } w2 \ es \ y \rangle$] **have** *snd* ‘ $\text{set } es \subseteq V$ ’ **by** *simp*
with *Cons ewalk-verts-conv*[*OF Cons(5)*] $\langle w2 \in V \rangle$ **show** *?case* **by** *simp*
qed

lemma *vert-ewalk-edge-verts*:

assumes $v: x \in V$
and *walk*: $\text{ewalk } x \ p \ y$
and *edge*: $e \in \text{set } p$
shows $\{\text{fst } e, \text{snd } e\} \subseteq V$
proof –
from v *walk* **have** $\text{set } (\text{ewalk-verts } x \ p) \subseteq V$ **using** *vert-ewalk-ewalk-verts* **by** *simp*
with *G.ewalk-edge-in-ewalk-verts*[*OF walk edge*] **show** *?thesis* **by** *auto*
qed
end

sublocale *dfs-run* \subseteq *finite-subgraph* $V_G \ V \ E_G \ E$
using *dfs-edges-sub* *verts-eq-edges* **by** *unfold-locales*

context *dfs-run*
begin

no-notation *disc* (δ)

no-notation *fin* (φ)

abbreviation $\delta \equiv \text{disc } s$

abbreviation $\varphi \equiv \text{fin } s$

lemma *times-relation*:

$v \in V \implies \delta \ v < \varphi \ v$
using *finish-gt-discover*[*OF constructable*] *s-finished*

by *force*

lemma *no-self-reach*:

$v \rightarrow d+ w \implies v \neq w$

using *dfs-reach-etrancl no-cycle*[*OF constructable*]

by *simp*

lemma *correct-order*:

$v \in V \implies w \in V \implies \delta v < \delta w \implies \varphi v < \delta w \vee \varphi v > \varphi w$

by (*metis G.correct-order*[*OF constructable*] *s-finished*)

lemma *reachE* [*case-names reach-vw reach-wv no-reach, consumes 2*]:

assumes *verts*: $v \in V w \in V$

obtains

(*reach-vw*) $v \rightarrow d\star w$

| (*reach-wv*) $w \rightarrow d\star v$

| (*no-reach*) $\neg v \rightarrow d\star w \neg w \rightarrow d\star v$

proof (*cases v → d★ w*)

case *True with reach-vw show ?thesis .*

next

case *False note no-vw = this*

show *?thesis*

proof (*cases w → d★ v*)

case *True with reach-wv show ?thesis .*

next

case *False with no-vw no-reach show ?thesis by simp*

qed

qed

lemma *discover-neq-finish*:

$v \in V \implies w \in V \implies \delta v \neq \varphi w$

proof –

assume *v*: $v \in V$ **and** *w*: $w \in V$

with *dfs-vertices-sub* **have** $v \in V_G w \in V_G$ **by** *auto*

hence *discover s v ≠ finish s w* **using** *discover-neq-finish*[*OF constructable*]
s-discovered[*OF v*] **by** *simp*

with *s-discovered*[*OF v*] *s-finished*[*OF w*] **show** $\delta v \neq \varphi w$ **by** *auto*

qed

lemma *discover-neq-discover*:

$v \in V \implies w \in V \implies v \neq w \implies \delta v \neq \delta w$

proof –

assume *v*: $v \in V$ **and** *w*: $w \in V$ **and** *ne*: $v \neq w$

with *dfs-vertices-sub* **have** $v \in V_G w \in V_G$ **by** *auto*

hence *discover s v ≠ discover s w* **using** *discover-neq-discover*[*OF constructable*]
s-discovered[*OF v*] *ne* **by** *simp*

with *s-discovered*[*OF v*] *s-discovered*[*OF w*] **show** $\delta v \neq \delta w$ **by** *auto*

qed

lemma *finish-neq-finish*:

$v \in V \implies w \in V \implies v \neq w \implies \varphi v \neq \varphi w$

proof –

assume $v: v \in V$ **and** $w: w \in V$ **and** $ne: v \neq w$

with *dfs-vertices-sub* **have** $v \in V_G$ $w \in V_G$ **by** *auto*

hence $finish\ s\ v \neq finish\ s\ w$ **using** *finish-neq-finish*[*OF constructable*] *s-finished*[*OF v*] *ne* **by** *simp*

with *s-finished*[*OF v*] *s-finished*[*OF w*] **show** $\varphi v \neq \varphi w$ **by** *auto*

qed

lemma *reach-discover*:

$v \rightarrow_{d+} w \implies \delta v < \delta w$

by (*metis discover-etranc1*[*OF constructable*] *dfs-reach-etranc1*)

lemma *reach-finish*:

$v \rightarrow_{d+} w \implies \varphi v > \varphi w$

by (*metis completed-finish-etranc1*[*OF completed*] *dfs-reach-etranc1*)

lemma *no-reach-obtain-split*:

assumes $nr: \neg x \rightarrow_{d^*} y$

and $vert: x \in V$

and $ewalk: G.ewalk\ x\ p\ y$

obtains e **where** $e \in set\ p$ **and** $x \rightarrow_{d^*} fst\ e$ **and** $\neg x \rightarrow_{d^*} snd\ e$

proof –

note *vert-ewalk-edge-verts*[*OF vert ewalk*]

with *ewalk nr vert* **that** **show** *?thesis*

proof (*induction rule: G.ewalk-induct-rev*)

case *Base* **hence** *False* **using** *dfs.self-reachable* **by** *simp*

thus *?case ..*

next

case (*Snoc v1 v2 e es*)

from *Snoc.prem1(4)*[*of e*] *Snoc.hyps* **have** $v1 \in V$ $v2 \in V$ **by** *simp-all*

show *?case*

proof (*cases v1 \rightarrow_{d^*} v2*)

case *True* **with** *Snoc* **have** $\neg x \rightarrow_{d^*} v1$ **using** *dfs.reachable-trans* **by** *metis*

with *Snoc.IH Snoc.prem1* **show** *?thesis* **by** *auto*

next

case *False* **with** *Snoc* **show** *?thesis*

proof (*cases x \rightarrow_{d^*} v1*)

case *True* **with** *Snoc Snoc.prem1(3)*[*of e*] **show** *?thesis* **by** *auto*

qed *auto*

qed

qed

qed

lemma *discover-finish-implies-reach*:

$v \in V \implies w \in V \implies \delta v < \delta w \implies \varphi v > \varphi w \implies v \rightarrow_{d+} w$

by (*metis discover-finish-implies-in-etranc1*[*OF constructable*] *s-discovered s-finished dfs-reach-etranc1*)

lemma *no-reach-discover-finish*:
assumes *verts*: $v \in V$ $w \in V$
and *no-reach*: $\neg v \rightarrow d^* w$
shows $\delta v > \delta w \vee \varphi v < \varphi w$
proof –
from *assms* **have** *ne*: $v \neq w$ **using** *dfs.reachable-ewalk*[*of v w*] *dfs.ewalk-empty-iff*[*of v w*] **by** *simp*
from *no-reach* **show** *?thesis*
proof (*rule contrapos-np*)
assume $\neg (\delta w < \delta v \vee \varphi v < \varphi w)$
with *verts ne* **have** $v \rightarrow d^+ w$ **using** *discover-finish-implies-reach*[*of v w*] *discover-neq-discover* *finish-neq-finish* **by** *smt*
thus $v \rightarrow d^* w$ **by** *blast*
qed
qed

lemma *both-no-reach-discover-finish*:
 $v \in V \implies w \in V \implies \neg v \rightarrow d^* w \implies \neg w \rightarrow d^* v \implies \delta w > \varphi v \vee \varphi w < \delta v$
using *no-reach-discover-finish* *correct-order*
by *smt*

lemma *treeish*:
assumes *reach*: $v \rightarrow d^+ w$
shows $\neg w \rightarrow d^* v$
proof (*rule notI*)
assume $w \rightarrow d^* v$
with *no-self-reach*[*OF reach*] *dfs.reachable-neq-reachable1* **have** $w \rightarrow d^+ v$ **by** *simp*
hence $\varphi w > \varphi v$ **using** *reach-finish* **by** *simp*
moreover from *reach* **have** $\varphi v > \varphi w$ **using** *reach-finish* **by** *simp*
ultimately show *False* **by** *simp*
qed

lemma *discover-finish-impl-disj*:
assumes $v \in V$ $w \in V$
and $\delta v < \delta w$ $\varphi v < \varphi w$
shows $\varphi v < \delta w$
using *assms*
by (*smt correct-order*)

lemma *disjointI*:
assumes *verts*: $v \in V$ $w \in V$
and *fini*: $\varphi v < \delta w$
shows $\delta v < \delta w$ **and** $\delta v < \varphi w$ **and** $\varphi v < \varphi w$
proof –
from *verts* **have** $\delta v < \varphi v$ **and** $\delta w < \varphi w$ **by** (*metis times-relation*)+
with *fini* **show** $\delta v < \delta w$ **and** $\delta v < \varphi w$ **and** $\varphi v < \varphi w$ **by** *auto*
qed

We now introduce the well-known notions of white, grey and black nodes.

definition $WHITE\ u\ x \equiv \delta\ x \geq u$

definition $GREY\ u\ x \equiv \delta\ x < u \wedge \varphi\ x \geq u$

definition $BLACK\ u\ x \equiv \varphi\ x < u$

lemma *single-color*:

assumes $x \in V$

shows $WHITE\ u\ x \neq (GREY\ u\ x \vee BLACK\ u\ x)$

and $GREY\ u\ x \neq (WHITE\ u\ x \vee BLACK\ u\ x)$

and $BLACK\ u\ x \neq (WHITE\ u\ x \vee GREY\ u\ x)$

proof –

from *assms* **have** $\delta\ x < \varphi\ x$ **using** *finish-gt-discover*[*OF constructable*] *s-finished*
s-discovered **by force**

thus $WHITE\ u\ x \neq (GREY\ u\ x \vee BLACK\ u\ x)$

and $GREY\ u\ x \neq (WHITE\ u\ x \vee BLACK\ u\ x)$

and $BLACK\ u\ x \neq (WHITE\ u\ x \vee GREY\ u\ x)$

unfolding *WHITE-def* *GREY-def* *BLACK-def* **by auto**

qed

lemma *grey-impl-reach*:

assumes *verts*: $v \in V\ w \in V$

and *grey*: $GREY\ u\ v\ GREY\ u\ w$

shows $v \rightarrow d\star\ w \vee w \rightarrow d\star\ v$

using *verts*

proof (*cases rule: reachE*)

case *no-reach* **with** *verts* **have** $\delta\ w > \varphi\ v \vee \delta\ v > \varphi\ w$ **using** *both-no-reach-discover-finish*
by simp

with *grey* **show** *?thesis* **unfolding** *GREY-def* **by auto**

qed *simp-all*

The following two notions for disjunction and inclusion are just for expressing the parenthesis theorem in better-known ways.

definition $DISJ\ v\ w \equiv (\varphi\ v < \delta\ w \vee \varphi\ w < \delta\ v) \wedge \neg v \rightarrow d\star\ w \wedge \neg w \rightarrow d\star\ v$

definition $IN\ v\ w \equiv (\delta\ v < \delta\ w \wedge \varphi\ v > \varphi\ w) \wedge v \rightarrow d+\ w$

lemma *reach-eq-IN*:

$v \rightarrow d+\ w \longleftrightarrow IN\ v\ w$

unfolding *IN-def*

by (*force dest: reach-finish reach-discover*)

lemma *not-reach-eq-DISJ*:

$v \in V \implies w \in V \implies \neg v \rightarrow d\star\ w \wedge \neg w \rightarrow d\star\ v \longleftrightarrow DISJ\ v\ w$

unfolding *DISJ-def*

by (*blast dest: both-no-reach-discover-finish*)

theorem *parenthesis*:

assumes *verts*: $v \in V\ w \in V$

and $v \neq w$

shows $DISJ\ v\ w \neq (IN\ v\ w \vee IN\ w\ v)$

and $IN\ v\ w \neq (DISJ\ v\ w \vee IN\ w\ v)$
using *assms*
by (*metis not-reach-eq-DISJ reach-eq-IN treeish dfs.reachable-neq-reachable1 dfs.reachable1-reachable*)+

2.1.1 White Path Theorem

definition *p-white-path* **where**

$p\text{-white-path}\ p\ x\ y \equiv G.\text{ewalk}\ x\ p\ y \wedge (\forall\ v \in \text{set}\ (G.\text{ewalk-verts}\ x\ p)).\ \text{WHITE}\ (\delta\ x)\ v$

definition *white-path* **where**

$\text{white-path}\ x\ y \equiv \exists p.\ p\text{-white-path}\ p\ x\ y$

lemma *white-path-iff*:

$\text{white-path}\ x\ y \longleftrightarrow (\exists p.\ G.\text{ewalk}\ x\ p\ y \wedge (\forall\ v \in \text{set}\ (\text{ewalk-verts}\ x\ p)).\ \text{WHITE}\ (\delta\ x)\ v))$

unfolding *p-white-path-def white-path-def*

by (*simp add: ewalk-verts-eq*)

lemma *reach-impl-white-path*:

assumes $x \rightarrow d\star\ y$

shows *white-path* $x\ y$

proof (*cases* $x = y$)

case *True* **with** *assms* **have** $G.\text{ewalk}\ x\ []\ y$ **using** *G.ewalk-empty-iff dfs-vertices-sub dfs.reach-implies-vert* **by** *auto*

moreover

hence $G.\text{ewalk-verts}\ x\ [] = [x]$ **by** *simp*

hence $\forall v \in \text{set}\ (G.\text{ewalk-verts}\ x\ [])$. *WHITE* $(\delta\ x)\ v$ **unfolding** *WHITE-def*
by *simp*

ultimately show *?thesis* **unfolding** *white-path-iff* **by** *force*

next

case *False* **with** *assms* **have** $x \rightarrow d+\ y$ **by** *auto*

with *reach-discover* **have** *disc*: $\delta\ x < \delta\ y$.

from *assms* **obtain** *p* **where** *ewalk*: $\text{dfs.ewalk}\ x\ p\ y$ **using** *dfs.reachable-ewalk*
by *blast*

hence $\text{dfs.ves}\ p$ **unfolding** *dfs.ewalk-def* **by** *simp*

have $\bigwedge v.\ v \in \text{set}\ (\text{dfs.ewalk-verts}\ x\ p) \implies \text{WHITE}\ (\delta\ x)\ v$

proof –

fix *v*

assume $v \in \text{set}\ (\text{dfs.ewalk-verts}\ x\ p)$

with *ewalk* $\langle \text{dfs.ves}\ p \rangle$ **have** $x \rightarrow d\star\ v$

proof *induction*

case (*Base* *w*) **thus** *?case* **using** *dfs.self-reachable* **by** *simp*

next

case (*Cons* *w1 w2 e es*)

show *?case*

proof (*cases* $v = w1$)

case *True*
from *Cons* **have** $w1 = fst\ e$ **by** *simp*
hence $w1 \in V$ **using** *Cons(1) verts-eq-edges fst-in-Field* **by** *force*
with *True* **show** *?thesis* **by** (*simp add: dfs.self-reachable*)
next
case *False* **with** *Cons* **have** $w2 \rightarrow d^* v$ **using** *dfs.ewalk-verts-Cons*
dfs.ves-ConsD **by** *simp*
moreover
from *Cons.hyps* **have** $w1 \rightarrow d^* w2$ **using** *dfs.succs-reachable[unfolded*
dfs.succs-def] **by** *auto*
ultimately show *?thesis* **using** *dfs.reachable-trans* **by** *metis*
qed
qed
thus *WHITE* $(\delta\ x)\ v$ **unfolding** *WHITE-def*
proof (*cases x=v*)
assume $x \neq v$ $x \rightarrow d^* v$ **hence** $x \rightarrow d^+ v$ **by** *auto*
with *reach-discover* **show** $\delta\ x \leq \delta\ v$ **by** *force*
qed *simp*
qed
then show *?thesis* **unfolding** *white-path-iff ewalk-verts-eq* **by** (*blast intro: ewalk2-implies-ewalk*
ewalk)
qed

lemma *white-path-impl-reach:*

assumes *wp: white-path x y*
and $x \in V$
shows $x \rightarrow d^* y$
proof (*rule ccontr*)
assume *no-reach: $\neg x \rightarrow d^* y$*

from *wp* **obtain** *p* **where** *p: p-white-path p x y* **unfolding** *white-path-def* **by**
blast

hence *ewalk: ewalk x p y* **unfolding** *p-white-path-def* **by** *simp*
with *no-reach* $\langle x \in V \rangle$ **obtain** *e* **where**
 $e: e \in set\ p$ $x \rightarrow d^* fst\ e$ $\neg x \rightarrow d^* snd\ e$
using *no-reach-obtain-split* **by** *blast*
then obtain *u v* **where** $u: u = fst\ e$ **and** $v: v = snd\ e$ **by** *blast*
with *e* **have** $x-u: x \rightarrow d^* u$ **and** $x-v: \neg x \rightarrow d^* v$ **by** *simp-all*
hence $u-ne-v: u \neq v$ **and** $x-ne-v: x \neq v$ **using** *dfs.self-reachable* $\langle x \in V \rangle$ **by**
force+

from *e ewalk* **have** $e \in E_G$ **unfolding** *ewalk-conv* **by** *auto*
with *u v* **have** *succs: v ∈ G.succs u* **unfolding** *succs-def* **by** *simp*

from *e u v ewalk* **have** $u \in V\ v \in V$ **using** *vert-ewalk-edge-verts[OF* $\langle x \in V \rangle$
by *simp-all*

note *verts = this* $\langle x \in V \rangle$

from *x-u* **have** *xu-rel: $\delta\ x \leq \delta\ u$* $\varphi\ x \geq \varphi\ u$ **using** *reach-discover[of x u]*

reach-finish[of $x u$] *dfs.reachable-neq-reachable1* **by** (*case-tac* [!] $x = u$) *fastforce+*

from $e v$ *ewalk* **have** $v \in \text{set } (G.\text{ewalk-verts } x p)$ **using** $G.\text{ewalk-edge-in-ewalk-verts}$
by *auto*

with p **have** *WHITE* $(\delta x) v$ **unfolding** $p\text{-white-path-def}$ **by** *simp*

with $x\text{-ne-}v$ **have** $xv\text{-rel}: \delta x < \delta v$ **unfolding** *WHITE-def* **using** $\text{discover-neq-discover}$
verts **by** *force*

with *correct-order*[*OF* - - *this*] *verts* **have** $xv\text{-rel}: \varphi x < \delta v \vee \varphi x > \varphi v$ **by**
simp

from *verts* **show** *False*

proof (*cases rule: reachE*)

case reach-vw **thus** *?thesis* **by** (*metis* $x\text{-u } xv\text{-rel } \text{dfs.reachable-trans}$)

next

case reach-wv **with** $u\text{-ne-}v$ **have** $v \rightarrow_{d+} u$ **by** *auto*

hence $\delta v < \delta u$ **and** $\varphi v > \varphi u$ **using** $\text{reach-discover } \text{reach-finish}$ **by** *simp-all*

from $xv\text{-rel}$ **show** *?thesis*

proof (*rule disjE*)

assume $\varphi x < \delta v$ **with** $(\delta v < \delta u)$ $\text{times-relation}[of u]$ *verts* **have** $\varphi x <$
 φu **by** *simp*

with $xu\text{-rel}$ **show** *False* **by** *simp*

next

assume $\varphi x > \varphi v$ **with** $xv\text{-rel}$ **have** $x \rightarrow_{d+} v$ **using** $\text{discover-finish-implies-reach}$
verts **by** *simp*

with $x\text{-v}$ **show** *False* **by** *auto*

qed

next

case no-reach **with** $\text{both-no-reach-discover-finish } \text{verts}$ **have** $\varphi v < \delta u \vee \varphi u$
 $< \delta v$ **by** *simp*

thus *?thesis*

proof (*rule disjE*)

assume $\varphi v < \delta u$ **with** $xu\text{-rel } xv\text{-rel } \text{times-relation } \text{verts}$ **have** $\delta x < \delta v$
and $\varphi x > \varphi v$ **by** *force+*

with $\text{discover-finish-implies-reach } \text{verts } x\text{-v}$ **show** *False* **by** *auto*

next

assume $\varphi u < \delta v$ **with** $\text{times-relation}[of u]$ *verts* **have** $\delta u < \delta v$ **by** *simp*

moreover

with $G.\text{completed-finished-succs-finish}[OF \text{ completed} - - \text{succs}] s\text{-finished } \text{verts}$
have $\varphi v < \varphi u$ **by** *simp*

ultimately **have** $u \rightarrow_{\star} v$ **using** $\text{discover-finish-implies-reach } \text{verts}$ **by** *auto*

with $x\text{-u } xv\text{-rel } \text{dfs.reachable-trans}$ **show** *False* **by** *metis*

qed

qed

qed

theorem *white-path*:

$x \in V \implies \text{white-path } x y \iff x \rightarrow_{d\star} y$

by (*metis* $\text{reach-impl-white-path } \text{white-path-impl-reach}$)

```

end
end
theory Nested-DFS
imports DFS
begin

context finite-digraph
begin

fun check-cycle :: 'n set ⇒ bool ⇒ (bool, 'n) dfs-sws ⇒ 'n ⇒ bool where
  check-cycle - True - - = True
| check-cycle sup-st False - e = (e ∈ sup-st) — if e is on the parent (=super) stack,
we have a cycle

definition sub-dfs-invar where
  sub-dfs-invar sup-st s ≡ ¬ state s → (finished s ∩ sup-st ⊆ {start s} ∧
                                         set (stack s) ∩ sup-st ⊆ {start s} ∧
                                         (start s ∈ sup-st → (∀ x ∈ finished s. start s
∉ succs x) ∧
                                         (∀ n < length (stack s). start s ∉ wl s ! n →
start s ∉ succs (stack s ! n))))))

lemma sub-dfs-invarI:
  [¬ state s; finished s ∩ sup-st ⊆ {start s}; set (stack s) ∩ sup-st ⊆ {start s};
start s ∈ sup-st ⇒ ∀ x ∈ finished s. start s ∉ succs x; start s ∈ sup-st ⇒ ∀
n < length (stack s). start s ∉ wl s ! n → start s ∉ succs (stack s ! n)] ⇒
sub-dfs-invar sup-st s
unfolding sub-dfs-invar-def
by blast

definition sub-dfs :: 'n set ⇒ 'n set ⇒ (bool, 'n) dfs-algorithm-invar where
  sub-dfs sup-st R = ( dfs-cond = Not, dfs-action = check-cycle sup-st, dfs-post =
λ S - . S, dfs-remove = λ S s x. x = start s ∧ x ∈ sup-st, dfs-start = λ-. False,
dfs-restrict = R, dfs-invar = sub-dfs-invar sup-st )

lemma sub-dfs-simps[simp]:
  dfs-cond (sub-dfs ss R) S ↔ ¬ S
  dfs-action (sub-dfs ss R) = check-cycle ss
  dfs-post (sub-dfs ss R) S s x = S
  dfs-remove (sub-dfs ss R) S s x ↔ x = start s ∧ x ∈ ss
  dfs-start (sub-dfs ss R) x = False
  dfs-invar (sub-dfs ss R) = sub-dfs-invar ss
  dfs-restrict (sub-dfs ss R) = R
unfolding sub-dfs-def
by (simp-all)

lemma sub-dfs-state-not-next:
  dfs-constructable (sub-dfs ss R) s ⇒ state s ⇒ ¬ dfs-next (sub-dfs ss R) s s'

```

unfolding *dfs-next-def* **by** *auto*

lemma *sub-dfs-preserves-invar*[*intro!*]:

dfs-preserves-invar (*sub-dfs* *ss* *R*)

proof (*rule dfs-preserves-invarI*)

fix *x s s'*

assume *step: dfs-next* (*sub-dfs* *ss* *R*) *s s'* **and** *inv-cl:dfs-invar-compl* (*sub-dfs* *ss* *R*) *x s*

hence *inv1: finished* *s* \cap *ss* \subseteq {*start s*} **and**

inv2: set (*stack* *s*) \cap *ss* \subseteq {*start s*} **and**

inv3: start *s* \in *ss* \longrightarrow (\forall *x* \in *finished* *s*. *start* *s* \notin *succs* *x*) **and**

inv4: start *s* \in *ss* \longrightarrow (\forall *n* $<$ *length* (*stack* *s*). *start* *s* \notin *wl* *s* ! *n* \longrightarrow *start* *s* \notin *succs* (*stack* *s* ! *n*))

unfolding *dfs-invar-compl-def* **by** (*auto simp add: sub-dfs-invar-def sub-dfs-state-not-next*)

note *invs = inv1 inv2 inv3 inv4*

from *step inv-cl* **have** \neg *state* *s* **unfolding** *dfs-invar-compl-def* **by** (*auto simp add: sub-dfs-state-not-next*)

have *sub-dfs-invar* *ss s'*

proof (*cases* *state s'*)

case *True* **thus** *?thesis* **by** (*simp add: sub-dfs-invar-def*)

next

case *False* **with** *step invs* **show** *?thesis*

proof (*cases* *rule: dfs-next-cases-elem*)

case (*remove* *e x xs w ws*) **with** *False* **have** *e-neq-s: start* *s* \in *ss* \implies *e* \neq *start* *s* **by** *fastforce*

from *remove invs False* **show** *?thesis*

proof (*intro sub-dfs-invarI*)

case *goal5*

with *inv4* **have** *inv4':* \forall *n* $<$ *length* (*stack* *s*). *start* *s* \notin *wl* *s* ! *n* \longrightarrow *start* *s* \notin *succs* (*stack* *s* ! *n*) **by** *force*

from *remove* **have** $*$: *stack* *s'* = *x* # *xs* *wl* *s'* = (*w* - {*e*}) # *ws* **by** *simp-all*

with *remove(1,2)* **show** *?case* **using** *inv4'*

proof (*induct* *rule: stack-wl-remove-induct*)

case *0* **with** *e-neq-s goal5* **show** *?case* **by** *auto*

qed (*auto simp add: remove*)

qed *simp-all*

next

case (*restrict* *e x xs w ws*) **with** *inv-cl start-not-restr* **have** *e-not-start: e* \neq *start* *s* **by** *blast*

from *restrict invs False* **show** *?thesis*

proof (*intro sub-dfs-invarI*)

case *goal5*

with *inv4* **have** *inv4':* \forall *n* $<$ *length* (*stack* *s*). *start* *s* \notin *wl* *s* ! *n* \longrightarrow *start* *s* \notin *succs* (*stack* *s* ! *n*)

$s \notin \text{succs}(\text{stack } s ! n)$ **by force**

from restrict have $* : \text{stack } s' = x \# xs \text{ wl } s' = (w - \{e\}) \# ws$ **by simp-all**
with restrict(1,2) show $?case$ **using** $inv4'$
proof (*induct rule: stack-wl-remove-induct*)
case 0 with $e\text{-not-start goal5}$ **show** $?case$ **by auto**
qed (*auto simp add: restrict*)
qed simp-all
next
case empty with $invs$ **show** $?thesis$ **unfolding sub-dfs-invar-def** **by auto**
next
case ($visit\ e\ x\ xs\ w\ ws$) **with** $inv\text{-cl}\ start\text{-discovered}$ **have** $e \neq start\ s$ **by auto**
from $visit\ False\ (\neg\ state\ s)$ **have** $e \notin ss$ **by simp**

with $False\ visit\ invs$ **show** $?thesis$
proof (*intro sub-dfs-invarI*)
case goal5
with $inv4'$ **have** $inv4' : \forall n < length(\text{stack } s). start\ s \notin \text{wl } s ! n \longrightarrow start\ s \notin \text{succs}(\text{stack } s ! n)$ **by force**

from $visit$ **have** $* : \text{stack } s' = e \# x \# xs \text{ wl } s' = \text{succs } e \# (w - \{e\}) \# ws$
by simp-all
with $visit(1,2)$ **show** $?case$
using $inv4'$
proof (*induct rule: stack-wl-visit-induct*)
case case-1 with $(e \neq start\ s)\ visit$ **show** $?case$ **by auto**
qed (*auto simp add: visit*)
qed simp-all
qed
qed
thus $dfs\text{-invar}(\text{sub-dfs } ss\ R)\ s'$ **by simp**
qed (*simp add: sub-dfs-invar-def*)

definition sub-cyclic where $sub\text{-cyclic } ss\ s \equiv$

$stack\ s \neq [] \wedge$
 $((hd(\text{stack } s) \in ss \wedge start\ s \neq hd(\text{stack } s)) \vee (start\ s \in \text{succs}(hd(\text{stack } s)) \wedge start\ s \in ss)) \wedge$
 $state\ s$

definition sub-cycle where $sub\text{-cycle } ss\ R\ x \equiv \exists s \in \text{dfs-const-frm}(\text{sub-dfs } ss\ R)\ x. sub\text{-cyclic } ss\ s$

lemma state-implies-sub-cyclic:

$dfs\text{-constructable}(\text{sub-dfs } ss\ R)\ s \implies state\ s \implies sub\text{-cyclic } ss\ s$
proof (*induction rule: dfs-constructable-induct*)
case ($visit\ s\ s'\ e\ x$) **hence** $\neg\ state\ s$ **using** $sub\text{-dfs-state-not-next}$ **by force**
moreover from $visit$ **have** $check\text{-cycle } ss\ (state\ s)\ s\ e$ **by simp**
ultimately have $e \in ss$ **by simp**
moreover from $visit\ start\text{-discovered}$ **have** $e \neq start\ s$ **by auto**
ultimately show $?case$ **using** $visit\ unfolding\ sub\text{-cyclic-def}$ **by force**

next
case (*remove* $s\ s'\ e\ x$) **hence** \neg *state* s **using** *sub-dfs-state-not-next* **by** *force*
with *remove* **have** $e \in ss\ e = \text{start } s$ **by** *auto*
moreover from *remove* **have** $e \in \text{succs } (\text{hd } (\text{stack } s))$ **using** *wl-subset-succs*[*OF*
remove(2), *of 0*] **by** *auto*
ultimately show *?case unfolding sub-cyclic-def* **using** *remove* **by** *simp*
qed (*simp-all add: sub-dfs-state-not-next sub-cyclic-def*)

lemma *sub-cycle-not-completed*:

assumes *constr*: $s \in \text{dfs-constr-from } (\text{sub-dfs } ss\ R)\ x$
and *sub*: $x \rightarrow \backslash R+ v\ v \in ss$
shows $\neg \text{dfs-completed } (\text{sub-dfs } ss\ R)\ s$
proof (*rule notI*)
let $?DFS = \text{sub-dfs } ss\ R$
assume *compl*: *dfs-completed* $?DFS\ s$
hence *cond*: *dfs-cond* $?DFS\ (\text{state } s)$ **unfolding** *dfs-completed-def* **by** *simp*
moreover from *constr-from-invarI*[*OF constr*] **have** *inv*: *sub-dfs-invar* $ss\ s$ **by**
auto
ultimately have *nr*: $\text{finished } s \cap ss \subseteq \{\text{start } s\}$ $\text{start } s \in ss \implies \forall x \in \text{finished } s.$
 $\text{start } s \notin \text{succs } x$ **unfolding** *sub-dfs-invar-def* **by** *simp-all*

from *constr* **have** *start*: $\text{start } s = x$ **using** *constr-from-implies-start* **by** *blast*

from *sub* **have** *x-reach*: $x \rightarrow \backslash \text{dfs-restrict } ?DFS+ v$ **by** *simp*
with *compl start completed-start-finished completed-reach-implies-finished* **have**
 $v \in \text{finished } s$ **by** *metis*
thus *False*
proof (*cases v = x*)
case *True* **with** *x-reach* $\langle v \in ss \rangle \langle v \in \text{finished } s \rangle$ **show** *False*
proof *induction*
case (*base y*) **with** *base nr start* **show** *False* **by** *blast*
next
case (*trans y z*) **with** *completed-reach-implies-finished*[*OF compl*] $\langle v \in \text{finished } s \rangle$
 $\langle \text{True} \rangle$ **have** $y \in \text{finished } s$ **by** *blast*
with *trans nr start* **show** *False* **by** *blast*
qed
next
case *False*
from $\langle v \in \text{finished } s \rangle \langle v \in ss \rangle$ **have** $v \in \text{finished } s \cap ss$ **by** *auto*
with *False start nr* **show** *False* **by** *auto*
qed
qed

lemma *sub-cycle-generates-sub-cyclic*:

assumes *reach*: $x \rightarrow \backslash R+ v$
and *sub-cycle*: $v \in ss$
obtains s **where** $s \in \text{dfs-constr-from } (\text{sub-dfs } ss\ R)\ x$ *sub-cyclic* $ss\ s$
proof –
let $?DFS = \text{sub-dfs } ss\ R$

from *reach* **have** $x \in V$ **and** $x \notin \text{dfs-restrict } ?DFS$ **using** *restricted-start* **by**
auto
with *exists-finished*[*OF - - sub-dfs-preserves-invar*] **obtain** s **where** $s: s \in$
dfs-constr-from $?DFS$ x *dfs-finished* $?DFS$ s **by** *blast*
moreover with *sub-cycle-not-completed* *assms* **have** $\neg \text{dfs-completed } ?DFS$ s **by**
simp
ultimately have $\neg \text{dfs-cond } ?DFS$ (*state* s) **using** *finished-is-completed-or-not-cond*
by *blast*
hence *state* s **by** *simp*
with s *state-implies-sub-cyclic* *that constr-from-implies-start* **show** *?thesis* **by**
blast
qed

lemma *sub-cycle-get-in-sup-st*:

assumes *sub-cycle* ss R x

obtains v **where** $x \rightarrow \backslash R+ v$ $v \in ss$

proof –

from *assms* **obtain** s **where** *constr*: $s \in \text{dfs-constr-from } (sub\text{-dfs } ss R) x$ **and**
sub-cyclic ss s **unfolding** *sub-cycle-def* **by** *auto*

with *constr-from-implies-start* **have** *sne*: $stack\ s \neq []$ **and** $*$: $(x \neq hd\ (stack\ s) \wedge$
 $hd\ (stack\ s) \in ss) \vee (x \in succs\ (hd\ (stack\ s)) \wedge x \in ss)$ **unfolding** *sub-cyclic-def*
by *auto*

show *?thesis*

proof (*cases* $x \neq hd\ (stack\ s) \wedge hd\ (stack\ s) \in ss$)

case *True* **with** *constr-from-restr-reach-stack*[*OF constr*] *sne* **have** $x \rightarrow \backslash R+$
 $hd\ (stack\ s)$ **by** *auto*

with *True* *that* **show** *?thesis* **by** *blast*

next

case *False* **with** $*$ **have** $**$: $x \in succs\ (hd\ (stack\ s)) \wedge x \in ss$ **by** *simp*

moreover from *constr sne* **have** $x \notin \text{dfs-restrict } (sub\text{-dfs } ss R) hd\ (stack\ s)$
 $\notin \text{dfs-restrict } (sub\text{-dfs } ss R)$

using *constr-from-implies-start* *stack-not-restricted* *start-not-restr* *hd-in-set*
by *blast+*

ultimately have *reach*: $hd\ (stack\ s) \rightarrow \backslash R+ x$ **using** *succs-restricted* **by** *simp*

show *?thesis*

proof (*cases* $x = hd\ (stack\ s)$)

case *True* **with** *reach* *that* $**$ **show** *?thesis* **by** *simp*

next

case *False* **with** *constr-from-restr-reach-stack*[*OF constr*] *sne* **have** $x \rightarrow \backslash R+$
 $hd\ (stack\ s)$ **by** *auto*

with $**$ *restr-reachable1-trans*[*OF - reach*] *that* **show** *?thesis* **by** *blast*

qed

qed

qed

lemma *sub-cycle-iff-in-sup-st*:

sub-cycle ss R $x \longleftrightarrow (\exists v. x \rightarrow \backslash R+ v \wedge v \in ss)$

proof

assume *sub-cycle ss R x* **thus** $\exists v. x \rightarrow \backslash R + v \wedge v \in ss$ **by** (*metis sub-cycle-get-in-sup-st*)
next
assume $\exists v. x \rightarrow \backslash R + v \wedge v \in ss$
then guess $v ..$
then obtain s **where** *sub-cyclic ss s* $s \in \text{dfs-constr-from} (sub\text{-dfs } ss R) x$ **using**
sub-cycle-generates-sub-cyclic **by** *blast*
thus *sub-cycle ss R x* **unfolding** *sub-cycle-def* **by** *blast*
qed

lemma *dfs-fun-sub-dfs-correct*:
assumes $x \in V$ $x \notin R$
shows $\text{dfs-fun} (sub\text{-dfs } ss R) x \leq SPEC (\lambda s. \text{state } s \longleftrightarrow \text{sub-cycle } ss R x)$
using *assms*
proof (*intro dfs-fun-pred*)
fix s
assume $s \in \text{dfs-constr-from} (sub\text{-dfs } ss R) x$ *state s*
moreover hence *sub-cyclic ss s* **using** *state-implies-sub-cyclic* **by** *auto*
ultimately show *sub-cycle ss R x* **by** (*auto simp: sub-cycle-def*)
next
fix s
assume $s \in \text{dfs-constr-from} (sub\text{-dfs } ss R) x$
and *dfs-completed (sub-dfs ss R) s*
and *sub-cycle ss R x*
hence *False* **by** (*metis sub-cycle-iff-in-sup-st sub-cycle-not-completed*)
thus *state s ..*
qed (*simp-all add: sub-dfs-preserves-invar*)

theorem *sub-dfs-correct*:
assumes $x \in V$ $x \notin R$
and *inres (dfs-fun (sub-dfs ss R) x) s*
shows $\text{state } s \longleftrightarrow \text{sub-cycle } ss R x$
using *assms dfs-fun-sub-dfs-correct*
by (*bestsimp dest!: pwD2*)

theorems *sub-dfs-correct-unfolded = sub-dfs-correct[unfolded sub-cycle-iff-in-sup-st]*

corollary *sub-dfs-correct-compl*:
assumes $x \in V$ $x \notin R$
and *inres (dfs-fun (sub-dfs ss R) x) s*
shows $\text{dfs-completed} (sub\text{-dfs } ss R) s \longleftrightarrow \neg \text{sub-cycle } ss R x$
proof –
from *sub-dfs-correct[OF assms]* **have** $\neg \text{dfs-cond} (sub\text{-dfs } ss R) (\text{state } s) \longleftrightarrow$
sub-cycle ss R x **by** *simp*
moreover from *dfs-fun-correct assms* **have** *dfs-finished (sub-dfs ss R) s* **by**
(*bestsimp dest!: pwD2*)
ultimately show *?thesis* **by** (*simp add: dfs-completed-def*)
qed

lemmas *sub-dfs-correct-compl-unfolded = sub-dfs-correct-compl[unfolded sub-cycle-iff-in-sup-st]*

lemma *RETURN-sub-cycle-correct*:
assumes $x \in V \ x \notin R$
shows $RETURN \ (sub-cycle \ ss \ R \ x) = do \ \{ s \leftarrow dfs-fun \ (sub-dfs \ ss \ R) \ x;$
 $RETURN \ (state \ s) \}$
apply *(rule pw-eqI)*
apply *(simp-all add: pw-bind-inres pw-bind-nofail dfs-fun-nofail[OF sub-dfs-preserves-invar]*
assms)
apply *(metis assms dfs-fun-nonempty sub-dfs-correct)*
done

lemmas *RETURN-sub-cycle-correct-unfolded* = *RETURN-sub-cycle-correct*[*unfolded*
sub-cycle-iff-in-sup-st]

lemma *RETURN-sub-cycle-finished-correct*:
assumes $x \in V \ x \notin R$
shows $RETURN \ (if \ sub-cycle \ ss \ R \ x \ then \ None \ else \ Some \ \{v. \ v = x \vee x \rightarrow \backslash R +$
 $v\}) =$
 $do \ \{ s \leftarrow dfs-fun \ (sub-dfs \ ss \ R) \ x; RETURN \ (if \ state \ s \ then \ None \ else$
 $Some \ (finished \ s)) \} \ (is \ ?L = ?R)$
proof *(rule pw-eqI)*
show *nofail ?L \longleftrightarrow nofail ?R* **by** *(simp-all add: pw-bind-inres pw-bind-nofail*
dfs-fun-nofail[OF sub-dfs-preserves-invar] assms)
next
let $?DFS = sub-dfs \ ss \ R$
fix s
from $\langle x \notin R \rangle$ **have** *restr: $x \notin dfs-restrict \ ?DFS$* **by** *simp*

show *inres ?L s \longleftrightarrow inres ?R s*
proof *(cases sub-cycle ss R x)*
case *True*
from *dfs-fun-nonempty* **obtain** s' **where** $s': inres \ (dfs-fun \ ?DFS \ x) \ s'$ **by** *blast*
with $s' \ True \ sub-dfs-correct[OF \ assms]$ **show** *?thesis* **by** *(auto simp add:*
pw-bind-inres pw-bind-nofail dfs-fun-nofail[OF sub-dfs-preserves-invar] assms)
next
case *False*
{
fix s'
assume *inres (dfs-fun ?DFS x) s'*
moreover **with** *dfs-fun-constructable assms restr* **have** $s' \in dfs-constr-from$
 $?DFS \ x$ **by** *blast*
moreover **hence** *start s' = x* **using** *constr-from-implies-start* **by** *blast*
moreover **note** *assms False*
ultimately **have** *dfs-completed ?DFS s' finished s' = {v. v = x \vee x \rightarrow $\backslash R +$*
 $v\}$
using *completed-finished-eq-reachable*[**where** $dfs=?DFS$] *sub-dfs-correct-compl*
by *(simp-all)*
} note *inres-R-impl = this*

```

show ?thesis
proof
  assume inres ?L s with False have s = Some {v. v = x ∨ x →\R+ v} by
simp
  moreover from dfs-fun-nonempty obtain s' where s': inres (dfs-fun ?DFS
x) s' by blast
  moreover note inres-R-impl[OF this]
  ultimately show inres ?R s by (auto simp add: pw-bind-inres pw-bind-nofail
dfs-fun-nofail[OF sub-dfs-preserves-invar] assms dfs-completed-def)
  next
    assume inres: inres ?R s
    hence s = Some {v. v = x ∨ x →\R+ v} using inres-R-impl[unfolded
dfs-completed-def] by (auto simp add: pw-bind-inres pw-bind-nofail dfs-fun-nofail[OF
sub-dfs-preserves-invar] assms)
    thus inres ?L s using False by simp
  qed
qed
qed

```

lemmas *RETURN-sub-cycle-finished-correct-unfolded* = *RETURN-sub-cycle-finished-correct*[*unfolded*
sub-cycle-iff-in-sup-st]

end

2.2 Now the grand loop

locale *finite-accepting-digraph* = *finite-digraph* *V E*

for *V* :: '*n* set **and** *E* :: ('*n* × '*n*) set +

fixes *A* :: '*n* set

begin

definition *run-sub-dfs'* :: '*n* set ⇒ '*n* set ⇒ '*n* ⇒ '*n* set option **where**

run-sub-dfs' *ss R e* ≡ *THE* *b*. *RETURN* *b* ≤ *do* { *s* ← *dfs-fun* (*sub-dfs* *ss R*) *e*;
RETURN (if state *s* then *None* else *Some* (*finished* *s*)) }

lemma *run-sub-dfs'-correct*:

assumes *e* ∈ *V* **and** *e* ∉ *R*

shows *run-sub-dfs'* *ss R e* = *None* ↔ (∃ *v*. *e* →\R+ *v* ∧ *v* ∈ *ss*)

unfolding *run-sub-dfs'-def*

apply (*rule theI2*)

apply (*simp-all only: RETURN-sub-cycle-finished-correct-unfolded*[*OF assms*,
symmetric])

apply *simp-all*

done

lemma *run-sub-dfs'-finished*:

assumes *e*: *e* ∈ *V* *e* ∉ *R*

and *run-sub-dfs'* *ss R e* = *Some* *f*

shows *f* = {*v*. *v* = *e* ∨ *e* →\R+ *v*}

proof –

note *RETURN-sub-cycle-finished-correct-unfolded*[*OF e*, *of ss*, *symmetric*]
also from *assms* **have** *run-sub-dfs'* *ss R e* \neq *None* **by** *simp*
with *run-sub-dfs'-correct*[*OF e*] **have** *RETURN* (*if* $\exists v. e \rightarrow \backslash R+ v \wedge v \in ss$
then *None* *else* *Some* $\{v. v = e \vee e \rightarrow \backslash R+ v\}$) = *RETURN* (*Some* $\{v. v = e \vee$
 $e \rightarrow \backslash R+ v\}$) **by** *simp*
finally have *run-sub-dfs'* *ss R e* = (*Some* $\{v. v = e \vee e \rightarrow \backslash R+ v\}$) **unfolding**
run-sub-dfs'-def **by** *simp*
with *assms* **show** *?thesis* **by** *simp*
qed

fun *run-sub-dfs* :: ((*bool* \times '*n set*, '*n*) *dfs-sws* \Rightarrow '*n set*) \Rightarrow *bool* \times '*n set* \Rightarrow (*bool*
 \times '*n set*, '*n*) *dfs-sws* \Rightarrow '*n* \Rightarrow *bool* \times '*n set* **where**
run-sub-dfs *M* (*True*, *F*) - - = (*True*, *F*)
| *run-sub-dfs* *M* (*False*, *F*) *s e* = (*if* $e \in \mathcal{A}$ *then*
case *run-sub-dfs'* (*M s*) *F e* *of*
None \Rightarrow (*True*, *F*) | *Some* *F'* \Rightarrow (*False*, $F \cup F'$)
else (*False*, *F*))

lemma *run-sub-dfs-not-accept*:

$e \notin \mathcal{A} \implies \text{run-sub-dfs } M S s e = S$
by (*cases* (*M*, *S*, *s*, *e*) *rule: run-sub-dfs.cases*) *simp-all*

abbreviation *has-cycle* :: (*bool* \times '*n set*, '*n*) *dfs-sws* \Rightarrow *bool* **where**
has-cycle *x* \equiv *fst* (*state* *x*)

lemma *run-sub-dfs-casesE*[*consumes 3*, *case-names same cycle no-cycle*]:

assumes $\neg \text{fst } S$
and $e \in V e \notin \text{snd } S$
and same: $\llbracket e \notin \mathcal{A}; \text{run-sub-dfs } M S s e = S \rrbracket \implies P$
and cycle: $\bigwedge v. \llbracket e \in \mathcal{A}; \text{run-sub-dfs}' (M s) (\text{snd } S) e = \text{None}; \text{run-sub-dfs } M S$
 $s e = (\text{True}, \text{snd } S); e \rightarrow \backslash \text{snd } S+ v; v \in M s \rrbracket \implies P$
and no-cycle: $\bigwedge F'. \llbracket e \in \mathcal{A}; \text{run-sub-dfs}' (M s) (\text{snd } S) e = \text{Some } F'; \text{run-sub-dfs}$
 $M S s e = (\text{False}, \text{snd } S \cup F'); \forall v. e \rightarrow \backslash \text{snd } S+ v \longrightarrow v \notin M s; F' = \{v. v =$
 $e \vee e \rightarrow \backslash \text{snd } S+ v\} \rrbracket \implies P$
shows *P*

proof (*cases* $e \in \mathcal{A}$)

case *False* **with** *run-sub-dfs-not-accept same* **show** *?thesis* **by** *simp*
next

case *True*

obtain *F* **where** *F*: $F = \text{snd } S$ **by** *auto*

then obtain *subS* **where** *subS*: $\text{run-sub-dfs}' (M s) F e = \text{subS}$ **by** *auto*

show *?thesis*

proof (*cases* *subS*)

case *None* **with** *F subS assms True* **have** $\text{run-sub-dfs } M S s e = (\text{True}, F)$ **by**
(*cases* (*M*, *S*, *s*, *e*) *rule: run-sub-dfs.cases*) *simp-all*

moreover from *assms subS None run-sub-dfs'-correct*[*of e F*] *F* **obtain** *v*
where $e \rightarrow \backslash \text{snd } S+ v v \in M s$ **by** *auto*

ultimately show *?thesis* **using** *cycle F True None subS* **by** *simp*

next
case (*Some F'*) **with** $F \text{ subS } \text{assms } \text{True}$ **have** $\text{run-sub-dfs } M \ S \ s \ e = (\text{False}, F \cup F')$ **by** (*cases (M, S, s, e) rule: run-sub-dfs.cases*) *simp-all*
moreover from $\text{assms } \text{subS } \text{Some } \text{run-sub-dfs'-finished}[\text{of } e \ F \ - \ F'] \ F$ **have** $F' = \{v. v = e \vee e \rightarrow \backslash F + v\}$ **by** *simp*
moreover from *Some* **have** $\text{subS} \neq \text{None}$ **by** *simp*
with $\text{assms } \text{subS } \text{run-sub-dfs'-correct}[\text{of } e \ F \ M \ s] \ F$ **have** $\forall v. e \rightarrow \backslash F + v \rightarrow v \notin M \ s$ **by** *simp*
ultimately show *?thesis using no-cycle F True Some subS* **by** *simp*
qed
qed

definition *nested-dfs-invar* **where**

$$\begin{aligned}
 \text{nested-dfs-invar } s \equiv & (\neg \text{has-cycle } s \rightarrow \\
 & (\forall x \in \mathcal{A} \cap \text{finished } s. \neg x \rightarrow + x) \wedge \\
 & \text{set } (\text{stack } s) \cap \text{snd } (\text{state } s) = \{\} \wedge \\
 & (\forall x. x \notin \text{discovered } s \rightarrow x \notin \text{snd } (\text{state } s)) \wedge \\
 & (\forall x \ y. x \rightarrow + y \rightarrow x \in \text{snd } (\text{state } s) \rightarrow y \in \text{snd } (\text{state } s)))
 \end{aligned}$$

lemma *nested-dfs-invarI*:

$$\begin{aligned}
 & \llbracket \neg \text{has-cycle } s; \\
 & \quad \forall x \in \mathcal{A} \cap \text{finished } s. \neg x \rightarrow + x; \\
 & \quad \text{set } (\text{stack } s) \cap \text{snd } (\text{state } s) = \{\}; \\
 & \quad \bigwedge x. x \notin \text{discovered } s \implies x \notin \text{snd } (\text{state } s); \\
 & \quad \bigwedge x \ y. x \rightarrow + y \implies x \in \text{snd } (\text{state } s) \implies y \in \text{snd } (\text{state } s) \rrbracket \implies \text{nested-dfs-invar } s
 \end{aligned}$$

unfolding *nested-dfs-invar-def*

by *simp*

definition *nested-dfs* :: $((\text{bool} \times 'n \text{ set}, 'n) \text{ dfs-sws} \Rightarrow 'n \text{ set}) \Rightarrow (\text{bool} \times 'n \text{ set}, 'n) \text{ dfs-algorithm-invar}$ **where**

$$\begin{aligned}
 \text{nested-dfs } M = & \langle \text{dfs-cond} = \text{Not} \circ \text{fst}, \text{dfs-action} = \lambda S \ - \ . \ S, \text{dfs-post} = \\
 & \text{run-sub-dfs } M, \text{dfs-remove} = \lambda S \ - \ . \ S, \text{dfs-start} = \lambda x. (\text{False}, \{\}), \text{dfs-restrict} = \\
 & \{\}, \text{dfs-invar} = \text{nested-dfs-invar} \rangle
 \end{aligned}$$

lemma *nested-dfs-simps[*simp*]*:

$$\begin{aligned}
 \text{dfs-cond } (\text{nested-dfs } M) \ S & \longleftrightarrow \neg \text{fst } S \\
 \text{dfs-post } (\text{nested-dfs } M) & = \text{run-sub-dfs } M \\
 \text{dfs-action } (\text{nested-dfs } M) \ S \ s \ x & = S \\
 \text{dfs-remove } (\text{nested-dfs } M) \ S \ s \ x & = S \\
 \text{dfs-start } (\text{nested-dfs } M) \ x & = (\text{False}, \{\}) \\
 \text{dfs-invar } (\text{nested-dfs } M) & = \text{nested-dfs-invar} \\
 \text{dfs-restrict } (\text{nested-dfs } M) & = \{\}
 \end{aligned}$$

unfolding *nested-dfs-def*

by *simp-all*

lemma *nested-dfs-has-cycle-not-next*:

$$\text{dfs-constructable } (\text{nested-dfs } M) \ s \implies \text{has-cycle } s \implies \neg \text{dfs-next } (\text{nested-dfs } M)$$

$s \ s'$

unfolding *dfs-next-def* **by** *auto*

lemma *ewalk-verts-vwalk-to-ewalk*:

$xs \neq [] \implies vwalk \ xs \ \mathcal{G} \implies hd \ xs = u \implies ewalk\text{-verts } u \ (vwalk\text{-to-ewalk } xs) = xs$

unfolding *vwalk-to-ewalk-def ewalk-verts-def*

proof (*induct xs rule: list-nonempty-induct*)

case (*single x*) **thus** *?case* **by** *simp*

next

case (*cons x xs*) **hence** *xu: x = u* **by** *simp*

note *thms = cons.premis (xs ≠ [])*

moreover **have** $*$: $\bigwedge x \ y. (x,y) \in E \implies (SOME \ e. \ e \in E \wedge e = (x, y)) = (x, y)$ **by** (*intro some-equality*) *simp-all*

moreover **from** *thms* **have** $set \ (vwalk\text{-edges } (x\#xs)) \subseteq E$ **unfolding** *vwalk-def* **by** *simp*

moreover **with** *thms* **have** $set \ (vwalk\text{-edges } xs) \subseteq E$ **by** *simp*

with $(xs \neq [])$ **have** $map \ (snd \circ (\lambda ee. \ SOME \ e. \ e \in E \wedge e = ee)) \ (vwalk\text{-edges } xs) = tl \ xs$ **by** (*induct xs rule: list-nonempty-induct*) (*simp-all add: **)

ultimately **show** *?case* **by** *simp*

qed

lemma *vwalk-restr-reachable1-intro*:

assumes *vwalk xs G*

and $y \in set \ xs$

and $set \ xs \cap R = \{\}$

and $y \neq last \ xs$

shows $y \rightarrow \setminus R^+ \ last \ xs$

proof –

from *vwalk-join-split[OF assms(2)]* **obtain** $q \ r$ **where** *xs-split: xs = q @ r* *joinable q r* **and** *r-def: last r = last xs* *hd r = y* **by** (*auto simp add: vwalk-join-last*)

with *vwalk-join-vwalk2 assms(1,3)* **have** *vwalk r G* **by** *simp*

with *vwalk-has-ewalk r-def* **have** $ewalk \ y \ (vwalk\text{-to-ewalk } r) \ (last \ xs)$ **by** *simp*

moreover **from** *xs-split* **have** $r \neq []$ **unfolding** *joinable-def* **by** *simp*

with *r-def assms(4)* **have** $tl \ r \neq []$ **by** (*metis hd-rev singleton-rev-conv tl-obtain-elem*)

hence $vwalk\text{-edges } r \neq []$ **by** (*induct r*) *simp-all*

hence $vwalk\text{-to-ewalk } r \neq []$ **unfolding** *vwalk-to-ewalk-def* **by** *simp*

moreover **from** *xs-split* **have** $xs = butlast \ q \ @ \ r$ **by** (*simp add: vwalk-join-def2*)

with *assms(3)* **have** $set \ r \cap R = \{\}$ **by** *auto*

moreover **from** $(vwalk \ r \ \mathcal{G})$ *ewalk-verts-vwalk-to-ewalk r-def* $(r \neq [])$ **have** $ewalk\text{-verts } y \ (vwalk\text{-to-ewalk } r) = r$ **by** *simp*

ultimately **show** *?thesis* **by** (*auto intro!: restr-reachable1I*)

qed

lemma *nested-dfs-preserves-invar[intro!]*:

assumes $M\text{-hd}: \bigwedge s. \text{stack } s \neq [] \implies \text{hd } (\text{stack } s) \in M s$
shows $\text{dfs-preserves-invar } (\text{nested-dfs } M)$
proof (*rule dfs-preserves-invarI*)
fix $y s s'$
assume $\text{step}: \text{dfs-next } (\text{nested-dfs } M) s s'$ **and** $\text{inv-cl}: \text{dfs-invar-compl } (\text{nested-dfs } M) y s$
hence $\text{inv}: \forall v \in \mathcal{A} \cap \text{finished } s. \neg v \rightarrow + v \text{ set } (\text{stack } s) \cap \text{snd } (\text{state } s) = \{\}$
 $\forall x. x \notin \text{discovered } s \rightarrow x \notin \text{snd } (\text{state } s) \forall x y. x \rightarrow + y \rightarrow x \in \text{snd } (\text{state } s) \rightarrow y \in \text{snd } (\text{state } s)$
unfolding $\text{dfs-invar-compl-def}$ **using** $\text{nested-dfs-has-cycle-not-next}$ $\text{nested-dfs-invar-def}$
by simp-all blast+

from inv-cl **have** $\text{constr}: \text{dfs-constructable } (\text{nested-dfs } M) s$ **by** auto
with step **have** $\text{constr}': \text{dfs-constructable } (\text{nested-dfs } M) s'$ **using** $\text{dfs-constructable.step}$
by blast

have $\text{nested-dfs-invar } s'$
proof (*cases has-cycle s'*)
case True **thus** $?thesis$ **by** (*simp add: nested-dfs-invar-def*)
next
case False **with** step inv **show** $?thesis$
proof (*cases rule: dfs-next-cases-elem*)
case ($\text{empty } x xs$) **hence** $\text{state}': \text{state } s' = \text{run-sub-dfs } M (\text{state } s) s x$ **by**
 simp
from step constr **have** $\text{no-cycl}: \neg \text{has-cycle } s$ **using** $\text{nested-dfs-has-cycle-not-next}$
by blast
moreover **from** $\text{inv}(1-3)$ empty **have** $x \in V \ x \notin \text{snd } (\text{state } s)$ **using**
 $\text{stack-subset-verts}[OF \text{ constr}]$ **by** auto
ultimately **show** $?thesis$
proof (*cases rule: run-sub-dfs-casesE[of state s x M s]*)
case same **with** $\text{inv no-cycl state' empty}$ **show** $?thesis$ **by** (*intro nested-dfs-invarI*)
 simp-all
next
case cycle **with** False state' **have** False **by** simp
thus $?thesis ..$
next
case ($\text{no-cycle } F'$) **with** $\text{inv}(1-3)$ $\text{empty } M\text{-hd}[of s]$ **have** $\text{no-x-self}: \neg x$
 $\rightarrow \setminus \text{snd } (\text{state } s) + x$ **by** auto
have $\text{no-x-stack}: \bigwedge y. x \rightarrow \setminus \text{snd } (\text{state } s) + y \implies y \notin \text{set } (\text{stack } s)$
proof (*rule notI*)
fix y
assume $x\text{-y}: x \rightarrow \setminus \text{snd } (\text{state } s) + y$ **and** $y\text{-stack}: y \in \text{set } (\text{stack } s)$

from $x\text{-y no-cycle}$ **have** $y \notin M s$ **by** simp
with $M\text{-hd}[of s]$ $\text{empty } y\text{-stack}$ **have** $y \in \text{set } (\text{tl } (\text{stack } s))$ **by** auto
with $\text{empty tl-reachable-stack-hd}[OF \text{ constr}]$ **have** $y \rightarrow + x$ **by** simp
moreover **from** $y\text{-stack empty inv}(2)$ **have** $y \notin \text{snd } (\text{state } s) \ x \notin \text{snd } (\text{state } s)$ **by** auto
ultimately **have** $y \rightarrow \setminus \text{snd } (\text{state } s) + x$ **using** $\text{reach-impl-restr-reach}$


```

inv(4) by blast
  with x-y no-x-self restr-reachable1-trans show False by blast
qed

show ?thesis
proof (intro nested-dfs-invarI)
  from no-cycle state' show  $\neg$  has-cycle s' by simp
next
  have  $\neg$  x  $\rightarrow$ + x
  proof (rule notI)
    assume x  $\rightarrow$ + x
    moreover from empty inv(2) have x  $\notin$  snd (state s) by auto
    ultimately show False using no-x-self reach-impl-restr-reach inv(4) by
blast
  qed
  with no-cycle inv(1-3) empty show  $\forall$  x  $\in$   $\mathcal{A} \cap$  finished s'.  $\neg$  x  $\rightarrow$ + x by
auto
next
  from inv(2) empty have set (stack s')  $\cap$  snd (state s) = {} by simp
  moreover {
    fix y
    assume A: y  $\in$  set (stack s') y  $\in$  F'
    with no-cycle have x = y  $\vee$  x  $\rightarrow$ \snd (state s)+ y by auto
    hence False
    proof (rule disjE)
      case goal1 with A empty stack-distinct[OF constr] show False by
simp
    next
      case goal2 with A empty no-x-stack show False by simp
    qed
  }
  hence F'  $\cap$  set (stack s') = {} by auto
  ultimately show set (stack s')  $\cap$  snd (state s') = {} using no-cycle state'
by auto
next
  fix y
  assume ndisc: y  $\notin$  discovered s'
  hence y  $\notin$  F'
  proof (rule contrapos-nn)
    assume y  $\in$  F'
    with no-cycle have y = x  $\vee$  x  $\rightarrow$ \snd (state s)+ y by simp
    with empty stack-subset-discovered[OF constr] ndisc have x  $\rightarrow$ \snd
(state s)+ y by auto
    thus y  $\in$  discovered s'
  proof induction
    case base from empty have x  $\in$  finished s' by simp
    with finished-implies-succs-discovered[OF constr'] base show ?case
by auto
  next

```

```

      case (trans y z) with no-x-stack have y ∉ set (stack s) by simp
      with empty have y ∉ set (stack s') by simp
      with trans discovered-non-stack-implies-finished[OF constr∧] have y ∈
finished s' by simp
      with finished-implies-succs-discovered[OF constr∧] trans show ?case
by auto
    qed
  qed
  with ndisc inv(β) empty have y ∉ F' ∪ snd (state s) by simp
  with no-cycle state' show y ∉ snd (state s') by simp
next
  fix y z
  assume reach: y →+ z and in-s: y ∈ snd (state s')
  with no-cycle state' have y ∈ snd (state s) ∨ y ∈ F' by simp
  thus z ∈ snd (state s')
  proof (rule disjE)
    assume y ∈ snd (state s) with reach inv(4) no-cycle state' show ?thesis
by simp
  next
    assume A: y ∈ F' with reach no-cycle (x ∉ snd (state s)) have y-notin:
y ∉ snd (state s) using restricted-target by blast
    thus ?thesis using no-cycle state'
    proof (cases z ∈ snd (state s))
      case False with reach-impl-restr-reach inv(4) y-notin reach have y
→ \snd (state s)+ z by blast
      with A no-cycle restr-reachable1-trans have x → \snd (state s)+ z by
blast
      with no-cycle have z ∈ F' by blast
      with state' no-cycle show ?thesis by simp
    qed simp
  qed
  qed
  qed
  qed (simp-all add: nested-dfs-invar-def)
  qed
  thus dfs-invar (nested-dfs M) s' by simp
qed (auto simp add: nested-dfs-invar-def)

```

definition *cyclic* where $cyclic\ x\ s \equiv has-cycle\ s \wedge (\exists v \in finished\ s \cap \mathcal{A}. v \rightarrow+ v \wedge x \rightarrow^* v)$

definition *cycle* where $cycle\ M\ x \equiv \exists s \in dfs-constr-from\ (nested-dfs\ M)\ x. cyclic\ x\ s$

lemma *has-cycle-implies-cyclic*:

$dfs-constructable\ (nested-dfs\ M)\ s \implies (\bigwedge s. stack\ s \neq [] \implies hd\ (stack\ s) \in M\ s) \implies (\bigwedge s. stack\ s \neq [] \implies M\ s \subseteq set\ (stack\ s)) \implies has-cycle\ s \implies cyclic\ (start\ s)\ s$

proof (induction rule: *dfs-constructable-induct*)

case (empty s s' x) hence state': state s' = run-sub-dfs M (state s) s x by simp

```

from start-reachable-stack[OF empty(2)] empty have sreach:  $\bigwedge x. x \in \text{set } (\text{stack } s) \implies \text{start } s' \rightarrow^* x$  by auto

from empty have no-cycle:  $\neg \text{has-cycle } s$  using nested-dfs-has-cycle-not-next by
force

moreover from empty stack-subset-verts[OF empty(2)] have  $x \in V$  by auto

moreover
have inv: nested-dfs-invar s using dfs-constructable-invarI[OF empty(2)] empty
by auto
with no-cycle have  $\text{set } (\text{stack } s) \cap \text{snd } (\text{state } s) = \{\}$  unfolding nested-dfs-invar-def
by simp
with empty have  $x \notin \text{snd } (\text{state } s)$  by simp

ultimately show ?case
proof (cases rule: run-sub-dfs-casesE[of state s x M s])
  case same with state' no-cycle empty.prems have False by simp
  thus ?thesis ..
next
  case (cycle v)
  thus ?thesis
  proof (cases v = x)
    case True with cycle show ?thesis using empty sreach unfolding cyclic-def
by auto
  next
    case False from cycle have  $x \rightarrow^+ v$  by blast
    also from empty have  $\text{stack } s \neq []$  by simp
    with  $\langle v \in M \ s \rangle$  empty.prems(2) have  $v \in \text{set } (\text{stack } s)$  by auto
    with  $\langle v \neq x \rangle$  empty have  $v \in \text{set } (\text{tl } (\text{stack } s))$  by auto
    with tl-reachable-stack-hd[OF empty(2)] empty have  $v \rightarrow^+ x$  by simp
    finally show ?thesis using empty cycle sreach unfolding cyclic-def by auto
  qed
  next
    case no-cycle with state' empty.prems have False by simp
    thus ?thesis ..
  qed
qed (simp-all add: nested-dfs-has-cycle-not-next cyclic-def)

lemma cycle-not-completed:
  assumes M-hd:  $\bigwedge s. \text{stack } s \neq [] \implies \text{hd } (\text{stack } s) \in M$  s
  and reach:  $x \rightarrow^* v$ 
  and cycle:  $v \rightarrow^+ v$ 
  and fin:  $v \in \mathcal{A}$ 
  and constr:  $s \in \text{dfs-constr-from } (\text{nested-dfs } M) \ x$ 
  shows  $\neg \text{dfs-completed } (\text{nested-dfs } M) \ s$ 
proof (rule notI)
  assume compl: dfs-completed (nested-dfs M) s

```

hence \neg *has-cycle* *s* **by** (*simp add: dfs-completed-def*)

from *compl no-restrict-finished-eq-reachable*[*of nested-dfs M s*] *constr-from-implies-start*[*OF constr*] **have** *finished s* = $\{v. x \rightarrow^* v\}$ **by** *simp*

hence $v \in$ *finished s* **using** *reach by simp*

moreover

from *compl M-hd* **have** *dfs-invar (nested-dfs M) s* **by** *blast*

with $(\neg$ *has-cycle s*) **have** $\forall v \in \mathcal{A} \cap$ *finished s*. $\neg v \rightarrow^+ v$ **by** (*simp add: nested-dfs-invar-def*)

with *cycle fin* **have** $v \notin$ *finished s* **by** *auto*

ultimately show *False* **by** *contradiction*

qed

lemma *cycle-generates-cyclic*:

assumes *M-hd*: $\bigwedge s. \text{stack } s \neq [] \implies \text{hd } (\text{stack } s) \in M \text{ } s$ **and** *M-sse*: $\bigwedge s. \text{stack } s \neq [] \implies M \text{ } s \subseteq \text{set } (\text{stack } s)$

and *reach*: $x \rightarrow^* v$

and *cycle*: $v \rightarrow^+ v$

and *fin*: $v \in \mathcal{A}$

obtains *s* **where** $s \in \text{dfs-constr-from } (\text{nested-dfs } M) \text{ } x$ *cyclic* $x \text{ } s$

proof –

from *reach* **have** $x \in V$ **by** *auto*

with *exists-finished*[*OF - - nested-dfs-preserves-invar*[*OF M-hd*]] **obtain** *s* **where** $s: s \in \text{dfs-constr-from } (\text{nested-dfs } M) \text{ } x$ *dfs-finished* $(\text{nested-dfs } M) \text{ } s$ **by** *auto*

moreover with *cycle-not-completed assms* **have** \neg *dfs-completed* $(\text{nested-dfs } M) \text{ } s$ **by** *simp*

ultimately have \neg *dfs-cond* $(\text{nested-dfs } M) \text{ } (\text{state } s)$ **using** *finished-is-completed-or-not-cond* **by** *blast*

hence *has-cycle s* **by** *simp*

with *s has-cycle-implies-cyclic* *that constr-from-implies-start M-hd M-sse* **show** *?thesis* **by** *blast*

qed

lemma *cycle-get-cycle*:

assumes *cycle M x*

obtains *v* **where** $x \rightarrow^* v \text{ } v \in \mathcal{A} \text{ } v \rightarrow^+ v$

proof –

from *assms* **obtain** *s* **where** *constr*: $s \in \text{dfs-constr-from } (\text{nested-dfs } M) \text{ } x$ **and** *cyclic x s* **unfolding** *cycle-def* **by** *auto*

then obtain *v* **where** $v \in \text{finished } s \cap \mathcal{A} \text{ } v \rightarrow^+ v \text{ } x \rightarrow^* v$ **unfolding** *cyclic-def* **by** *blast*

with that **show** *?thesis* **by** *auto*

qed

lemma *cycle-iff-cycle*:

assumes *M-correct*: $\bigwedge s. \text{stack } s \neq [] \implies \text{hd } (\text{stack } s) \in M \text{ } s \bigwedge s. \text{stack } s \neq [] \implies M \text{ } s \subseteq \text{set } (\text{stack } s)$

shows $\text{cycle } M x \iff (\exists v. x \rightarrow^* v \wedge v \in \mathcal{A} \wedge v \rightarrow^+ v)$
proof
assume $\text{cycle } M x$ **thus** $\exists v. x \rightarrow^* v \wedge v \in \mathcal{A} \wedge v \rightarrow^+ v$ **by** (*metis cycle-get-cycle*)
next
assume $\exists v. x \rightarrow^* v \wedge v \in \mathcal{A} \wedge v \rightarrow^+ v$
then guess $v ..$
then obtain s **where** $\text{cyclic } x s s \in \text{dfs-constr-from } (\text{nested-dfs } M) x$ **using**
 $\text{cycle-generates-cyclic}[OF M\text{-correct}]$ **by** *blast*
thus $\text{cycle } M x$ **unfolding** cycle-def **by** *blast*
qed

lemma *dfs-fun-nested-dfs-correct*:
assumes $x \in V$
and $M\text{-correct}$: $\bigwedge s. \text{stack } s \neq [] \implies \text{hd } (\text{stack } s) \in M s \wedge s. \text{stack } s \neq [] \implies M s \subseteq \text{set } (\text{stack } s)$
shows $\text{dfs-fun } (\text{nested-dfs } M) x \leq \text{SPEC } (\lambda s. \text{has-cycle } s \iff \text{cycle } M x)$
using *assms*
proof (*intro dfs-fun-pred*)
fix s
assume $s \in \text{dfs-constr-from } (\text{nested-dfs } M) x$ **has-cycle** s
moreover hence $\text{cyclic } x s$ **using** $\text{has-cycle-implies-cyclic}[OF - M\text{-correct}]$ $\text{constr-from-implies-start}$
by *force*
ultimately show $\text{cycle } M x$ **by** (*auto simp: cycle-def*)
next
fix s
assume $s \in \text{dfs-constr-from } (\text{nested-dfs } M) x$ $\text{dfs-completed } (\text{nested-dfs } M) s$
 $\text{cycle } M x$
hence *False* **by** (*metis cycle-iff-cycle M-correct cycle-not-completed*)
thus $\text{has-cycle } s ..$
qed (*simp-all add: nested-dfs-preserves-invar*)

theorem *nested-dfs-correct*:
assumes $x \in V$
and $\bigwedge s. \text{stack } s \neq [] \implies \text{hd } (\text{stack } s) \in M s \wedge s. \text{stack } s \neq [] \implies M s \subseteq \text{set } (\text{stack } s)$
and $\text{inres } (\text{dfs-fun } (\text{nested-dfs } M) x) s$
shows $\text{has-cycle } s \iff \text{cycle } M x$
using *assms(4) dfs-fun-nested-dfs-correct[OF assms(1-3)]*
by (*bestsimp dest!: pwD2*)

lemma *RETURN-nested-cycle-correct*:
assumes $x \in V$
and $\bigwedge s. \text{stack } s \neq [] \implies \text{hd } (\text{stack } s) \in M s \wedge s. \text{stack } s \neq [] \implies M s \subseteq \text{set } (\text{stack } s)$
shows $\text{RETURN } (\text{cycle } M x) = \text{do } \{ s \leftarrow \text{dfs-fun } (\text{nested-dfs } M) x; \text{RETURN } (\text{has-cycle } s) \}$
apply (*rule pw-eqI*)
apply (*simp-all add: pw-bind-inres pw-bind-nofail dfs-fun-nofail[OF nested-dfs-preserves-invar[OF assms(2)] assms(1)]*)

apply (*metis assms dfs-fun-nonempty nested-dfs-correct*)
done

end

2.3 Basic Nested DFS

context *finite-accepting-digraph*
begin

definition *basicM* $\equiv \lambda s. \{ \text{hd } (\text{stack } s) \}$

definition *basic-dfs* $\equiv \text{nested-dfs } \text{basicM}$

lemmas *basic-dfs-simps*[*simp*] = *nested-dfs-simps*[**where** $M = \text{basicM}$, *folded basic-dfs-def*]

lemma *basicM-correct*[*simp*]:

$\text{stack } s \neq [] \implies \text{hd } (\text{stack } s) \in \text{basicM } s$

$\text{stack } s \neq [] \implies \text{basicM } s \subseteq \text{set } (\text{stack } s)$

unfolding *basicM-def*

by *simp-all*

lemma *basic-dfs-preserves-invar*:

dfs-preserves-invar basic-dfs

by (*simp add: basic-dfs-def nested-dfs-preserves-invar*)

lemma *basic-cycle-iff-cycle*:

$\text{cycle } \text{basicM } x \iff (\exists v. x \rightarrow^* v \wedge v \in \mathcal{A} \wedge v \rightarrow^+ v)$

by (*simp add: cycle-iff-cycle*)

lemma *dfs-fun-basic-dfs-correct*:

$x \in V \implies \text{dfs-fun } \text{basic-dfs } x \leq \text{SPEC } (\lambda s. \text{has-cycle } s \iff \text{cycle } \text{basicM } x)$

by (*simp add: dfs-fun-nested-dfs-correct basic-dfs-def*)

theorem *basic-dfs-correct*:

$x \in V \implies \text{inres } (\text{dfs-fun } \text{basic-dfs } x) s \implies \text{has-cycle } s \iff \text{cycle } \text{basicM } x$

by (*simp add: nested-dfs-correct basic-dfs-def*)

theorems *basic-dfs-correct-unfolded* = *basic-dfs-correct*[*unfolded basic-cycle-iff-cycle*]

end

2.4 HPY

context *finite-accepting-digraph*
begin

definition *hpyM* $\equiv \lambda s. \text{set } (\text{stack } s)$

definition *hpy-dfs* $\equiv \text{nested-dfs } \text{hpyM}$

lemmas *hpy-dfs-simps*[*simp*] = *nested-dfs-simps*[**where** $M = \text{hpyM}$, *folded hpy-dfs-def*]

lemma *hpyM-correct*[simp]:

$stack\ s \neq [] \implies hd\ (stack\ s) \in hpyM\ s$

$stack\ s \neq [] \implies hpyM\ s \subseteq set\ (stack\ s)$

unfolding *hpyM-def*

by *simp-all*

lemma *hpy-dfs-preserves-invar*:

dfs-preserves-invar hpy-dfs

by (*simp add: hpy-dfs-def nested-dfs-preserves-invar*)

lemma *hpy-cycle-iff-cycle*:

$cycle\ hpyM\ x \longleftrightarrow (\exists v. x \rightarrow^* v \wedge v \in \mathcal{A} \wedge v \rightarrow^+ v)$

by (*simp add: cycle-iff-cycle*)

lemma *dfs-fun-hpy-dfs-correct*:

$x \in V \implies dfs-fun\ hpy-dfs\ x \leq SPEC\ (\lambda s. has-cycle\ s \longleftrightarrow cycle\ hpyM\ x)$

by (*simp add: dfs-fun-nested-dfs-correct hpy-dfs-def*)

theorem *hpy-dfs-correct*:

$x \in V \implies inres\ (dfs-fun\ hpy-dfs\ x)\ s \implies has-cycle\ s \longleftrightarrow cycle\ hpyM\ x$

by (*simp add: nested-dfs-correct hpy-dfs-def*)

theorems *hpy-dfs-correct-unfolded* = *hpy-dfs-correct*[*unfolded hpy-cycle-iff-cycle*]

end

2.5 Schwoon-Esparza

context *finite-accepting-digraph*

begin

fun *SE-remove* **where**

SE-remove (*True*, *F*) - - = (*True*, *F*)

| *SE-remove* (*False*, *F*) *s x* = ((*x* ∈ \mathcal{A} ∨ *hd* (*stack s*) ∈ \mathcal{A}) ∧ *x* ∈ *set* (*stack s*),
F)

definition *SE-dfs* :: (*bool* × 'n *set*, 'n) *dfs-algorithm-invar* **where**

SE-dfs = *hpy-dfs*(*dfs-remove* := *SE-remove*)

lemma *SE-dfs-simps*[simp]:

dfs-cond SE-dfs S $\longleftrightarrow \neg fst\ S$

dfs-post SE-dfs = *run-sub-dfs hpyM*

dfs-action SE-dfs S s x = *S*

dfs-remove SE-dfs = *SE-remove*

dfs-start SE-dfs x = (*False*, {})

dfs-invar SE-dfs = *nested-dfs-invar*

dfs-restrict SE-dfs = {}

unfolding *SE-dfs-def*

by *simp-all*

lemma *SE-dfs-has-cycle-not-next*:

dfs-constructable SE-dfs s \implies *has-cycle s* $\implies \neg$ *dfs-next SE-dfs s s'*

unfolding *dfs-next-def* **by** *auto*

lemma *SE-to-nested*:

s \in *dfs-constr-from SE-dfs x* $\implies \neg$ *has-cycle s* \implies *s* \in *dfs-constr-from hpy-dfs x*

proof (*induction rule: dfs-constr-from.induct*)

case *start*

have *dfs-constr-start SE-dfs x = dfs-constr-start hpy-dfs x* **by** (*simp add: dfs-constr-start-def*)

with *start* **show** *?case* **by** (*simp add: dfs-constructable.start*)

next

case (*step s s'*) **with** *SE-dfs-has-cycle-not-next* **have** *no: \neg has-cycle s* **by** *blast*

with *step.IH* **have** *constr: s \in dfs-constr-from hpy-dfs x* .

from *step* **have** *dfs-cond-compl hpy-dfs s* **unfolding** *dfs-next-def dfs-cond-compl-def*
by *simp*

note *thms = step(1,4) no constr this*

from *step(2)* **have** *dfs-next hpy-dfs s s'*

proof (*cases rule: dfs-next-cases-elem*)

case *empty* **thus** *?thesis* **by** (*simp add: dfs-next-def dfs-step-def dfs-sws.defs thms*)

next

case *restrict* **thus** *?thesis* **by** (*simp add: dfs-next-def dfs-step-def dfs-sws.defs thms*)

next

case (*remove e*)

obtain *b F* **where** *bf: state s = (b,F)* **by** (*cases state s*) *auto*

with *remove* $\langle \neg$ *has-cycle s'* \rangle **have** *state s' = (b, F)* **by** (*cases b*) *simp-all*

with *remove bf* **show** *?thesis* **by** (*simp add: dfs-next-def dfs-sws.defs thms dfs-step-def exI[where x=e]*)

next

case (*visit e*) **obtain** *b F* **where** *bf: state s = (b,F)* **by** (*cases state s*) *auto*

with *visit* **have** *state s' = (b, F)* **by** *simp*

with *visit bf* **show** *?thesis* **by** (*simp add: dfs-next-def dfs-sws.defs thms dfs-step-def exI[where x=e]*)

qed

with *constr* **show** *?case* **by** (*rule dfs-constr-from.step*)

qed

corollary *SE-to-nested'*:

dfs-constructable SE-dfs s $\implies \neg$ *has-cycle s* \implies *dfs-constructable hpy-dfs s*

using *SE-to-nested dfs-constructable-constr-from-start dfs-constr-from-constructable*
by *metis*

lemma *SE-dfs-preserves-invar[intro]*:

dfs-preserves-invar SE-dfs

proof (*rule dfs-preserves-invarI*)

fix *y s s'*

assume *step*: *dfs-next SE-dfs s s'* **and** *inv-cl*:*dfs-invar-compl SE-dfs y s*
hence *inv*: $\forall v \in \mathcal{A} \cap \text{finished } s. \neg v \rightarrow + v \text{ set } (\text{stack } s) \cap \text{snd } (\text{state } s) = \{\}$
 $\forall x. x \notin \text{discovered } s \rightarrow x \notin \text{snd } (\text{state } s) \forall x y. x \rightarrow + y \rightarrow x \in \text{snd}$
 $(\text{state } s) \rightarrow y \in \text{snd } (\text{state } s)$
unfolding *dfs-invar-compl-def* **using** *SE-dfs-has-cycle-not-next nested-dfs-invar-def*
by *simp-all blast+*

from *inv-cl* **have** *constr*: $s \in \text{dfs-constr-from } SE-dfs y$ **by** *auto*
with *step* **have** *constr'*: $s' \in \text{dfs-constr-from } SE-dfs y$ **using** *dfs-constr-from.step*
by *blast*

have *nested-dfs-invar s'*
proof (*cases has-cycle s'*)
case *True* **thus** *?thesis* **by** (*simp add: nested-dfs-invar-def*)
next
case *False* **with** *step inv* **show** *?thesis*
proof (*cases rule: dfs-next-cases-elim*)
case (*empty x xs*) **with** *step* **have** *dfs-next hpy-dfs s s'* **unfolding** *dfs-next-def*
dfs-cond-compl-def dfs-step-def **by** *simp*
moreover **from** *constr step SE-to-nested* **have** $s \in \text{dfs-constr-from } hpy-dfs y$
using *SE-dfs-has-cycle-not-next* **by** *blast*
with *inv-cl* **have** *dfs-invar-compl hpy-dfs y s* **unfolding** *dfs-invar-compl-def*
by *simp*
ultimately **have** *dfs-invar hpy-dfs s'* **using** *hpy-dfs-preserves-invar* **by** (*metis*
dfs-preserves-invarE)
thus *?thesis* **by** *simp*
next
case *visit* **with** *step inv* **show** *?thesis* **by** (*simp add: nested-dfs-invar-def*)
next
case *restrict* **with** *step inv* **show** *?thesis* **by** (*simp add: nested-dfs-invar-def*)
next
case (*remove e*) **hence** $\text{snd } (SE\text{-remove } (\text{state } s) s e) = \text{snd } (\text{state } s)$ **by**
(*cases state s, cases fst (state s)*) *simp-all*
with *remove step inv* **show** *?thesis* **by** (*simp add: nested-dfs-invar-def*)
qed
qed
thus *dfs-invar SE-dfs s'* **by** *simp*
qed (*auto simp add: nested-dfs-invar-def*)

definition *SE-cyclic* **where** *SE-cyclic s* $\equiv \text{has-cycle } s \wedge (\text{cyclic } (\text{start } s) s \vee (\exists$
 $x \in \text{set } (\text{stack } s). x \in \mathcal{A} \wedge x \rightarrow + x))$

definition *SE-cycle* **where** *SE-cycle x* $\equiv \exists s. s \in \text{dfs-constr-from } SE-dfs x \wedge$
SE-cyclic s

lemma *has-cycle-implies-SE-cyclic*:

dfs-constructable SE-dfs s $\implies \text{has-cycle } s \implies \text{SE-cyclic } s$

proof (*induction rule: dfs-constructable-induct*)

case (*empty s s'*) **with** *SE-dfs-has-cycle-not-next* **have** $\neg \text{has-cycle } s$ **by** *blast*

with *empty SE-to-nested'* **have** *dfs-constructable hpy-dfs s* **by** *simp*

moreover from empty have *dfs-next hpy-dfs s s'* **by** (*simp add: dfs-next-def dfs-cond-compl-def dfs-step-def*)
ultimately have *dfs-constructable hpy-dfs s'* **using** *dfs-constructable.step* **by** *blast*
with empty.premis have *cyclic (start s') s'* **using** *has-cycle-implies-cyclic[OF hpyM-correct, of s', folded hpy-dfs-def]* **by** *metis*
with empty.premis show *?case unfolding SE-cyclic-def* **by** *simp*
next
case (*remove s s' e x*) **with** *SE-dfs-has-cycle-not-next* **have** \neg *has-cycle s* **by** *blast*
with remove have $e \in \text{set } (\text{stack } s) \wedge (e \in \mathcal{A} \vee x \in \mathcal{A})$ **by** (*cases state s, cases fst (state s)*) *simp-all*
hence *e-stack: e ∈ set (stack s)* **and** *A: e ∈ A ∨ x ∈ A* **by** *simp-all*

from remove have $e \in \text{succs } x$ **using** *wl-subset-succs[OF remove(2), of 0]* **by** *auto*
with succs-reachable have $x \rightarrow^+ e$ **by** *auto*

have $\exists y \in \text{set } (\text{stack } s'). y \rightarrow^+ y \wedge y \in \mathcal{A}$
proof (*cases e = x*)
case True with xe A remove show *?thesis* **by** *auto*
next
case False with remove e-stack have $e \in \text{set } (\text{tl } (\text{stack } s))$ **by** *auto*
with tl-reachable-stack-hd[OF remove(2)] remove have $e \rightarrow^+ x$ **by** *auto*
from A show *?thesis*
proof
assume $e \in \mathcal{A}$
moreover note $x \in \mathcal{A}$
moreover from e-stack remove have $e \in \text{set } (\text{stack } s')$ **by** *auto*
ultimately show *?thesis* **using** *reachable1-trans* **by** *blast*
next
assume $x \in \mathcal{A}$
moreover note $x \in \mathcal{A}$
moreover from remove have $x \in \text{set } (\text{stack } s')$ **by** *auto*
ultimately show *?thesis* **using** *reachable1-trans* **by** *blast*
qed
qed
with remove.premis show *?case unfolding SE-cyclic-def* **by** *blast*
qed (*simp-all add: SE-dfs-has-cycle-not-next SE-cyclic-def*)

lemma *SE-cycle-not-completed:*

assumes *reach: x →* v*
and *cycle: v →+ v*
and *fin: v ∈ A*
and *constr: s ∈ dfs-constr-from SE-dfs x*
shows \neg *dfs-completed SE-dfs s*
proof (*rule notI*)
assume *compl: dfs-completed SE-dfs s*
hence \neg *has-cycle s* **by** (*simp add: dfs-completed-def*)

from *compl no-restrict-finished-eq-reachable*[of *SE-dfs s*] *constr-from-implies-start*[*OF constr*] **have** *finished s* = {*v. x →* v*} **by** *simp*
hence *v ∈ finished s* **using** *reach* **by** *simp*

moreover

from *compl* **have** *dfs-invar SE-dfs s* **by** *blast*
with \neg *has-cycle s* **have** $\forall v \in \mathcal{A} \cap \text{finished } s. \neg v \rightarrow+ v$ **by** (*simp add: nested-dfs-invar-def*)
with *cycle fin* **have** $v \notin \text{finished } s$ **by** *auto*

ultimately show *False* **by** *contradiction*

qed

lemma *cycle-generates-SE-cyclic*:

assumes *reach: x →* v*

and *cycle: v →+ v*

and *fin: v ∈ A*

obtains *s* **where** $s \in \text{dfs-constr-from } SE\text{-dfs } x \text{ } SE\text{-cyclic } s$

proof –

from *reach* **have** $x \in V$ **by** *auto*

with *exists-finished*[*OF - - SE-dfs-preserves-invar*] **obtain** *s* **where** $s: s \in \text{dfs-constr-from } SE\text{-dfs } x \text{ } \text{dfs-finished } SE\text{-dfs } s$ **by** *auto*

moreover with *SE-cycle-not-completed assms* **have** $\neg \text{dfs-completed } SE\text{-dfs } s$ **by** *simp*

ultimately have $\neg \text{dfs-cond } SE\text{-dfs } (state\ s)$ **using** *finished-is-completed-or-not-cond* **by** *blast*

hence *has-cycle s* **by** *simp*

with *s has-cycle-implies-SE-cyclic* **that show** *?thesis* **by** *blast*

qed

lemma *SE-cycle-get-cycle*:

assumes *SE-cycle x*

obtains *v* **where** $x \rightarrow* v \ v \in \mathcal{A} \ v \rightarrow+ v$

proof –

from *assms* **obtain** *s* **where** *constr: s ∈ dfs-constr-from SE-dfs x and SE-cyclic s* **unfolding** *SE-cycle-def* **by** *auto*

show *?thesis*

proof (*cases cyclic x s*)

case *True* **then obtain** *v* **where** $v \in \text{finished } s \cap \mathcal{A} \ v \rightarrow+ v \ x \rightarrow* v$ **unfolding** *cyclic-def* **by** *blast*

with *that* **show** *?thesis* **by** *blast*

next

case *False*

from *constr* *constr-from-implies-start* **have** *start: start s = x* **by** *blast*

with *False (SE-cyclic s)* **obtain** *v* **where** $v \in \text{set } (stack\ s) \ v \in \mathcal{A} \ v \rightarrow+ v$ **unfolding** *SE-cyclic-def* **by** *auto*

with *start start-reachable-stack constr* **that show** *?thesis* **by** *auto*

qed

qed

lemma *SE-cycle-iff-cycle*:

SE-cycle $x \iff (\exists v. x \rightarrow^* v \wedge v \in \mathcal{A} \wedge v \rightarrow^+ v)$

proof

assume *SE-cycle* x **thus** $\exists v. x \rightarrow^* v \wedge v \in \mathcal{A} \wedge v \rightarrow^+ v$ **by** (*metis SE-cycle-get-cycle*)

next

assume $\exists v. x \rightarrow^* v \wedge v \in \mathcal{A} \wedge v \rightarrow^+ v$

then guess v ..

then obtain s **where** *SE-cyclic* $s \in \text{dfs-constr-from SE-dfs } x$ **using** *cycle-generates-SE-cyclic*
by *blast*

thus *SE-cycle* x **unfolding** *SE-cycle-def* **by** *blast*

qed

lemma *dfs-fun-SE-dfs-correct*:

assumes $x \in V$

shows *dfs-fun SE-dfs* $x \leq \text{SPEC } (\lambda s. \text{has-cycle } s \iff \text{SE-cycle } x)$

using *assms*

proof (*intro dfs-fun-pred*)

fix s

assume $s \in \text{dfs-constr-from SE-dfs } x$ *has-cycle* s

moreover hence *SE-cyclic* s **using** *has-cycle-implies-SE-cyclic* **by force**

ultimately show *SE-cycle* x **by** (*auto simp: SE-cycle-def*)

next

fix s

assume $s \in \text{dfs-constr-from SE-dfs } x$ *dfs-completed SE-dfs* s *SE-cycle* x

hence *False* **by** (*metis SE-cycle-iff-cycle SE-cycle-not-completed*)

thus *has-cycle* s ..

qed (*simp-all add: SE-dfs-preserves-invar*)

theorem *SE-dfs-correct*:

assumes $x \in V$

and *inres* (*dfs-fun SE-dfs* x) s

shows *has-cycle* $s \iff \text{SE-cycle } x$

using *assms dfs-fun-SE-dfs-correct*

by (*bestsimp dest!: pwD2*)

theorems *SE-dfs-correct-unfolded* = *SE-dfs-correct*[*unfolded SE-cycle-iff-cycle*]

lemma *RETURN-SE-cycle-correct*:

assumes $x \in V$

shows *RETURN* (*SE-cycle* x) = *do* { $s \leftarrow \text{dfs-fun SE-dfs } x$; *RETURN* (*has-cycle* s) }

apply (*rule pw-eqI*)

apply (*simp-all add: pw-bind-inres pw-bind-nofail dfs-fun-nofail*[*OF SE-dfs-preserves-invar assms*])

apply (*metis assms dfs-fun-nonempty SE-dfs-correct*)

done

```

lemmas RETURN-SE-cycle-correct-unfolded = RETURN-SE-cycle-correct[unfolded
SE-cycle-iff-cycle]
end
end

```

```

theory Empty-Set
imports
  Main
  ../Libs/Collections/Collections
begin

```

```

type-synonym 'a es = unit

```

```

abbreviation (input) es- $\alpha$  :: 'a es  $\Rightarrow$  'a set where es- $\alpha$  x  $\equiv$  {}
abbreviation (input) es-empty :: unit  $\Rightarrow$  'a es where es-empty x  $\equiv$  ()
abbreviation (input) es-memb :: 'a  $\Rightarrow$  'a es  $\Rightarrow$  bool where es-memb es x  $\equiv$  False
abbreviation (input) es-isEmpty :: 'a es  $\Rightarrow$  bool where es-isEmpty es  $\equiv$  True
abbreviation (input) es-to-list :: 'a es  $\Rightarrow$  'a list where es-to-list es  $\equiv$  []
abbreviation (input) es-invar :: 'a es  $\Rightarrow$  bool where es-invar  $\equiv$   $\lambda$ -. True

```

```

interpretation es: set-empty es- $\alpha$  es-invar es-empty by unfold-locales simp-all
interpretation es: set-memb es- $\alpha$  es-invar es-memb by unfold-locales simp
interpretation es: set-isEmpty es- $\alpha$  es-invar es-isEmpty by unfold-locales simp
interpretation es: set-to-list es- $\alpha$  es-invar es-to-list by unfold-locales simp-all
end

```

```

theory DFS-Impl
imports
  DFS
  Empty-Set
  ../Libs/Collections/Collections
  ~/~/src/HOL/Library/Efficient-Nat
begin

```

```

type-synonym ('a,'b,'c) impl-sws = ('a  $\times$  'b  $\times$  'c  $\times$  'c  $\times$  nat  $\times$  'b list  $\times$  'b list
list)

```

```

definition idisc x  $\equiv$  let (S, n, d, f, c, st, wll) = x in d
definition ifinish x  $\equiv$  let (S, n, d, f, c, st, wll) = x in f
definition istack x  $\equiv$  let (S, n, d, f, c, st, wll) = x in st
definition istate x  $\equiv$  let (S, n, d, f, c, st, wll) = x in S
definition icounter x  $\equiv$  let (S, n, d, f, c, st, wll) = x in c
definition iwll x  $\equiv$  let (S, n, d, f, c, st, wll) = x in wll
definition istart x  $\equiv$  let (S, n, d, f, c, st, wll) = x in n

```

```

lemma iaccess-simps [simp]:
  idisc (S, n, d, f, c, st, wll) = d
  ifinish (S, n, d, f, c, st, wll) = f
  istack (S, n, d, f, c, st, wll) = st
  istate (S, n, d, f, c, st, wll) = S

```

icounter (S, n, d, f, c, st, wll) = c
iwill (S, n, d, f, c, st, wll) = wll
istart (S, n, d, f, c, st, wll) = n
by (*simpl-all add: idisc-def ifinish-def istack-def istate-def icounter-def iwll-def istart-def*)

record ($'S, 'n, 'm, 's$) *dfs-algorithm-impl* =
dfs-impl-cond :: $'S \Rightarrow bool$ — the condition to be satisfied by the state S to continue searching from here.
dfs-impl-action :: $'S \Rightarrow ('S, 'n, 'm) \text{ impl-sws} \Rightarrow 'n \Rightarrow 'S$ — modifies the state for the current node BEFORE visiting the successors.
dfs-impl-post :: $'S \Rightarrow ('S, 'n, 'm) \text{ impl-sws} \Rightarrow 'n \Rightarrow 'S$ — modifies the state for the current node AFTER having visited the successors (i.e. during backtracking).
dfs-impl-remove :: $'S \Rightarrow ('S, 'n, 'm) \text{ impl-sws} \Rightarrow 'n \Rightarrow 'S$ — modifies the state if a node has already been visited and is removed from the stack
dfs-impl-start :: $'n \Rightarrow 'S$ — the starting state
dfs-impl-restrict :: $'s$ — the set of restricted nodes
dfs-impl-invar :: $('S, 'n, 'm) \text{ impl-sws} \Rightarrow bool$

definition *dfs-impl-state-invar* **where**
dfs-impl-state-invar $P \equiv \lambda s. P$ (*istate* s)

locale *DFS-Impl'* =
fixes *dfs* :: $('Sa, 'n, 'morea) \text{ dfs-algorithm-invar-scheme}$
and *impl-dfs* :: $('S, 'n, 'm, 's, 'more) \text{ dfs-algorithm-impl-scheme}$

locale *sws-impl* = $g: \text{finite-digraph } V E +$
 $\text{map: StdMap } mops$
for *mops* :: $('n, \text{nat}, 'm, 'X) \text{ map-ops-scheme}$
and $V :: 'n \text{ set}$ **and** $E :: ('n \times 'n) \text{ set} +$
fixes $\alpha_\sigma :: 'S \Rightarrow 'Sa$

begin

definition *impl-sws- α* :: $('S, 'n, 'm) \text{ impl-sws} \Rightarrow ('Sa, 'n) \text{ dfs-sws}$ **where**
impl-sws- α $x = (\text{let } (S, n, d, f, c, st, wll) = x \text{ in } \text{dfs-sws.make } n \text{ st } (\text{map set } wll) (\text{map.}\alpha \text{ } d) (\alpha \text{ } f) c (\alpha_\sigma \text{ } S))$

lemma *impl-sws- α -simps* [*simp*]:

$\text{stack } (\text{impl-sws-}\alpha (S, n, d, f, c, st, wll)) = st$
 $\text{start } (\text{impl-sws-}\alpha (S, n, d, f, c, st, wll)) = n$
 $\text{discover } (\text{impl-sws-}\alpha (S, n, d, f, c, st, wll)) = \text{map.}\alpha \text{ } d$
 $\text{finish } (\text{impl-sws-}\alpha (S, n, d, f, c, st, wll)) = \text{map.}\alpha \text{ } f$
 $\text{counter } (\text{impl-sws-}\alpha (S, n, d, f, c, st, wll)) = c$
 $\text{state } (\text{impl-sws-}\alpha (S, n, d, f, c, st, wll)) = \alpha_\sigma \text{ } S$
 $\text{wl } (\text{impl-sws-}\alpha (S, n, d, f, c, st, wll)) = \text{map set } wll$

by (*simpl-all add: impl-sws- α -def dfs-sws.defs*)

lemma *impl-sws- α -conv* [*simp*]:

$\text{stack } (\text{impl-sws-}\alpha \text{ } x) = \text{istack } x$
 $\text{start } (\text{impl-sws-}\alpha \text{ } x) = \text{istart } x$
 $\text{discover } (\text{impl-sws-}\alpha \text{ } x) = \text{map.}\alpha (\text{idisc } x)$

```

    finish (impl-sws- $\alpha$  x) = map. $\alpha$  (ifinish x)
    state (impl-sws- $\alpha$  x) =  $\alpha_\sigma$  (istate x)
    counter (impl-sws- $\alpha$  x) = icounter x
    wl (impl-sws- $\alpha$  x) = map set (iwll x)
  by (cases x, simp)+
end

locale DFS-Impl = DFS-Impl' dfs impl-dfs +
  sws-impl mops V E  $\alpha_\sigma$  +
  set: set-memb set $\alpha$  setinvar setmemb
  for dfs :: ('Sa, 'n, 'morea) dfs-algorithm-invar-scheme
  and impl-dfs :: ('S, 'n, 'm, 's, 'more) dfs-algorithm-impl-scheme
  and mops :: ('n, nat, 'm, 'X) map-ops-scheme
  and set $\alpha$  :: 's  $\Rightarrow$  'n set and setinvar :: 's  $\Rightarrow$  bool and setmemb :: 'n  $\Rightarrow$  's  $\Rightarrow$ 
  bool
  and V :: 'n set and E :: ('n  $\times$  'n) set
  and  $\alpha_\sigma$  :: 'S  $\Rightarrow$  'Sa +
  fixes  $\gamma$ succs :: 'n  $\Rightarrow$  'n list
  assumes succs-correct: set ( $\gamma$ succs y) = succs y
  and succs-distinct: distinct ( $\gamma$ succs y)
begin

fun dfs-step-impl' :: ('S, 'n, 'm) impl-sws  $\Rightarrow$  ('S, 'n, 'm) impl-sws  $\Rightarrow$  ('S, 'n, 'm) impl-sws
where
  dfs-step-impl' s (S, n, d, f, c, (x#xs), ([ ]#ys)) = (dfs-impl-post impl-dfs S s x,
  n, d, map.update x c f, c + 1, xs, ys)
  | dfs-step-impl' s (S, n, d, f, c, (x#xs), ((z#zs)#ys)) = (if setmemb z (dfs-impl-restrict
  impl-dfs) then (S, n, d, f, c, (x#xs), (zs#ys))
  else if map.lookup z d  $\neq$  None then
  (dfs-impl-remove impl-dfs S s z, n, d, f, c, x # xs, zs # ys)
  else (dfs-impl-action impl-dfs S s z,
  n, map.update z c d, f, c + 1, z # x # xs, ( $\gamma$ succs z) # zs # ys))
  | dfs-step-impl' s (S, n, d, f, c, [ ], [ ]) = s

abbreviation dfs-step-impl s  $\equiv$  dfs-step-impl' s s

definition dfs-cond-impl :: ('S, 'n, 'm) impl-sws  $\Rightarrow$  bool where
  dfs-cond-impl s  $\equiv$  istack s  $\neq$  [ ]  $\wedge$  iwll s  $\neq$  [ ]  $\wedge$  dfs-impl-cond impl-dfs (istate s)

definition dfs-start-impl :: 'n  $\Rightarrow$  ('S, 'n, 'm) impl-sws where
  dfs-start-impl x = (dfs-impl-start impl-dfs x, x, map.sng x 0, map.empty ( ),
  (1::nat), [x], [ $\gamma$ succs x])

definition impl-sws-invar :: ('S, 'n, 'm) impl-sws  $\Rightarrow$  bool where
  impl-sws-invar s  $\equiv$ 
  dfs-constructable dfs (impl-sws- $\alpha$  s)  $\wedge$ 
  map.invar (ifinish s)  $\wedge$  map.invar (idisc s)  $\wedge$ 
  ( $\forall$  w  $\in$  set (iwll s). distinct w  $\wedge$  set w  $\subseteq$  V)

```

lemma *impl-sws-invarI*:

$\llbracket \text{dfs-constructable dfs (impl-sws-}\alpha \text{ s);}$
 $\text{map.invar (ifinish s); map.invar (idisc s);}$
 $\bigwedge w. w \in \text{set (iwll s)} \implies \text{distinct w} \wedge \text{set w} \subseteq V \rrbracket \implies \text{impl-sws-invar s}$

unfolding *impl-sws-invar-def*

by *metis*

lemma *impl-sws-invarE*:

$\llbracket \text{impl-sws-invar s;}$
 $\llbracket \text{dfs-constructable dfs (impl-sws-}\alpha \text{ s);}$
 $\text{map.invar (ifinish s); map.invar (idisc s);}$
 $\bigwedge w. w \in \text{set (iwll s)} \implies \text{distinct w} \wedge \text{set w} \subseteq V \rrbracket \implies P \rrbracket$
 $\implies P$

unfolding *impl-sws-invar-def*

by *metis*

lemma *impl-sws-invar-constructable*:

$\text{impl-sws-invar s} \implies \text{dfs-constructable dfs (impl-sws-}\alpha \text{ s)}$

by (*metis impl-sws-invarE*)

lemmas *impl-sws-invar-constructableE[elim] = impl-sws-invar-constructable[elim-format]*

lemma *impl-sws-invar-mapinvars*:

$\text{impl-sws-invar s} \implies \text{map.invar (idisc s)}$
 $\text{impl-sws-invar s} \implies \text{map.invar (ifinish s)}$

unfolding *impl-sws-invar-def*

by *metis+*

lemma *impl-sws-invar-dfs-invar*:

$\text{dfs-preserves-invar dfs} \implies \text{impl-sws-invar s} \implies \text{dfs-invar dfs (impl-sws-}\alpha \text{ s)}$

by *auto*

definition *impl-preserves-invar where*

$\text{impl-preserves-invar} \equiv (\forall x \in V. x \notin \text{set}\alpha (\text{dfs-impl-restrict impl-dfs}) \wedge \text{impl-sws-invar}$
 $(\text{dfs-start-impl } x) \longrightarrow$

$\text{dfs-impl-invar impl-dfs (dfs-start-impl } x)) \wedge$
 $(\forall s s'. \text{impl-sws-invar s} \wedge \text{dfs-cond-impl s} \wedge s' = \text{dfs-step-impl}$
 $s \wedge \text{dfs-impl-invar impl-dfs s} \longrightarrow$
 $\text{dfs-impl-invar impl-dfs } s')$

lemma *impl-preserves-invar-start*:

$\text{impl-preserves-invar} \implies x \in V \implies x \notin \text{set}\alpha (\text{dfs-impl-restrict impl-dfs}) \implies$
 $\text{impl-sws-invar (dfs-start-impl } x) \implies \text{dfs-impl-invar impl-dfs (dfs-start-impl } x)$

by (*simp add: impl-preserves-invar-def*)

lemma *impl-preserves-invar-step*:

$\text{impl-preserves-invar} \implies \text{impl-sws-invar s} \implies \text{dfs-cond-impl s} \implies \text{dfs-impl-invar}$
 $\text{impl-dfs s} \implies s' = \text{dfs-step-impl s} \implies \text{dfs-impl-invar impl-dfs } s'$

unfolding *impl-preserves-invar-def*

by *blast*

lemma *impl-preserves-invarI*:

$\llbracket \bigwedge v. \llbracket v \in V; v \notin \text{set}\alpha \text{ (dfs-impl-restrict impl-dfs)}; \text{impl-sws-invar (dfs-start-impl } v) \rrbracket \implies \text{dfs-impl-invar impl-dfs (dfs-start-impl } v) \rrbracket$

$\bigwedge s \ s'. \llbracket \text{impl-sws-invar } s; \text{dfs-cond-impl } s; \text{dfs-impl-invar impl-dfs } s; s' = \text{dfs-step-impl } s \rrbracket \implies \text{dfs-impl-invar impl-dfs } s'$

$\rrbracket \implies \text{impl-preserves-invar}$

unfolding *impl-preserves-invar-def*

by *blast*

lemma *state-impl-preserves-invarI*:

assumes *SI*: $\text{dfs-impl-invar impl-dfs} = \text{dfs-impl-state-invar } P$

and *start*: $\bigwedge x. \llbracket x \in V; x \notin \text{set}\alpha \text{ (dfs-impl-restrict impl-dfs)} \rrbracket \implies P \text{ (dfs-impl-start impl-dfs } x)$

and *post*: $\bigwedge s \ x. \llbracket x \in \text{set (istack } s); P \text{ (istate } s); \text{impl-sws-invar } s; \text{dfs-cond-impl } s \rrbracket \implies P \text{ (dfs-impl-post impl-dfs (istate } s) s \ x)$

and *remove*: $\bigwedge s \ x. \llbracket x \in \text{set (hd (iwill } s)); \text{map.lookup } x \text{ (idisc } s) \neq \text{None}; P \text{ (istate } s); \text{impl-sws-invar } s; \text{dfs-cond-impl } s \rrbracket \implies P \text{ (dfs-impl-remove impl-dfs (istate } s) s \ x)$

and *action*: $\bigwedge s \ x. \llbracket x \in \text{set (hd (iwill } s)); \text{map.lookup } x \text{ (idisc } s) = \text{None}; P \text{ (istate } s); \text{impl-sws-invar } s; \text{dfs-cond-impl } s \rrbracket \implies P \text{ (dfs-impl-action impl-dfs (istate } s) s \ x)$

shows *impl-preserves-invar*

proof (*rule impl-preserves-invarI*)

case (*goal1* *v*) **with** *SI start* **show** *?case* **unfolding** *dfs-impl-state-invar-def* *dfs-start-impl-def* **by** *simp*

next

note *SIS[*simp*]* = *SI dfs-impl-state-invar-def*

case (*goal2* *s s'*) **hence** *inv*: $P \text{ (istate } s)$ **and** $\text{length (istack } s) = \text{length (iwill } s)$

using *length-wl-eq-stack[OF impl-sws-invar-constructable]* **by** *simp-all*

with *goal2(4,1-3)* **show** *?case*

proof (*induction s rule: dfs-step-impl'.induct*)

case (*goal1* *s'*) **hence** $x \in \text{set (x\#xs)}$ **by** *simp*

with *post[OF - goal1(5)] goal1* **show** *?case* **by** *simp*

next

case (*goal2* *s'*) **hence** $z \in \text{set (hd ((z\#zs)\#ys))}$ **by** *auto*

with *goal2 remove[OF - - goal2(5)] action[OF - - goal2(5)]* **show** *?case* **by**

simp

qed *simp-all*

qed

end

locale *DFS-Impl-correct* = *DFS-Impl* *dfs impl-dfs mops set α setinvar setmemb* *V E α_σ γ succs*

for *dfs* :: (*'Sa, 'n, 'morea*) *dfs-algorithm-invar-scheme*

and *impl-dfs* :: (*'S, 'n, 'm, 's, 'more*) *dfs-algorithm-impl-scheme*

and *mops* :: (*'n, nat, 'm, 'X*) *map-ops-scheme*

and *set α* :: *'s* \Rightarrow *'n set* **and** *setinvar* :: *'s* \Rightarrow *bool* **and** *setmemb* :: *'n* \Rightarrow *'s* \Rightarrow

```

bool
and V :: 'n set and E :: ('n × 'n) set
and ασ :: 'S ⇒ 'Sa
and γsuccs :: 'n ⇒ 'n list+
assumes action-correct:  $\llbracket x \in \text{set } (\text{hd } (\text{iwill } s)); \text{map.lookup } x (\text{idisc } s) = \text{None};$ 
dfs-impl-invar impl-dfs s; impl-sws-invar s; dfs-cond-impl s  $\rrbracket \implies \alpha_\sigma (\text{dfs-impl-action}$ 
impl-dfs (istate s) s x) = dfs-action dfs (ασ (istate s)) (impl-sws-α s) x
and post-correct:  $\llbracket x \in \text{set } (\text{istack } s); \text{dfs-impl-invar } \text{impl-dfs } s; \text{impl-sws-invar } s;$ 
dfs-cond-impl s  $\rrbracket \implies \alpha_\sigma (\text{dfs-impl-post } \text{impl-dfs } (\text{istate } s) s x) = \text{dfs-post } \text{dfs } (\alpha_\sigma$ 
(istate s)) (impl-sws-α s) x
and remove-correct:  $\llbracket x \in \text{set } (\text{hd } (\text{iwill } s)); \text{map.lookup } x (\text{idisc } s) \neq \text{None};$ 
dfs-impl-invar impl-dfs s; impl-sws-invar s; dfs-cond-impl s  $\rrbracket \implies \alpha_\sigma (\text{dfs-impl-remove}$ 
impl-dfs (istate s) s x) = dfs-remove dfs (ασ (istate s)) (impl-sws-α s) x
and start-correct:  $\llbracket x \in V; x \notin \text{set}\alpha (\text{dfs-impl-restrict } \text{impl-dfs}) \rrbracket \implies \alpha_\sigma (\text{dfs-impl-start}$ 
impl-dfs x) = dfs-start dfs x
and cond-correct: dfs-impl-cond impl-dfs S = dfs-cond dfs (ασ S)
and restr-correct: setα (dfs-impl-restrict impl-dfs) = dfs-restrict dfs
and restr-invar: setinvar (dfs-impl-restrict impl-dfs)
and impl-preserves-invar: impl-preserves-invar
begin

```

```

lemma dfs-cond-impl-correct:
  dfs-cond-impl s = dfs-cond-compl dfs (impl-sws-α s)
unfolding dfs-cond-impl-def dfs-cond-compl-def
by (simp add: cond-correct)

```

```

lemma dfs-cond-impl-conv:
  dfs-cond-impl (S, n, d, f, c, st, will)  $\equiv st \neq [] \wedge will \neq [] \wedge \text{dfs-impl-cond } \text{impl-dfs}$ 
S
unfolding dfs-cond-impl-def istate-def istack-def iwill-def
by simp

```

```

lemma dfs-start-impl-correct:
  x ∈ V  $\implies x \notin \text{set}\alpha (\text{dfs-impl-restrict } \text{impl-dfs}) \implies \text{impl-sws-}\alpha (\text{dfs-start-impl}$ 
x) = dfs-constr-start dfs x
unfolding dfs-start-impl-def dfs-constr-start-def
by (simp add: start-correct map.correct succs-correct impl-preserves-invar-start[OF
impl-preserves-invar])

```

```

lemma dfs-start-impl-constructable:
  assumes x ∈ V
  and x  $\notin \text{set}\alpha (\text{dfs-impl-restrict } \text{impl-dfs})$ 
  shows dfs-constructable dfs (impl-sws-α (dfs-start-impl x))
proof -
  from assms(2) have x  $\notin \text{dfs-restrict } \text{dfs}$  using restr-correct by simp
  with assms dfs-start-impl-correct dfs-constructable.start show ?thesis by simp
qed

```

```

lemma dfs-step-impl-correct:

```

```

assumes invars: impl-sws-invar s dfs-impl-invar impl-dfs s dfs-cond-impl s
and s' = dfs-step-impl s
shows impl-sws-α s' ∈ dfs-step dfs (impl-sws-α s)
proof –
  from invars length-wl-eq-stack have length (istack s) = length (iwill s) by force
  moreover from invars have istack s ≠ [] unfolding dfs-cond-impl-def by simp
  moreover note assms
  ultimately show ?thesis
  proof (induction (s) rule: dfs-step-impl'.induct)
    case (goal1 s') let ?s = (S, n, d, f, c, x # xs, [] # ys) let ?R = impl-sws-α
    ?s
    from goal1 invars have inf : invar f and inv: dfs-impl-invar impl-dfs ?s un-
folding impl-sws-invar-def by auto

    from goal1 have dfs-step dfs ?R = {dfs-sws.make n xs (map set ys) (map.α
    d) ((map.α f)(x ↦ c)) (Suc c) (dfs-post dfs (ασ S) ?R x)}
    using dfs-step-simps(2)[of ?R x xs dfs] by (simp add: dfs-sws.defs impl-sws-α-def)
    also from goal1 post-correct[OF - inv] update-correct[OF inf] have ... =
    {dfs-sws.make n xs (map set ys) (α d) (map.α (update x c f)) (Suc c) (ασ (dfs-impl-post
    impl-dfs S ?s x))}
    by (simp add: dfs-sws.defs)
    also from goal1 have ... = { impl-sws-α (dfs-step-impl ?s) } by (simp add:
    impl-sws-α-def)
    finally show ?case by (simp add: goal1)
  next
    case (goal2 s') let ?s = (S, n, d, f, c, x # xs, (z # zs) # ys) let ?R =
    impl-sws-α ?s
    from goal2 have ind: invar d and inv: dfs-impl-invar impl-dfs ?s unfolding
    impl-sws-invar-def by auto
    from goal2(3) wl-subset-succs[where n= 0] succs-correct have z-in: z ∈ set
    (γsuccs x) by fastforce

    from goal2 have  $\bigwedge w. w \in \text{set } (iwill ?s) \implies \text{distinct } w (z \# zs) \in \text{set } (iwill ?s)$ 
unfolding impl-sws-invar-def by force+
    hence z-dist: distinct (z # zs) .

  show ?case
  proof (cases setmemb z (dfs-impl-restrict impl-dfs))
    case True with restr-correct restr-invar set.memb-correct have z ∈ dfs-restrict
    dfs by blast
    with goal2 z-dist have dfs-sws.make n (x # xs) (map set (zs # ys)) (α d) (α
    f) c (ασ S) ∈ dfs-step dfs ?R
    using dfs-step-simps(3)[of ?R x xs dfs]
    apply simp
    apply (rule-tac x=z in exI)
    by simp
    with goal2 True show ?thesis by (simp add: impl-sws-α-def)
  next
    case False with restr-correct restr-invar set.memb-correct have z-not-R: z ∉

```

```

dfs-restrict dfs by blast
  show ?thesis
  proof (cases lookup z d)
    case None with z-in have set ( $\gamma$ succs x) - {v. lookup v d  $\neq$  None}  $\neq$  {}
  by auto
  with ind None succs-correct z-in have z  $\notin$  dom ( $\alpha$  d) and succs x - dom
( $\alpha$  d)  $\neq$  {} and z  $\in$  succs x by (auto simp add: correct)
  with goal2 z-not-R None z-dist have dfs-sws.make n (z#x#xs) (map set
( $\gamma$ succs z#zs#ys)) (( $\alpha$  d)(z  $\mapsto$  c)) ( $\alpha$  f) (Suc c) (dfs-action dfs ( $\alpha_\sigma$  S) ?R z)  $\in$ 
dfs-step dfs ?R
  using dfs-step-simps( $\beta$ )[of ?R x xs dfs]
  apply simp
  apply (rule-tac x=z in exI)
  by (auto simp add: succs-correct)
  with goal2 None action-correct[OF - - inv] update-correct[OF ind] have
dfs-sws.make n (z#x#xs) (map set ( $\gamma$ succs z#zs#ys)) ( $\alpha$  (map.update z c d)) ( $\alpha$ 
f) (Suc c) ( $\alpha_\sigma$  (dfs-impl-action impl-dfs S ?s z))  $\in$  dfs-step dfs ?R
  by (simp add: dfs-sws.defs)
  with None False goal2 show ?thesis by (simp add: impl-sws- $\alpha$ -def)
next
case (Some e) with ind have z  $\in$  dom ( $\alpha$  d) by (auto simp add: correct)
  with goal2 Some z-not-R z-dist have dfs-sws.make n (x#xs) (map set
(zs#ys)) ( $\alpha$  d) ( $\alpha$  f) c (dfs-remove dfs ( $\alpha_\sigma$  S) ?R z)  $\in$  dfs-step dfs ?R
  using dfs-step-simps( $\beta$ )[of ?R x xs dfs]
  apply simp
  apply (rule-tac x=z in exI)
  by simp
  with remove-correct[OF - - inv] Some False goal2 show ?thesis by (auto
simp add: impl-sws- $\alpha$ -def)
qed
qed
qed simp-all
qed

```

lemma *dfs-step-impl-dfs-next:*

```

  assumes invar: impl-sws-invar s dfs-impl-invar impl-dfs s
  and cond: dfs-cond-impl s
  shows dfs-next dfs (impl-sws- $\alpha$  s) (impl-sws- $\alpha$  (dfs-step-impl s))
proof -
  from cond dfs-cond-impl-correct have dfs-cond-compl dfs (impl-sws- $\alpha$  s) by simp
  moreover from cond invar have impl-sws- $\alpha$  (dfs-step-impl s)  $\in$  dfs-step dfs
(impl-sws- $\alpha$  s) by (simp add: dfs-step-impl-correct)
  ultimately show ?thesis unfolding dfs-next-def by simp
qed

```

lemma *dfs-impl-constructable:*

```

  assumes impl-sws-invar s dfs-impl-invar impl-dfs s
  and constr: dfs-constructable dfs (impl-sws- $\alpha$  s)
  and dfs-cond-impl s

```

shows $\text{dfs-constructable dfs (impl-sws-}\alpha \text{ (dfs-step-impl s))}$
proof –
from $\text{assms have dfs-next dfs (impl-sws-}\alpha \text{ s) (impl-sws-}\alpha \text{ (dfs-step-impl s)) using}$
 $\text{dfs-step-impl-dfs-next by blast}$
with $\text{constr show ?thesis using dfs-constructable.step by metis}$
qed

lemma $\text{dfs-step-impl-invars:}$
assumes $\text{invars: impl-sws-invar s dfs-impl-invar impl-dfs s dfs-cond-impl s}$
and $\text{step: s' = dfs-step-impl s}$
shows impl-sws-invar s'
proof –
from $\text{invars step dfs-impl-constructable have dfs-constructable dfs (impl-sws-}\alpha \text{ s')}$
 s') by blast
moreover from $\text{invars length-wl-eq-stack have length (istack s) = length (iwill s)}$
 s) by force
moreover from $\text{invars have istack s} \neq []$ **unfolding** $\text{dfs-cond-impl-def by simp}$
moreover note assms
ultimately show ?thesis
proof ($\text{induction s rule: dfs-step-impl'.induct}$)
case (goal1 s') **with** $\text{goal1[unfolded impl-sws-invar-def]}$ **show** $\text{?case by (intro impl-sws-invarI) (simp-all add: map.correct)}$
next
case (goal2 s')
note $\text{g2 = goal2[unfolded impl-sws-invar-def]}$

show ?case
proof ($\text{cases setmemb z (dfs-impl-restrict impl-dfs) } \vee \text{ lookup z d } \neq \text{None}$)
case $\text{True with g2 show ?thesis}$
apply ($\text{intro impl-sws-invarI}$)
apply ($\text{case-tac [!]} \text{ setmemb z (dfs-impl-restrict impl-dfs)}$)
apply auto
done

next
case $\text{False hence membFalse: } \neg \text{ setmemb z (dfs-impl-restrict impl-dfs)}$
 $\text{map.lookup z d = None by simp-all}$
with g2 show ?thesis
proof ($\text{intro impl-sws-invarI}$)
from $\text{g2 have *: } \bigwedge w. w \in \text{set ((z\#zs)\#ys)} \implies \text{distinct w } \wedge \text{set w } \subseteq V$
by auto
moreover
from $\text{*[of z\#zs] distinct-tl have distinct zs by simp}$
moreover from $\text{succs-correct have set } (\gamma\text{succs z}) \subseteq V$ **using** succs-in-V
by auto
ultimately have $\bigwedge w. w \in \text{set } (\gamma\text{succs z}\#zs\#ys) \implies \text{distinct w } \wedge \text{set w } \subseteq V$
by ($\text{metis succs-distinct ListMem-iff elem mem-def predicate1D set-ConsD set-subset-Cons subset-trans}$)
thus $\bigwedge w. w \in \text{set (iwill s')} \implies \text{distinct w } \wedge \text{set w } \subseteq V$ **using** g2 membFalse
by auto

qed (*simp-all add: map.correct*)
qed
qed *simp-all*
qed

definition *dfs-fun-impl* :: 'n \Rightarrow (('S,'n,'m) *impl-sws*) *nres* **where**
dfs-fun-impl *x* \equiv *WHILE_T* *dfs-cond-impl* ($\lambda s.$ *RETURN* (*dfs-step-impl* *s*)) (*dfs-start-impl* *x*)

definition *dfs-impl-build-rel* **where**
dfs-impl-build-rel \equiv *br impl-sws- α* ($\lambda s.$ *impl-sws-invar* *s* \wedge *dfs-impl-invar impl-dfs* *s*)

lemma *dfs-fun-impl-refine*:
assumes $x \in V$ $x \notin$ *dfs-restrict* *dfs*
shows *dfs-fun-impl* $x \leq$ \Downarrow *dfs-impl-build-rel* (*dfs-fun* *dfs* x)
unfolding *dfs-fun-impl-def* *dfs-fun-def* *dfs-impl-build-rel-def*
apply (*refine-rcg*)
apply (*simp add: dfs-start-impl-correct[OF assms(1)] restr-correct assms*)
apply (*rule context-conjI*)
apply (*intro impl-sws-invarI*)
apply (*simp add: dfs-start-impl-constructable restr-correct assms*)
apply (*simp-all add: dfs-start-impl-def correct succs-distinct assms succs-correct succs-in-V*)[3]
apply (*simp add: impl-preserves-invar-start[OF impl-preserves-invar] assms restr-correct*)
apply (*simp add: dfs-cond-impl-correct*)
apply (*rule SPEC-refine-sv*)
apply (*rule br-single-valued*)
apply (*simp add: dfs-step-impl-dfs-next*)
apply (*rule conjI*)
apply (*blast dest: dfs-step-impl-invars*)
apply (*blast dest: impl-preserves-invar-step[OF impl-preserves-invar]*)
done

theorem *dfs-fun-impl-correct*:
assumes *dfs-preserves-invar* *dfs* $x \in V$ $x \notin$ *dfs-restrict* *dfs*
shows *dfs-fun-impl* $x \leq$ \Downarrow *dfs-impl-build-rel* (*SPEC* ($\lambda s.$ $s \in$ *dfs-constr-from* *dfs* $x \wedge$ *dfs-finished* *dfs* s))
proof –
note *dfs-fun-impl-refine*[*OF assms(2–)*]
also note *dfs-fun-correct*[*OF assms*]
finally show *?thesis* .
qed

lemma *dfs-fun-impl-nofail*[*refine-pw-simps*]:
assumes *dfs-preserves-invar* *dfs* $x \in V$ $x \notin$ *dfs-restrict* *dfs*
shows *nofail* (*dfs-fun-impl* x)
proof –

note *dfs-fun-nofail*[*OF assms*]
also note *pw-conc-nofail*[*symmetric*]
finally show *?thesis* **by** (*blast intro: pwD dfs-fun-impl-refine*[*OF assms(2-)*])
qed

schematic-lemma *dfs-code-aux*:
RETURN ?dfs-code ≤ dfs-fun-impl x
unfolding *dfs-fun-impl-def*
by *refine-transfer*

definition *dfs-code* :: '*n* ⇒ ('*S*, '*n*, '*m*) *impl-sws* **where**
dfs-code x ≡ while dfs-cond-impl dfs-step-impl (dfs-start-impl x)

lemmas *dfs-code-refine = dfs-code-aux*[*folded dfs-code-def*]

theorem *dfs-code-correct*:
 $\llbracket \text{dfs-preserves-invar } dfs; x \in V; x \notin \text{dfs-restrict } dfs; s = \text{dfs-code } x \rrbracket \implies$
 $\text{impl-sws-}\alpha \text{ } s \in \text{dfs-constr-from } dfs \text{ } x \wedge \text{dfs-finished } dfs \text{ } (\text{impl-sws-}\alpha \text{ } s) \wedge \text{impl-sws-invar}$
 $s \wedge \text{dfs-impl-invar } \text{impl-dfs } s$
using *order-trans*[*OF dfs-code-refine dfs-fun-impl-correct, of x*]
unfolding *dfs-impl-build-rel-def*
by (*auto elim!: RETURN-ref-SPECD*)

corollary *dfs-code-constructable*:
 $\llbracket \text{dfs-preserves-invar } dfs; x \in V; x \notin \text{dfs-restrict } dfs \rrbracket \implies \text{impl-sws-}\alpha \text{ } (\text{dfs-code}$
 $x) \in \text{dfs-constr-from } dfs \text{ } x$
by (*metis dfs-code-correct*)

corollary *dfs-code-preserves-invar*:
 $\llbracket \text{dfs-preserves-invar } dfs; x \in V; x \notin \text{dfs-restrict } dfs \rrbracket \implies \text{impl-sws-invar } (\text{dfs-code}$
 $x)$
by (*metis dfs-code-correct*)

corollary *dfs-code-preserves-dfs-invar*:
 $\llbracket \text{dfs-preserves-invar } dfs; x \in V; x \notin \text{dfs-restrict } dfs \rrbracket \implies \text{dfs-impl-invar } \text{impl-dfs}$
 $(\text{dfs-code } x)$
by (*metis dfs-code-correct*)

corollary *dfs-code-finished*:
 $\llbracket \text{dfs-preserves-invar } dfs; x \in V; x \notin \text{dfs-restrict } dfs \rrbracket \implies \text{dfs-finished } dfs$
 $(\text{impl-sws-}\alpha \text{ } (\text{dfs-code } x))$
by (*metis dfs-code-correct*)

— Helper function to return the list of visited nodes.

definition *to-visited* :: ('*S*, '*n*, '*m*) *impl-sws* ⇒ '*n* *list* **where**
to-visited s = map fst (to-list (idisc s))

lemma *to-visited-correct*:
assumes *invar: invar (idisc s)*

shows $set\ (to\ visited\ s) = discovered\ (impl\ sws\ \alpha\ s)$
proof –
have $map\ of\ (to\ list\ (idisc\ s)) = discover\ (impl\ sws\ \alpha\ s)$ **using** *invar* **by** (*simp*
add: correct)
hence $dom\ (map\ of\ (to\ list\ (idisc\ s))) = discovered\ (impl\ sws\ \alpha\ s)$ **by** *simp*
with $dom\ map\ of\ conv\ image\ fst[of\ to\ list\ (idisc\ s)]$ **show** *?thesis* **unfolding**
to\ visited\ def **by** *simp*
qed

— Helper function returning a tuple (node, δ node, φ node)

definition *to-fd* :: (*S*, '*n*', '*m*') *impl-sws* \Rightarrow ('*n*' \times *nat* \times *nat*) *list* **where**
 $to\ fd\ s = map\ (\lambda\ n.\ let\ d = the\ (lookup\ n\ (idisc\ s))\ in$
 $\quad let\ f = the\ (lookup\ n\ (ifinish\ s))\ in$
 $\quad (n, d, f))\ (to\ visited\ s)$

lemma *to-fd-correct*:

assumes *invars*: *invar* (*ifinish* *s*) *invar* (*idisc* *s*)
and *elem*: $(n, d, f) \in set\ (to\ fd\ s)$
shows $\varphi\ (impl\ sws\ \alpha\ s)\ n = f \wedge \delta\ (impl\ sws\ \alpha\ s)\ n = d$
using *assms* **unfolding** *to-fd-def*
by (*auto simp add: to-visited-correct correct*)
end

definition *simple-impl-dfs* :: (*unit*, '*n*', '*m*', '*n* *es*') *dfs-algorithm-impl* **where**

$simple\ impl\ dfs = (\lambda\ dfs\ impl\ cond = \lambda\ -. True,$
 $\quad dfs\ impl\ action = \lambda\ -\ -. (),$
 $\quad dfs\ impl\ post = \lambda\ -\ -. (),$
 $\quad dfs\ impl\ remove = \lambda\ -\ -. (),$
 $\quad dfs\ impl\ start = \lambda\ -. (),$
 $\quad dfs\ impl\ restrict = es\ empty\ (),$
 $\quad dfs\ impl\ invar = \lambda\ -. True)$

lemma *simple-impl-dfs-simps* [*simp*]:

$dfs\ impl\ cond\ simple\ impl\ dfs\ S$
 $dfs\ impl\ action\ simple\ impl\ dfs\ S\ s\ x = ()$
 $dfs\ impl\ post\ simple\ impl\ dfs\ S\ s\ x = ()$
 $dfs\ impl\ remove\ simple\ impl\ dfs\ S\ s\ x = ()$
 $dfs\ impl\ start\ simple\ impl\ dfs\ x = ()$
 $dfs\ impl\ restrict\ simple\ impl\ dfs = ()$
 $dfs\ impl\ invar\ simple\ impl\ dfs\ s$
by (*simp-all add: simple-impl-dfs-def*)

locale *SimpleDFS-Impl* = *DFS-Impl* *simple-dfs* *simple-impl-dfs* - *es*- α *es-invar*
es-memb - - *id*

begin

lemma *simple-preserves-invar*:

$impl\ preserves\ invar$
by (*intro impl-preserves-invarI*) *simp-all*


```

lemma dfs-cond-impl-conv-simple:
  dfs-cond-impl (S, n, d, f, c, st, wll)  $\equiv$  st  $\neq$  []  $\wedge$  wll  $\neq$  []
unfolding dfs-cond-impl-def
by simp

lemmas dfs-start-impl-conv-simple = dfs-start-impl-def [unfolded simple-impl-dfs-simps]
lemmas dfs-step-impl-conv-simple = dfs-step-impl'.simps [unfolded simple-impl-dfs-simps]
end

sublocale SimpleDFS-Impl  $\subseteq$  DFS-Impl-correct simple-dfs simple-impl-dfs - es- $\alpha$ 
es-invar es-memb - - id
by unfold-locales (simp-all add: simple-preserves-invar)

end

theory Nested-DFS-Impl
imports Nested-DFS DFS-Impl
begin

locale SubDFS-Impl-def = set: StdSet sops +
  map: StdMap mops +
  finite-digraph V E
  for sops :: ('n, 's, 'Y) set-ops-scheme
  and mops :: ('n, nat, 'm, 'X) map-ops-scheme
  and V :: 'n set and E :: ('n  $\times$  'n) set +
  fixes sup-st :: 'n  $\Rightarrow$  bool and restr :: 's
  assumes rs-invar [simp]: set.invar restr
begin

fun check-cycle-impl where
  check-cycle-impl True - - = True
| check-cycle-impl False - e = sup-st e — if e is on the parent (=super) stack, we
have a cycle

definition sub-impl-dfs-invar where
  sub-impl-dfs-invar s  $\equiv$  set.invar (snd (istate s))  $\wedge$  set. $\alpha$  (snd (istate s)) = set. $\alpha$ 
restr  $\cup$  dom (map. $\alpha$  (ifinish s))

definition sub-impl-dfs :: (bool  $\times$  's, 'n, 'm, 's) dfs-algorithm-impl where
  sub-impl-dfs = ( $\lambda$  dfs-impl-cond = Not  $\circ$  fst,
    dfs-impl-action =  $\lambda$ (f,S) s x. (check-cycle-impl f s x, S),
    dfs-impl-post =  $\lambda$ (f,S) - x. (f, set.ins-dj x S),
    dfs-impl-remove =  $\lambda$ (f,S) s x. (x = istart s  $\wedge$  sup-st x, S),
    dfs-impl-start =  $\lambda$ -. (False, restr),
    dfs-impl-restrict = restr,
    dfs-impl-invar = sub-impl-dfs-invar  $\lambda$ )

lemma sub-impl-dfs-simps [simp]:

```

```

dfs-impl-cond sub-impl-dfs S  $\longleftrightarrow$   $\neg$  (fst S)
dfs-impl-action sub-impl-dfs S s x = (check-cycle-impl (fst S) s x, snd S)
dfs-impl-post sub-impl-dfs S s x = (fst S, set.ins-dj x (snd S))
dfs-impl-remove sub-impl-dfs S s x = (x = istart s  $\wedge$  sup-st x, snd S)
dfs-impl-start sub-impl-dfs x = (False, restr)
dfs-impl-restrict sub-impl-dfs = restr
dfs-impl-invar sub-impl-dfs = sub-impl-dfs-invar
unfolding sub-impl-dfs-def
by (simp-all split: prod.split)

end

locale SubDFS-Impl = SubDFS-Impl-def sops mops V E ss rs +
  DFS-Impl sub-dfs {x. ss x} (set. $\alpha$  rs) sub-impl-dfs mops set. $\alpha$ 
set.invar set.mem V E fst
  for sops :: ('n, 's, 'Y) set-ops-scheme
  and mops :: ('n, nat, 'm, 'X) map-ops-scheme
  and V :: 'n set and E :: ('n  $\times$  'n) set
  and ss :: 'n  $\Rightarrow$  bool and rs :: 's
begin

lemma check-cycle-impl-correct:
  check-cycle-impl S s e  $\longleftrightarrow$  check-cycle {x. ss x} S s' e
by (cases S) simp-all

lemma sub-impl-preserves-invar:
  impl-preserves-invar
proof (rule impl-preserves-invarI)
  case goal1 thus ?case by (simp add: sub-impl-dfs-invar-def dfs-start-impl-def
map.correct)
next
  case goal2 hence length (istack s) = length (iwill s) using length-wl-eq-stack[OF
impl-sws-invar-constructable] by simp-all
  with goal2 show ?case
  proof (induction s rule: dfs-step-impl'.induct)
  case (goal1 s') let ?s = (S, n, d, f, c, x # xs, [] # ys) let ? $\alpha$ s = impl-sws- $\alpha$ 
?s
  from goal1 have constr: dfs-constructable (sub-dfs {x. ss x} (set. $\alpha$  rs)) ? $\alpha$ s
using impl-sws-invar-constructable by simp

  from stack-implies-not-finished[OF this] stack-not-restricted[OF this] have
x-not: x  $\notin$  dom (map. $\alpha$  f) x  $\notin$  set. $\alpha$  rs by (simp-all add: set.correct)

  from goal1 have ifinish s' = map.update x c f and map.invar f using
impl-sws-invar-mapinvars[where s=?s] by simp-all
  hence map. $\alpha$  (ifinish s') = (map. $\alpha$  f)(x  $\mapsto$  c) by (simp add: map.correct)
  hence dom (map. $\alpha$  (ifinish s')) = insert x (dom (map. $\alpha$  f)) by simp

```

moreover from *goal1* **have** $set.\alpha (snd S) = set.\alpha rs \cup dom (map.\alpha f)$ **and**
S-inv: set.invar (snd S) **by** (*simp-all add: sub-impl-dfs-invar-def*)

moreover with *x-not* **have** $x \notin set.\alpha (snd S)$ **by** *simp*

from *goal1* **have** $snd (istate s') = set.ins-dj x (snd S)$ **by** *simp*

with *S-inv x-not-S* **have** $set.invar (snd (istate s'))$ **and** $set.\alpha (snd (istate s'))$
 $= insert x (set.\alpha (snd S))$ **by** (*simp-all add: set.correct*)

ultimately show *?case* **by** (*simp add: sub-impl-dfs-invar-def*)

qed (*simp-all add: sub-impl-dfs-invar-def*)

qed

end

sublocale *SubDFS-Impl* \subseteq *DFS-Impl-correct* *sub-dfs* $\{x. ss x\}$ ($set.\alpha rs$) *sub-impl-dfs*
mops set.\alpha set.invar set.memb V E fst

by *unfold-locales (simp-all add: check-cycle-impl-correct sub-impl-preserves-invar)*

context *SubDFS-Impl*

begin

abbreviation *sub-dfs-fun-impl* \equiv *dfs-fun-impl*

abbreviation *sub-dfs-code* \equiv *dfs-code*

abbreviation *sub-build-rel* \equiv *dfs-impl-build-rel*

theorem *sub-dfs-fun-impl-correct*:

assumes $x \in V$ **and** $x \notin set.\alpha rs$

shows $sub-dfs-fun-impl x \leq \Downarrow sub-build-rel (SPEC (\lambda s. state s \longleftrightarrow sub-cycle$
 $\{x. ss x\} (set.\alpha rs) x))$

proof –

from *assms(2)* **have** $x \notin dfs-restrict (sub-dfs \{x. ss x\} (set.\alpha rs))$ **by** *simp*

note *dfs-fun-impl-refine[OF assms(1) this]*

also note *dfs-fun-sub-dfs-correct[OF assms, of {x. ss x}]*

finally show *?thesis* .

qed

lemmas *sub-dfs-fun-impl-correct-unfolded* = *sub-dfs-fun-impl-correct[unfolded sub-cycle-iff-in-sup-st]*

theorem *sub-dfs-code-correct*:

$\llbracket x \in V; x \notin set.\alpha rs; s = sub-dfs-code x \rrbracket \implies fst (istate s) \longleftrightarrow sub-cycle \{x.$
 $ss x\} (set.\alpha rs) x$

using *order-trans[OF dfs-code-refine sub-dfs-fun-impl-correct, of x]*

unfolding *dfs-impl-build-rel-def*

by (*auto elim!: RETURN-ref-SPECD*)

lemmas *sub-dfs-code-correct-unfolded* = *sub-dfs-code-correct[unfolded sub-cycle-iff-in-sup-st]*

lemmas *sub-dfs-code-preserves-invar* = *dfs-code-preserves-invar[OF sub-dfs-preserves-invar]*

lemmas *sub-dfs-code-preserves-dfs-invar* = *dfs-code-preserves-dfs-invar[OF sub-dfs-preserves-invar]*

```

lemma sub-dfs-code-finished-unfolded:
  assumes  $x: x \in V \ x \notin \text{set.}\alpha \ rs$ 
  and  $s\text{-def}: s = \text{sub-dfs-code } x$ 
  and  $\neg \text{fst } (\text{istate } s)$ 
  shows  $\text{finished } (\text{impl-sws-}\alpha \ s) = \{v. x = v \vee x \rightarrow \backslash \text{set.}\alpha \ rs + v\}$ 
proof -
  let  $?DFS = \text{sub-dfs } \{x. \text{ss } x\} (\text{set.}\alpha \ rs)$ 
  from  $\langle \neg \text{fst } (\text{istate } s) \rangle$  have  $\neg \text{state } (\text{impl-sws-}\alpha \ s)$  by simp
  moreover from  $\text{dfs-code-finished}[OF \ \text{sub-dfs-preserves-invar}] \ x \ s\text{-def}$  have  $\text{dfs-finished}$ 
   $?DFS (\text{impl-sws-}\alpha \ s)$  by auto
  ultimately have  $\text{dfs-completed } ?DFS (\text{impl-sws-}\alpha \ s)$  unfolding  $\text{dfs-completed-def}$ 
by simp
  note  $\text{completed-finished-eq-reachable}[OF \ \text{this}]$ 
  moreover from  $\text{dfs-code-constructable}[OF \ \text{sub-dfs-preserves-invar}] \ x \ s\text{-def}$  have
   $\text{impl-sws-}\alpha \ s \in \text{dfs-constr-from } ?DFS \ x$  by simp
  with  $\text{constr-from-implies-start}$  have  $\text{start } (\text{impl-sws-}\alpha \ s) = x$  by blast
  ultimately
  show  $\text{finished } (\text{impl-sws-}\alpha \ s) = \{v. x = v \vee x \rightarrow \backslash \text{set.}\alpha \ rs + v\}$  by auto
qed
end

```

```

locale NestedDFS-pre = set: StdSet sops +
  setA: set-memb setA $\alpha$  setAinvar setAmemb +
  sws-impl mops V E  $\sigma\alpha$  +
  finite-accepting-digraph V E setA $\alpha$   $\mathcal{A}$ 
  for  $sops :: ('n, 's, 'Y) \text{set-ops-scheme}$ 
  and  $\text{setA}\alpha :: 'sA \Rightarrow 'n \ \text{set}$  and  $\text{setAinvar} :: 'sA \Rightarrow \text{bool}$  and  $\text{setAmemb} :: 'n \Rightarrow$ 
   $'sA \Rightarrow \text{bool}$ 
  and  $mops :: ('n, \text{nat}, 'm, 'X) \text{map-ops-scheme}$ 
  and  $\sigma\alpha :: \text{bool} \times 's \Rightarrow \text{bool} \times 'n \ \text{set}$ 
  and  $V :: 'n \ \text{set}$  and  $E :: ('n \times 'n) \ \text{set}$  and  $\mathcal{A} :: 'sA +$ 
  fixes  $\gamma\text{succs} :: 'n \Rightarrow 'n \ \text{list}$ 
  defines  $\sigma\alpha\text{-def}: \sigma\alpha \equiv \lambda(b, s). (b, \text{set.}\alpha \ s)$ 
  assumes  $\text{ssuccs-correct}: \text{set } (\gamma\text{succs } y) = \text{succs } y$ 
  and  $\text{ssuccs-distinct}: \text{distinct } (\gamma\text{succs } y)$ 
  and  $A\text{-invar}: \text{setAinvar } \mathcal{A}$ 
begin

```

```

lemma  $\sigma\alpha\text{-simps}[simp]$ :
   $\text{fst } (\sigma\alpha \ S) \longleftrightarrow \text{fst } S$ 
   $\text{snd } (\sigma\alpha \ S) = \text{set.}\alpha \ (\text{snd } S)$ 
   $\sigma\alpha \ (b, F) = (b, \text{set.}\alpha \ F)$ 
unfolding  $\sigma\alpha\text{-def}$ 
by  $(\text{cases } S, \text{simp-all})+$ 

```

end

```

locale NestedDFS-Impl-def = NestedDFS-pre sops - - - mops

```

```

for sops :: ('n, 's, 'Y) set-ops-scheme
and mops :: ('n, nat, 'm, 'X) map-ops-scheme +
fixes M :: (bool × 'n set, 'n) dfs-sws ⇒ 'n set
and γM :: (bool × 's, 'n, 'm) impl-sws ⇒ 'n ⇒ bool
assumes M-correct: γM s = M (impl-sws-α s)
and M-defs: ∧s. stack s ≠ [] ⇒ hd (stack s) ∈ M s ∧ s. stack s ≠ [] ⇒ M s
⊆ set (stack s)
begin

```

```

declare A-invar[simp]

```

```

definition sub-impl :: ('n ⇒ bool) ⇒ 's ⇒ 'n ⇒ 's option
  where sub-impl ss R x ≡ let s = SubDFS-Impl.sub-dfs-code sops mops ss R
    γsuccs x
      in if fst (istate s) then None else Some (snd (istate s))

```

```

fun run-sub-dfs-impl where
  run-sub-dfs-impl (True, F) - - = (True, F)
| run-sub-dfs-impl (False, F) s e = (if setAemb e A then
  case sub-impl (γM s) F e of
    None ⇒ (True, F)
  | Some F' ⇒ (False, F')
  else (False, F))

```

```

abbreviation nested-impl-invar' where
  nested-impl-invar' ≡ set.invar ∘ snd

```

```

definition nested-impl-invar where
  nested-impl-invar ≡ dfs-impl-state-invar nested-impl-invar'

```

```

lemma nested-impl-invar-conv[simp]:
  nested-impl-invar s ⟷ set.invar (snd (istate s))
unfolding nested-impl-invar-def dfs-impl-state-invar-def
by simp

```

```

definition nested-impl-dfs :: (bool × 's, 'n, 'm, 'n es) dfs-algorithm-impl where
  nested-impl-dfs = (| dfs-impl-cond = λS. ¬ fst S,
    dfs-impl-action = λS - . S,
    dfs-impl-post = run-sub-dfs-impl,
    dfs-impl-remove = λS - . S,
    dfs-impl-start = λx. (False, set.empty ()),
    dfs-impl-restrict = es-empty (),
    dfs-impl-invar = nested-impl-invar |)

```

```

lemma nested-impl-dfs-simps[simp]:
  dfs-impl-cond nested-impl-dfs S ⟷ ¬ fst S
  dfs-impl-post nested-impl-dfs = run-sub-dfs-impl
  dfs-impl-action nested-impl-dfs S s x = S
  dfs-impl-remove nested-impl-dfs S s x = S

```

```

dfs-impl-start nested-impl-dfs x = (False, set.empty ())
dfs-impl-restrict nested-impl-dfs = es-empty ()
dfs-impl-invar nested-impl-dfs = nested-impl-invar
unfolding nested-impl-dfs-def
by simp-all

lemma sub-impl-correct:
  assumes inv: set.invar R
  and x: x ∈ V x ∉ set.α R
  shows Option.map (set.α) (sub-impl ss R x) = Option.map (λs. s ∪ set.α R)
  (run-sub-dfs' {x. ss x} (set.α R) x)
proof –
  from inv interpret sub!: SubDFS-Impl γsuccs sops mops V E ss R by unfold-locales
  (simp-all add: ssuccs-distinct ssuccs-correct)
  note sub = this
  show ?thesis
  proof (cases run-sub-dfs' {x. ss x} (set.α R) x)
    case None with run-sub-dfs'-correct[OF x] have ∃ v. x → \set.α R+ v ∧ ss v
  by simp
  hence fst (istate (sub.sub-dfs-code x)) using sub sub.sub-dfs-code-correct-unfolded[OF
x] by blast
  with None show ?thesis unfolding sub-impl-def by simp
  next
  case (Some F) def s ≡ sub.sub-dfs-code x
  hence inv: sub.impl-sws-invar s using sub.sub-dfs-code-preserves-invar x by
simp

  from s-def have *: set.α (snd (istate s)) = dom (map.α (ifinish s)) ∪ set.α
R
  using sub.sub-dfs-code-preserves-dfs-invar x by (auto simp add: sub.sub-impl-dfs-invar-def)

  from Some have run-sub-dfs' {x. ss x} (set.α R) x ≠ None by simp
  with run-sub-dfs'-correct[OF x] have nis: ¬ fst (istate s) using sub.sub-dfs-code-correct-unfolded[OF
x] s-def by auto
  with sub.sub-dfs-code-finished-unfolded x s-def have finished (sub.impl-sws-α
s) = {v. x = v ∨ x → \set.α R+ v} by simp
  with sub.impl-sws-invar-mapinvars[OF inv] have dom (map.α (ifinish s)) =
{v. x = v ∨ x → \set.α R+ v} by (simp add: map.correct)
  with nis Some show ?thesis using run-sub-dfs'-finished[OF x Some] * un-
folding sub-impl-def s-def by auto
  qed
qed

lemma sub-impl-set-invar:
  assumes inv: set.invar R
  and x: x ∈ V x ∉ set.α R
  and some: sub-impl ss R x = Some F'
  shows set.invar F'
proof –

```

from *inv interpret sub!*: *SubDFS-Impl* γ *succs sops mops V E ss R* **by** *unfold-locales*
(simp-all add: ssuccs-distinct ssuccs-correct)

def $s \equiv \text{sub.sub-dfs-code } x$
hence *set.invar* (*snd* (*istate s*)) **using** *sub.sub-dfs-code-preserves-dfs-invar x* **by**
(auto simp add: sub.sub-impl-dfs-invar-def)
with *s-def some* **show** *?thesis* **unfolding** *sub-impl-def* **by** (*cases fst (istate s)*)
simp-all
qed

lemma *run-sub-dfs-impl-correct'*:

assumes $x \in \text{set } (\text{istack } s)$ *nested-impl-invar s nested-dfs-invar (impl-sws- α s)*
and *constr: dfs-constructable (nested-dfs M) (impl-sws- α s)*
shows $\sigma\alpha$ (*run-sub-dfs-impl (istate s) s x*) = *run-sub-dfs M* ($\sigma\alpha$ (*istate s*))
(impl-sws- α s) x

proof (*cases istate s*)

case (*Pair b F*) **hence** *run-sub-dfs M (b, set. α F) (impl-sws- α s) x = $\sigma\alpha$*
(run-sub-dfs-impl (b, F) s x) (**is** $?L = ?R$)

proof (*cases b*)

case *True* **hence** $?L = (b, \text{set.}\alpha F)$ **by** *simp*

also from *True* **have** $\dots = ?R$ **by** *simp*

finally show *?thesis* .

next

case *False* **with** *Pair* **have** $\neg \text{has-cycle } (\text{impl-sws-}\alpha s)$ **by** *simp*

with *assms* **have** $\text{set } (\text{istack } s) \cap \text{set.}\alpha F = \{\}$ **unfolding** *nested-dfs-invar-def*
by (*simp add: Pair*)

with *assms* **have** $x \notin \text{set.}\alpha F$ **by** *auto*

hence $x \notin \text{snd } (b, \text{set.}\alpha F)$ **by** *simp*

from *False* **have** $nb: \neg \text{fst } (b, \text{set.}\alpha F)$ **by** *simp*

from *constr stack-subset-verts* **have** $\text{set } (\text{istack } s) \subseteq V$ **by** *fastforce*

with *assms* **have** $x \in V$ **by** *auto*

from *assms Pair* **have** *F-inv: set.invar F* **by** *simp*

note *sub-impl-correct = sub-impl-correct[OF F-inv xV (x \notin set. α F), where*
 $ss = \gamma M s]$

show *?thesis* **using** $nb xV xnF$

proof (*cases rule: run-sub-dfs-casesE[where s=impl-sws- α s and M=M]*)

case *same* **hence** $\neg \text{setAmemb } x \mathcal{A}$ **by** (*simp add: setA.memb-correct*)

hence $?R = (b, \text{set.}\alpha F)$ **by** (*simp add: False*)

with *same* **show** *?thesis* **by** *simp*

next

case (*cycle v*) **hence** *setAmemb x A* **by** (*simp add: setA.memb-correct*)

moreover

from *cycle* **have** *run-sub-dfs' {x. $\gamma M s x$ } (set. α F) x = None* **by** (*auto simp*
add: set.correct M-correct Collect-def)

```

hence sub-impl ( $\gamma M s$ )  $F x = None$  using sub-impl-correct by simp

ultimately show ?thesis using cycle False by simp
next
case (no-cycle F') hence setAmemb x A by (simp add: setA.memb-correct)

moreover
from no-cycle have run-sub-dfs' {x.  $\gamma M s x$  (set. $\alpha$  F) x = Some F'} by
(simp add: set.correct M-correct Collect-def)
hence Option.map set. $\alpha$  (sub-impl ( $\gamma M s$ ) F x) = Some (F'  $\cup$  set. $\alpha$  F)
using sub-impl-correct by simp
ultimately show ?thesis using no-cycle False by auto
qed
qed
with Pair show ?thesis by simp
qed

lemma run-sub-dfs-preserves-invar:
assumes  $x \in set (istack s)$  nested-impl-invar' (istate s)
and constr: dfs-constructable (nested-dfs M) (impl-sws- $\alpha$  s)
shows nested-impl-invar' (run-sub-dfs-impl (istate s) s x)
proof -
obtain  $b F$  where  $bF: istate s = (b, F)$  by (cases istate s) auto
with assms have  $F-inv: set.invar F$  by simp
with  $bF$  show ?thesis
proof (cases b)
case False with  $F-inv bF$  show ?thesis
proof (cases setAmemb x A)
case True with  $F-inv False bF$  show ?thesis
proof (cases sub-impl ( $\gamma M s$ ) F x)
case (Some F')
note  $F-inv$ 
moreover from constr stack-subset-verts have  $set (istack s) \subseteq V$  by
fastforce
with assms have  $x \in V$  by auto
moreover from constr have nested-dfs-invar (impl-sws- $\alpha$  s) using dfs-constructable-invarI [OF
- nested-dfs-preserves-invar] M-defs by simp
with False bF have  $set (istack s) \cap set.\alpha F = \{\}$  unfolding nested-dfs-invar-def
by simp
with assms have  $x \notin set.\alpha F$  by auto
ultimately have  $set.invar F'$  using sub-impl-set-invar [OF - - - Some] by
metis+
with Some False True bF show ?thesis by simp
qed simp
qed simp
qed simp
qed
end

```



```

locale NestedDFS-Impl = NestedDFS-Impl-def - - -  $\sigma\alpha$  V E -  $\gamma$ succs sops mops +
      DFS-Impl (nested-dfs M) nested-impl-dfs mops es- $\alpha$  es-invar
es-memb V E  $\sigma\alpha$   $\gamma$ succs
  for sops :: ('n, 's, 'Y) set-ops-scheme
  and mops :: ('n, nat, 'm, 'X) map-ops-scheme
  and V::'n set and E :: ('n  $\times$  'n) set
  and  $\gamma$ succs :: 'n  $\Rightarrow$  'n list
  and  $\sigma\alpha$  :: bool  $\times$  's  $\Rightarrow$  bool  $\times$  'n set
begin

lemma run-sub-dfs-impl-correct:
  assumes x  $\in$  set (istack s) nested-impl-invar s impl-sws-invar s
  shows  $\sigma\alpha$  (run-sub-dfs-impl (istate s) s x) = run-sub-dfs M ( $\sigma\alpha$  (istate s))
(impl-sws- $\alpha$  s) x
proof -
  from assms have dfs-constructable (nested-dfs M) (impl-sws- $\alpha$  s) and nested-dfs-invar
(impl-sws- $\alpha$  s)
  using impl-sws-invar-dfs-invar[OF nested-dfs-preserves-invar] M-defs by auto
  with run-sub-dfs-impl-correct' assms show ?thesis by blast
qed

lemma nested-impl-preserves:
  impl-preserves-invar
using nested-impl-dfs-simps (7)[unfolded nested-impl-invar-def]
proof (rule state-impl-preserves-invarI)
  case goal2 hence dfs-constructable (nested-dfs M) (impl-sws- $\alpha$  s) by auto
  note run-sub-dfs-preserves-invar[OF goal2(1,2) this]
  thus ?case by simp
qed (simp-all add: set.correct)
end

sublocale NestedDFS-Impl  $\subseteq$  DFS-Impl-correct nested-dfs M nested-impl-dfs mops
es- $\alpha$  es-invar es-memb V E  $\sigma\alpha$ 
by unfold-locale (simp-all add: run-sub-dfs-impl-correct set.correct nested-impl-preserves)

locale BasicDFS-Impl-def = NestedDFS-pre
begin

definition basicM-impl s  $\equiv$   $\lambda x.$  x = hd (istack s)

lemma basicM-impl-correct:
  basicM-impl s = basicM (impl-sws- $\alpha$  s)
unfolding basicM-impl-def basicM-def
by simp (metis Collect-def singleton-conv)
end

sublocale BasicDFS-Impl-def  $\subseteq$  NestedDFS-Impl-def - - - - - basicM
basicM-impl

```

by *unfold-locales* (*simp-all add: basicM-impl-correct $\sigma\alpha$ -def*)

locale *BasicDFS-Impl* = *BasicDFS-Impl-def*

sublocale *BasicDFS-Impl* \subseteq *NestedDFS-Impl* - - - *basicM basicM-impl*
by *unfold-locales* (*simp-all add: ssuccs-correct ssuccs-distinct*)

context *BasicDFS-Impl*
begin

abbreviation *basic-impl-dfs* \equiv *nested-impl-dfs*

abbreviation *basic-dfs-fun-impl* \equiv *dfs-fun-impl*

abbreviation *basic-dfs-code* \equiv *dfs-code*

abbreviation *basic-build-rel* \equiv *dfs-impl-build-rel*

theorem *basic-dfs-fun-impl-correct*:

assumes $x \in V$

shows *basic-dfs-fun-impl* $x \leq \Downarrow$ *basic-build-rel* (*SPEC* ($\lambda s. \text{has-cycle } s \longleftrightarrow \text{cycle } \text{basicM } x$))

proof -

note *dfs-fun-impl-refine*[*OF assms, folded basic-dfs-def*]

also note *dfs-fun-basic-dfs-correct*[*OF assms*]

finally show *?thesis* **by** (*simp add: basic-dfs-def*)

qed

lemmas *basic-dfs-fun-impl-correct-unfolded* = *basic-dfs-fun-impl-correct*[*unfolded basic-cycle-iff-cycle*]

lemmas *basic-dfs-code-preserves-invar* = *dfs-code-preserves-invar*[*folded basic-dfs-def, OF basic-dfs-preserves-invar*]

lemmas *basic-dfs-code-preserves-dfs-invar* = *dfs-code-preserves-dfs-invar*[*folded basic-dfs-def, OF basic-dfs-preserves-invar*]

theorem *basic-dfs-code-correct*:

$\llbracket x \in V; s = \text{basic-dfs-code } x \rrbracket \Longrightarrow \text{fst } (\text{istate } s) \longleftrightarrow \text{cycle } \text{basicM } x$

using *order-trans*[*OF dfs-code-refine basic-dfs-fun-impl-correct, of x*]

unfolding *dfs-impl-build-rel-def*

by (*auto elim!: RETURN-ref-SPECD*)

lemmas *basic-dfs-code-correct-unfolded* = *basic-dfs-code-correct*[*unfolded basic-cycle-iff-cycle*]
end

locale *HPYDFS-Impl-def* = *NestedDFS-pre*
begin

definition *hpyM-impl* $s \equiv \lambda x. \text{set.memb } x$ (*set.from-list* (*istack* s))

lemma *hpyM-impl-correct*:

hpyM-impl $s = \text{hpyM}$ (*impl-sws- α* s)

unfolding *hpyM-impl-def hpyM-def*
by (*simp add: set.correct*) (*metis (full-types) Collect-conj-eq Collect-def Int-absorb Int-def*)
end

sublocale *HPYDFS-Impl-def* \subseteq *NestedDFS-Impl-def* - - - - - *hpyM hpyM-impl*
by *unfold-locales (simp-all add: hpyM-impl-correct $\sigma\alpha$ -def)*

locale *HPYDFS-Impl* = *HPYDFS-Impl-def*

sublocale *HPYDFS-Impl* \subseteq *NestedDFS-Impl* - - - *hpyM hpyM-impl*
by *unfold-locales (simp-all add: ssuccs-correct ssuccs-distinct)*

context *HPYDFS-Impl*
begin

abbreviation *hpy-impl-dfs* \equiv *nested-impl-dfs*
abbreviation *hpy-dfs-fun-impl* \equiv *dfs-fun-impl*
abbreviation *hpy-dfs-code* \equiv *dfs-code*
abbreviation *hpy-build-rel* \equiv *dfs-impl-build-rel*

theorem *hpy-dfs-fun-impl-correct*:
assumes $x \in V$
shows *hpy-dfs-fun-impl* $x \leq \Downarrow$ *hpy-build-rel* (*SPEC* ($\lambda s. \text{has-cycle } s \longleftrightarrow \text{cycle } hpyM\ x$))
proof –
note *dfs-fun-impl-refine*[*OF assms, folded hpy-dfs-def*]
also note *dfs-fun-hpy-dfs-correct*[*OF assms*]
finally show *?thesis* **by** (*simp add: hpy-dfs-def*)
qed

lemmas *hpy-dfs-fun-impl-correct-unfolded* = *hpy-dfs-fun-impl-correct*[*unfolded hpy-cycle-iff-cycle*]

lemmas *hpy-dfs-code-preserves-invar* = *dfs-code-preserves-invar*[*folded hpy-dfs-def, OF hpy-dfs-preserves-invar*]
lemmas *hpy-dfs-code-preserves-dfs-invar* = *dfs-code-preserves-dfs-invar*[*folded hpy-dfs-def, OF hpy-dfs-preserves-invar*]

theorem *hpy-dfs-code-correct*:
 $\llbracket x \in V; s = \text{hpy-dfs-code } x \rrbracket \implies \text{fst } (\text{istate } s) \longleftrightarrow \text{cycle } hpyM\ x$
using *order-trans*[*OF dfs-code-refine hpy-dfs-fun-impl-correct, of x*]
unfolding *dfs-impl-build-rel-def*
by (*auto elim!: RETURN-ref-SPECD*)

lemmas *hpy-dfs-code-correct-unfolded* = *hpy-dfs-code-correct*[*unfolded hpy-cycle-iff-cycle*]
end

locale *SEDFS-Impl-def* = *HPYDFS-Impl-def*

begin

fun *SE-remove-impl* :: (bool × 'b) ⇒ (bool × 'b, 'a, 'e) *impl-sws* ⇒ 'a ⇒ (bool × 'b) **where**
SE-remove-impl (True, F) - - = (True, F)
| *SE-remove-impl* (False, F) s x = ((setAmemb x \mathcal{A} ∨ setAmemb (hd (istack s)) A) ∧ map.lookup x (ifinish s) = None, F)

definition *SE-impl-dfs* :: (bool × 'b, 'a, 'e, 'a es) *dfs-algorithm-impl* **where**
SE-impl-dfs = nested-impl-dfs (| *dfs-impl-remove* := *SE-remove-impl* |)

lemma *SE-impl-dfs-simps*[simp]:

dfs-impl-cond *SE-impl-dfs* S ↔ ¬ fst S
dfs-impl-post *SE-impl-dfs* = run-sub-*dfs-impl*
dfs-impl-action *SE-impl-dfs* S s x = S
dfs-impl-remove *SE-impl-dfs* = *SE-remove-impl*
dfs-impl-start *SE-impl-dfs* x = (False, set.empty ())
dfs-impl-restrict *SE-impl-dfs* = es-empty ()
dfs-impl-invar *SE-impl-dfs* = nested-impl-invar

unfolding *SE-impl-dfs-def*

by *simp-all*

end

locale *SEDFS-Impl* = *SEDFS-Impl-def* sops - - - mops $\sigma\alpha$ V E - γ succs +
SEDFS-Impl *SE-dfs* *SE-impl-dfs* mops es- α es-invar es-memb V E
 $\sigma\alpha$ γ succs

for *sops* :: ('n, 's, 'Y) *set-ops-scheme*
and *mops* :: ('n, nat, 'm, 'X) *map-ops-scheme*
and V :: 'n set **and** E :: ('n × 'n) set
and γ succs :: 'n ⇒ 'n list
and $\sigma\alpha$:: bool × 's ⇒ bool × 'n set

begin

lemma *SE-remove-correct*:

assumes *x-in-d*: map.lookup x (idisc s) ≠ None

and *inv*: *impl-sws-invar* s

shows $\sigma\alpha$ (*SE-remove-impl* (istate s) s x) = *SE-remove* ($\sigma\alpha$ (istate s)) (*impl-sws- α* s) x

proof (cases istate s)

case (Pair b F) **hence** *SE-remove* (b, set. α F) (*impl-sws- α* s) x = $\sigma\alpha$ (*SE-remove-impl* (b, F) s x) (**is** ?L = ?R)

proof (cases b)

case True **hence** ?L = (b, set. α F) **by** *simp*

also from True **have** ... = ?R **by** *simp*

finally show ?thesis .

next

case False

from *inv* **have** *constr*: *dfs-constructable* *SE-dfs* (*impl-sws- α* s) **by** *auto*

```

from inv have map.lookup x (ifinish s) = None  $\longleftrightarrow$  x  $\notin$  finished (impl-sws- $\alpha$ 
s) by (auto simp add: map.correct impl-sws-invar-mapinvars)
also have ...  $\longleftrightarrow$  x  $\in$  set (istack s)
proof –
  from inv x-in-d have d: x  $\in$  discovered (impl-sws- $\alpha$  s) by (auto simp add:
map.correct impl-sws-invar-mapinvars)
  thus ?thesis by (bestsimp dest!: discovered-not-finished-implies-stack[OF con-
str d] intro!: stack-implies-not-finished[OF constr])
qed
finally show ?thesis by (simp add: setA.memb.correct map.correct False)
qed
thus ?thesis by (simp add: Pair)
qed

```

```

lemma SE-impl-preserves:
  impl-preserves-invar
using SE-impl-dfs-simps( $\gamma$ )[unfolded nested-impl-invar-def]
proof (rule state-impl-preserves-invarI)
  case goal2 hence constr: dfs-constructable SE-dfs (impl-sws- $\alpha$  s) by auto
  show ?case
  proof (cases has-cycle (impl-sws- $\alpha$  s))
    case False with SE-to-nested' constr have dfs-constructable hpy-dfs (impl-sws- $\alpha$ 
s) by blast
    with goal2 run-sub-dfs-preserves-invar show ?thesis by (simp add: hpy-dfs-def)
  next
    case True with goal2 show ?thesis by (cases istate s) simp
  qed
next
  case goal3 thus ?case by (cases istate s, cases fst (istate s)) simp-all
qed (simp-all add: set.correct)

```

```

lemma run-sub-dfs-impl-correct:
  assumes x  $\in$  set (istack s) nested-impl-invar s impl-sws-invar s
  shows  $\sigma\alpha$  (run-sub-dfs-impl (istate s) s x) = run-sub-dfs hpyM ( $\sigma\alpha$  (istate s))
  (impl-sws- $\alpha$  s) x
proof –
  from assms have constr: dfs-constructable SE-dfs (impl-sws- $\alpha$  s) and inv:
nested-dfs-invar (impl-sws- $\alpha$  s)
  using impl-sws-invar-dfs-invar[OF SE-dfs-preserves-invar] by auto
  show ?thesis
  proof (cases has-cycle (impl-sws- $\alpha$  s))
    case False with constr SE-to-nested' have dfs-constructable hpy-dfs (impl-sws- $\alpha$ 
s) by blast
    with inv run-sub-dfs-impl-correct' assms show ?thesis by (simp add: hpy-dfs-def)
  next
    case True thus ?thesis by (cases istate s) simp
  qed
qed
end

```

sublocale $SEDFS-Impl \subseteq DFS-Impl\text{-correct}$ $SE\text{-dfs}$ $SE\text{-impl-dfs}$ $mops$ $es\text{-}\alpha$ $es\text{-invar}$
 $es\text{-memb}$ V E $\sigma\alpha$
by $unfold\text{-locales}$ ($simp\text{-all}$ add : $run\text{-sub-dfs-impl-correct}$ $SE\text{-remove-correct}$ $set\text{-correct}$
 $SE\text{-impl-preserves}$)

context $SEDFS-Impl$
begin

abbreviation $SE\text{-dfs-fun-impl} \equiv dfs\text{-fun-impl}$
abbreviation $SE\text{-dfs-code} \equiv dfs\text{-code}$
abbreviation $SE\text{-build-rel} \equiv dfs\text{-impl-build-rel}$

theorem $SE\text{-dfs-fun-impl-correct}$:
assumes $x \in V$
shows $SE\text{-dfs-fun-impl } x \leq \Downarrow SE\text{-build-rel}$ ($SPEC$ ($\lambda s. has\text{-cycle } s \longleftrightarrow SE\text{-cycle } x$))
proof –
note $dfs\text{-fun-impl-refine}[OF\ assms]$
also note $dfs\text{-fun-SE-dfs-correct}[OF\ assms]$
finally show $?thesis$ **by** $simp$
qed

lemmas $SE\text{-dfs-fun-impl-correct-unfolded} = SE\text{-dfs-fun-impl-correct}[unfolded\ SE\text{-cycle-iff-cycle}]$

lemmas $SE\text{-dfs-code-preserves-invar} = dfs\text{-code-preserves-invar}[OF\ SE\text{-dfs-preserves-invar}]$
lemmas $SE\text{-dfs-code-preserves-dfs-invar} = dfs\text{-code-preserves-dfs-invar}[OF\ SE\text{-dfs-preserves-invar}]$

theorem $SE\text{-dfs-code-correct}$:
 $\llbracket x \in V; s = SE\text{-dfs-code } x \rrbracket \implies fst\ (istate\ s) \longleftrightarrow SE\text{-cycle } x$
using $order\text{-trans}[OF\ dfs\text{-code-refine}\ SE\text{-dfs-fun-impl-correct, of } x]$
unfolding $dfs\text{-impl-build-rel-def}$
by ($auto\ elim!$: $RETURN\text{-ref-SPECD}$)

lemmas $SE\text{-dfs-code-correct-unfolded} = SE\text{-dfs-code-correct}[unfolded\ SE\text{-cycle-iff-cycle}]$
end
end